

Describe the screen established by your ethics officer: *(Attach additional pages as needed.)*

A formal screen was executed with INDOT's ethics officer preventing Kristopher Boyd from working with WSP USA, Inc. in his capacity as an INDOT employee. Said screen prohibits Kristopher Boyd from under taking any of his official duties or otherwise participating in any decision or vote, or matter related to such decision or vote, involving WSP, or in which WSP has an interest. Kristopher Boyd is further screened from assisting any future employer, including WSP, with any matter in which he personally and substantially participated in while employed by INDOT.

The screen was executed on January 11, 2023, a copy of which was sent to Commissioner Michael J. Smith along with this disclosure.

AFFIRMATION

Your signature below affirms that your disclosures on this form are true, complete, and correct to the best of your knowledge and belief. In addition to this form, you have attached a copy of your written disclosure to your agency appointing authority and ethics officer.

Signature of state officer, employee or special state appointee

Kristopher S Boyd

Date signed (month, day, year)

January 11, 2023

Printed full name of state officer employee or special state appointee

Kristopher S Boyd

FOR ETHICS OFFICER USE ONLY

Your signature below affirms that you have reviewed this disclosure form and that it is true, complete, and correct to the best of your knowledge and belief. You also attest that your agency has implemented the screen described above.

Signature of ethics officer

cf: Christopher Serak

Date signed (month, day, year)

January 11, 2023

Printed full name of ethics officer

Christopher B. Serak

CONFLICT OF INTEREST SCREEN FOR KRISTOPHER BOYD

January 11, 2023

I. RECITALS

WHEREAS, Kristopher Boyd is an Erosion & Sediment Control Specialist for the Indiana Department of Transportation ("INDOT" or "Department"); and

WHEREAS, Kristopher Boyd entered employment negotiations with WSP USA, Inc. ("WSP"); and

WHEREAS, WSP has a business relationship with INDOT, including active contracts; and

WHEREAS, as an Erosion & Sediment Control Specialist for INDOT, it is possible that Kristopher Boyd could participate in matters in which WSP has an interest as part of his regular duties; and

WHEREAS, Kristopher Boyd's employment negotiations with WSP created a potential decision and voting conflict of interest under IC 4-2-6-9 requiring disclosure and a formal screen; and

WHEREAS, Kristopher Boyd is subject to the particular matter restriction (IC 4-2-6-11(a)) and cannot assist future employers, including WSP, with any matter he personally and substantially participated in for INDOT; and

WHEREAS, Kristopher Boyd may have obtained confidential information in the course of his employment with INDOT and has an affirmative duty to protect such information from disclosure and to refrain from relying on such information for the benefit of himself or future employers.¹

NOW THEREFORE, Kristopher Boyd, Erosion & Sediment Control Specialist for INDOT, for his remaining tenure with INDOT, or until he discontinues employment negotiations with WSP USA, Inc., agrees to in all ways follow, adhere to, and satisfy the terms of the following Conflict of Interest Screen. Kristopher Boyd must adhere to terms three (3) and four (4) regarding the particular matter restriction and confidential information in perpetuity.

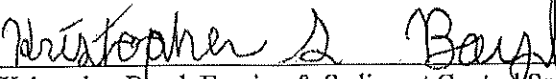
II. CONFLICT OF INTEREST SCREEN

1. Kristopher Boyd shall not participate in any decision or vote, or any matter related to such decision or vote, in which WSP has a financial interest.
2. Kristopher Boyd is screened from participating in any present or future contract or other matter involving WSP.
3. Kristopher Boyd shall not assist any future employers, including WSP, with any matter he personally and substantially participated in while employed by INDOT. This restriction applies in perpetuity, for the life of the particular matter.
4. Pursuant to his duty under Ind. Code §5-14-3-10, Kristopher Boyd shall not disclose or otherwise rely upon information classified as confidential under Ind. Code §5-14-3-4. This restriction applies in perpetuity, so long as the subject information is deemed confidential.

III. EMPLOYEE AFFIRMATION

I have read and understand the terms of the foregoing Conflict of Interest Screen, and will in all ways follow, adhere to, and satisfy the above stated restrictions on my participation in any decision or vote in which WSP Corporation has a financial interest. I understand that the first two (2) terms of this screen terminate upon my leaving the Department or the discontinuation of negotiations with WSP, whichever occurs first. I further understand that terms three (3) and four (4) regarding particular matters and confidential information respectively, apply in perpetuity, for the life of each matter and so long as the subject information is deemed confidential. I have shared and discussed this Conflict of Interest Screen and its requirements with my supervisor.

Executed and agreed this 11th day of January 2023, by:



Kristopher Boyd, Erosion & Sediment Control Specialist
Indiana Department of Transportation

¹ State employees have an affirmative duty under Ind. Code §5-14-3-10 to protect from disclosure and to refrain from relying upon information defined as confidential under Ind. Code §5-14-3-4.

Baker, Nathaniel P

To: Serak, Chris (INDOT)
Subject: RE: Executed COI Disclosure & Screen (Kristopher Boyd)

From: Serak, Chris (INDOT)
Sent: Tuesday, January 17, 2023 10:42 AM
To: Smith, Michael J <Michael.Smith@indot.IN.gov>
Cc: Boyd, Kristopher S <KBoyd1@indot.IN.gov>; Shelby, Kate <KShelby@indot.IN.gov>
Subject: Executed COI Disclosure & Screen (Kristopher Boyd)

Commissioner Smith-

Pursuant to the notice requirements of Ind. Code 4-2-6-9(b), please find the attached conflict disclosure and internal screen executed by INDOT employee Kristopher Boyd. The disclosure and screen will be filed with the Indiana Ethics Commission in satisfaction of the same.

Please let me know if you have any questions.

Chris

Christopher B. Serak,
Director of Prequalification & Construction Compliance
ADA/Title VI
Ethics Officer
Prequalification Committee (Chairman)
Selection Review Committee (Member)
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