



**ETHICS DISCLOSURE STATEMENT**  
**CONFLICTS OF INTEREST – DECISIONS AND VOTING**  
 State Form 55860 (R / 10-15)  
 OFFICE OF THE INSPECTOR GENERAL  
 IC 4-2-6-9

INDIANA  
**STATE ETHICS COMMISSION**

**DEC 13 2021**

**FILED**

In accordance with IC 4-2-6-9, you must file your disclosure with the State Ethics Commission no later than seven (7) days after the conduct that gives rise to the conflict. You must also include a copy of the notification provided to your agency appointing authority and ethics officer when filing this disclosure. This disclosure will be posted on the Inspector General's website.

Name (last) Bowling	Name (first) Chris	Name (middle)	
Name of office or agency Indiana Department of Transportation- Seymour District		Job title Utility and Railroad Coordinator	
Address of office (number and street) 185 Agrico Lane		City Seymour	ZIP code 47274
Office telephone number ( 812 ) 524-3721	Office e-mail address (required) CBowling@indot.IN.gov		

Describe the conflict of interest:  
 Chris Bowling is a Utility and Railroad Coordinator for the Indiana Department of Transportation (INDOT)- Seymour District.

Chris Bowling has accepted an employment position with GAI Consultants, Inc. ("GAI").

GAI has a business relationship with INDOT, including active contracts with the Department.

Chris Bowling's employment negotiations with GAI created a potential decisions and voting conflict of interest under IC 4-2-6-9. This disclosure is therefore being filed in anticipation of that potential conflict.

Chris Bowling is not subject to the mandatory cooling off period (IC 4-2-6-11(b)) as a Utility and Railroad Coordinator.

Chris Bowling is subject to the particular matter restriction and will not assist future employers, including GAI, with any matter he personally and substantially participated in on behalf of INDOT.

This disclosure was provided to Chris Bowling's appointing authority, Commissioner Joe McGuinness, on December 13, 2021.

Evidence of said disclosure to Commissioner McGuinness is filed herewith pursuant to IC 4-2-6-9(b)(2)(D).

Pursuant to IC 4-2-6-9(b)(2), this disclosure was executed and filed with the SEC within seven days (7) of the potential conflict being identified by the below signed ethics officer.

Describe the screen established by your ethics officer: *(Attach additional pages as needed.)*

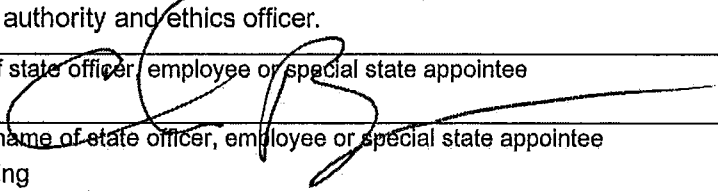
A formal screen was executed with INDOT's ethics officer preventing Chris Bowling from working with GAI Consultants in his capacity as an INDOT employee. Said screen prohibits Chris Bowling from under taking any of his official duties or otherwise participating in any decision or vote, or matter related to such decision or vote, involving GAI or in which GAI has an interest. Chris Bowling is further screened from assisting any future employer, including GAI Consultants, Inc. with a matter in which he personally and substantially participated in while employed by INDOT.

The screen was executed on December 13, 2021, a copy of which was sent to Commissioner Joe McGuinness along with this disclosure.

**AFFIRMATION**

Your signature below affirms that your disclosures on this form are true, complete, and correct to the best of your knowledge and belief. In addition to this form, you have attached a copy of your written disclosure to your agency appointing authority and ethics officer.

Signature of state officer, employee or special state appointee



Date signed (month, day, year)

December 13, 2021

Printed full name of state officer, employee or special state appointee

Chris Bowling

**FOR ETHICS OFFICER USE ONLY**

Your signature below affirms that you have reviewed this disclosure form and that it is true, complete, and correct to the best of your knowledge and belief. You also attest that your agency has implemented the screen described above.

Signature of ethics officer

/s/: Christopher B. Serak

Date signed (month, day, year)

December 13, 2021

Printed full name of ethics officer

Christopher B. Serak

**CONFLICT OF INTEREST SCREEN FOR CHRIS BOWLING**

**December 13, 2021**

**I. RECITALS**

WHEREAS, Chris Bowling is a Utility and Railroad Coordinator for the Indiana Department of Transportation- Seymour District ("INDOT" or "Department"); and

WHEREAS, Chris Bowling has accepted a position with GAI Consultants, Inc. ("GAI"); and

WHEREAS, GAI has a business relationship with INDOT, including ongoing contracts with the Department; and

WHEREAS, as a Utility and Railroad Coordinator for INDOT, it is possible that Chris Bowling could be assigned to work with GAI or to participate in matters in which the company has an interest as part of his regular duties; and

WHEREAS, Chris Bowling's employment negotiations with GAI created a potential decision and voting conflict of interest under IC 4-2-6-9 requiring disclosure and a formal screen; and

WHEREAS, Chris Bowling is subject to the particular matter restriction under IC 4-2-6-11(a) and cannot assist future employers, including GAI, with any matter he personally and substantially participated in while employed by INDOT.

NOW THEREFORE, Chris Bowling, a Utility and Railroad Coordinator- Seymour District, for his remaining tenure with the Department, or until he discontinues employment negotiations with GAI Consultants, Inc., agrees to in all ways follow, adhere to, and satisfy the terms of the following Conflict of Interest Screen. Chris Bowling must adhere to term three (3) regarding the particular matter restriction in perpetuity, for the life of each particular matter.

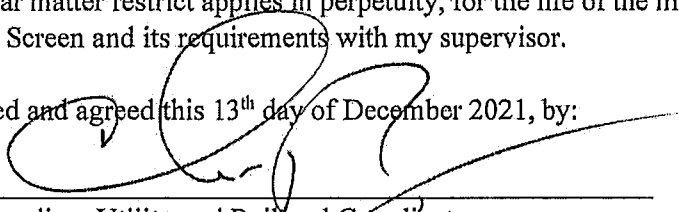
**II. CONFLICT OF INTEREST SCREEN**

1. Chris Bowling shall not participate in any decision or vote, or any matter related to such decision or vote, in which GAI Consultants, Inc. has a financial interest.
2. Chris Bowling should be screened from participating in any present or future contract or other matter involving GAI Consultants, Inc.
3. Chris Bowling shall not assist any future employers, including GAI Consultants, Inc., with any matter he personally and substantially participated in while employed by INDOT. This restriction applies in perpetuity, for the life of the particular matter.

**III. EMPLOYEE AFFIRMATION**

I have read and understand the terms of the foregoing Conflict of Interest Screen, and will in all ways follow, adhere to, and satisfy the above stated restrictions on my participation in any decision or vote in which GAI Consultants, Inc. has a financial interest. I understand that the first two (2) terms of this screen terminate upon my leaving the Department or the discontinuation of negotiations with GAI, whichever occurs first. I further understand that term three (3) concerning the particular matter restrict applies in perpetuity, for the life of the matter. I have shared and discussed this Conflict of Interest Screen and its requirements with my supervisor.

Executed and agreed this 13<sup>th</sup> day of December 2021, by:

  
\_\_\_\_\_  
Chris Bowling, Utility and Railroad Coordinator  
Indiana Department of Transportation- Seymour District

## **Baker, Nathaniel P**

---

**To:** Serak, Chris (INDOT)  
**Subject:** RE: Executed COI Disclosure & Screen (Chris Bowling)

---

**From:** Serak, Chris (INDOT)  
**Sent:** Monday, December 13, 2021 10:00 AM  
**To:** McGuinness, Joe <[JMcGuinness@indot.IN.gov](mailto:JMcGuinness@indot.IN.gov)>  
**Cc:** Kennedy, Heather <[HKennedy@indot.IN.gov](mailto:HKennedy@indot.IN.gov)>; Bowling, Chris <[CBowling@indot.IN.gov](mailto:CBowling@indot.IN.gov)>  
**Subject:** Executed COI Disclosure & Screen (Chris Bowling)

Commissioner McGuinness-

Pursuant to the notice requirements of Ind. Code 4-2-6-9(b), please find the attached conflict disclosure and internal screen executed by employee Chris Bowling. The disclosure will be filed with the Indiana Ethics Commission in satisfaction of the same.

Please let me know if you have any questions.

Thank you.

Chris

**Christopher B. Serak,**  
*Director of Prequalification & Construction Compliance*  
*Ethics Officer*  
*Prequalification Committee (Chairman)*  
*Selection Review Committee (Member)*  
**Indiana Department of Transportation**  
Office: (317) 234-2115  
Cell: (317) 440-9868  
Email: [chserak@indot.in.gov](mailto:chserak@indot.in.gov)  
Location: *IGCN, 100 N Senate, N758-PQ*

