



**ETHICS DISCLOSURE STATEMENT
CONFLICTS OF INTEREST – DECISIONS AND VOTING**
State Form 55860 (R / 10-15)
OFFICE OF THE INSPECTOR GENERAL
IC 4-2-6-9

INDIANA
STATE ETHICS COMMISSION

NOV 23 2021

FILED

In accordance with IC 4-2-6-9, you must file your disclosure with the State Ethics Commission no later than seven (7) days after the conduct that gives rise to the conflict. You must also include a copy of the notification provided to your agency appointing authority and ethics officer when filing this disclosure. This disclosure will be posted on the Inspector General's website.

Name (last) Bowen		Name (first) Brandon		Name (middle)	
Name of office or agency Indiana Utility Regulatory Commission			Job title Senior Utility Analyst - Energy Division		
Address of office (number and street) 101 W. Washington St., Suite 1500 E			City Indianapolis		ZIP code 46204
Office telephone number (317) 233-9937		Office e-mail address (required) BBowen2@urc.in.gov			
Describe the conflict of interest: On October 25, 2021, I, Brandon Bowen, submitted an application for employment with Duke Energy Indiana, LLC, ("Duke") which is an electric utility regulated by the Indiana Utility Regulatory Commission ("IURC"). At that time, I was assigned to eight cases involving Duke that were pending before the IURC. On November 13, 2021, Duke emailed me requesting my availability for an interview. I responded on November 14, 2021, confirming my availability. On November 15, 2021, Duke responded, confirming the date and details for a Microsoft Teams interview on November 18, 2021. On November 16, 2021, I informed my supervisor and notified the IURC Ethics Officer, Beth Helene, in writing, regarding the potential conflict of interest. On November 17, 2021, I notified my appointing authority, IURC Chairman James F. Huston, in writing regarding the potential conflict of interest.					
I have a potential conflict of interest because I participate in matters relating to an IURC decision or vote regarding Duke and many of its pending cases, and at the same time I have discussed interviewing arrangements with Duke.					

Describe the screen established by your ethics officer: *(Attach additional pages as needed.)*

Following the receipt of the email from Duke, I did not work on any cases involving Duke.

On Nov. 16, 2021, my supervisor removed me from all cases to which I had been assigned that involved Duke.

I was also instructed to not be part of any discussion regarding any IURC pending cases involving Duke

I will not participate in or be part of any discussion regarding any IURC pending cases involving Duke,


to the earliest of when (1) no job offer is made by Duke, (2) I reject a job offer from Duke, or (3) I leave state employment.

AFFIRMATION

Your signature below affirms that your disclosures on this form are true, complete, and correct to the best of your knowledge and belief. In addition to this form, you have attached a copy of your written disclosure to your agency appointing authority and ethics officer.

Signature of state officer, employee or special state appointee

Date signed (month, day, year)



11/23/21

Printed full name of state officer, employee or special state appointee

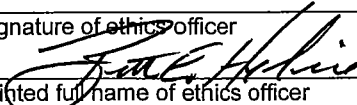
Brandon Bowen

FOR ETHICS OFFICER USE ONLY

Your signature below affirms that you have reviewed this disclosure form and that it is true, complete, and correct to the best of your knowledge and belief. You also attest that your agency has implemented the screen described above.

Signature of ethics officer

Date signed (month, day, year)



11/23/2021

Printed full name of ethics officer

Beth E. Heline

Heline, Beth E.

From: Bowen, Brandon (IURC)
Sent: Tuesday, November 16, 2021 9:06 AM
To: Heline, Beth E.
Cc: Steinhauer, Jane
Subject: Duke Notification

Hi Beth,

Jane requested I notify you that Duke has reached out to me with an interview request regarding an employment opportunity. Please let myself of Jane know if you have any questions.

Thanks,

Brandon Bowen
Senior Utility Analyst
Energy Division
Indiana Utility Regulatory Commission

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Bowen, Brandon (IURC)

From: Bowen, Brandon (IURC)
Sent: Wednesday, November 17, 2021 9:50 AM
To: Huston, James (IURC)
Cc: Heline, Beth E.
Subject: Disclosure Statement

Chairman Huston,

Beth Heline notified me that I need to contact you regarding an ethics disclosure statement that will be filed on my behalf. I was contacted by Duke Energy recently for an interview request. Though I have been screened off all of their cases after immediately notifying my supervisor at the start of my work week, I mistakenly replied to Duke beforehand over the weekend. Please let us know if you have any additional questions.

Thanks,

Brandon Bowen
Senior Utility Analyst
Energy Division
Indiana Utility Regulatory Commission

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