

INDIANA
STATE ETHICS COMMISSION



ETHICS DISCLOSURE STATEMENT
CONFLICTS OF INTEREST – DECISIONS AND VOTING
State Form 55860 (R / 10-15)
OFFICE OF THE INSPECTOR GENERAL
IC 4-2-6-9

APR 11 2022

FILED

In accordance with IC 4-2-6-9, you must file your disclosure with the State Ethics Commission no later than seven (7) days after the conduct that gives rise to the conflict. You must also include a copy of the notification provided to your agency appointing authority and ethics officer when filing this disclosure. This disclosure will be posted on the Inspector General's website.

Name (last) Boone	Name (first) Paul	Name (middle)
Name of office or agency Indiana Department of Transportation		Job title Special Projects Director- Tolling Implementation
Address of office (number and street) 5701 Highway 31		City Clarksville
Office telephone number (812) 599-3619		ZIP code 47129
Office e-mail address (required) pboone@indot.IN.gov		

Describe the conflict of interest:
Paul Boone is a Project Supervisor for the Indiana Department of Transportation.

Paul Boone recently entered employment negotiations with American Structurepoint, Inc. ("Structurepoint").

Structurepoint has a business relationship with INDOT, including active contracts with the Department.

Paul Boone's employment negotiations with Structurepoint therefore created a potential decisions and voting conflict of interest under IC 4-2-6-9. This disclosure is therefore being filed in anticipation of that potential conflict.

Paul Boone is not subject to the mandatory cooling off period (IC 4-2-6-11(b)) with regard to Structurepoint.

Paul Boone is subject to the particular matter restriction and will not assist future employers, including Structurepoint, with any matter he personally and substantially participated in on behalf of INDOT.

This disclosure was provided to Paul Boone's appointing authority, Commissioner Michael J. Smith, on April 11, 2022.

Evidence of said disclosure to Commissioner Smith is filed herewith pursuant to IC 4-2-6-9(b)(2)(D).

Pursuant to IC 4-2-6-9(b)(2), this disclosure was executed and filed with the SEC within seven days (7) of the potential conflict being identified by the below signed ethics officer.

Describe the screen established by your ethics officer: *(Attach additional pages as needed.)*

A formal screen was executed with INDOT's ethics officer preventing Paul Boone from working with Structurepoint in his capacity as an INDOT employee. Said screen prohibits Paul Boone from under taking any of his official duties or otherwise participating in any decision or vote, or matter related to such decision or vote, involving Structurepoint, or in which Structurepoint has an interest. Paul Boone is further screened from assisting any future employer, including Structurepoint, with any matter in which he personally and substantially participated in while employed by INDOT.

The screen was executed on April 11, 2022, a copy of which was sent to Commissioner Michael J. Smith along with this disclosure.

AFFIRMATION

Your signature below affirms that your disclosures on this form are true, complete, and correct to the best of your knowledge and belief. In addition to this form, you have attached a copy of your written disclosure to your agency appointing authority and ethics officer.

Signature of state officer, employee or special state appointee

Paul Boone

Date signed (month, day, year)

April 11, 2022

Printed full name of state officer, employee or special state appointee

Paul Boone

FOR ETHICS OFFICER USE ONLY

Your signature below affirms that you have reviewed this disclosure form and that it is true, complete, and correct to the best of your knowledge and belief. You also attest that your agency has implemented the screen described above.

Signature of ethics officer

/s/ Christopher Serak

Date signed (month, day, year)

April 11, 2022

Printed full name of ethics officer

Christopher B. Serak

CONFLICT OF INTEREST SCREEN FOR PAUL BOONE

April 11, 2022

I. RECITALS

WHEREAS, Paul Boone is Special Projects Director- Tolling Implementation for the Indiana Department of Transportation (“INDOT” or “Department”); and

WHEREAS, Paul Boone has entered employment negotiations with American Structurepoint, Inc. (“Structurepoint”); and

WHEREAS, Structurepoint has a business relationship with INDOT, including active contracts; and

WHEREAS, Special Projects Director- Tolling Implementation for INDOT, it is possible that Paul Boone could participate in matters in which Structurepoint has an interest as part of his regular duties; and

WHEREAS, Paul Boone’s employment negotiations with Structurepoint created a potential decision and voting conflict of interest under IC 4-2-6-9 requiring disclosure and a formal screen; and

WHEREAS, Paul Boone is subject to the particular matter restriction (IC 4-2-6-11(a)) and cannot assist future employers, including Structurepoint, with any matter he personally and substantially participated in for INDOT; and

WHEREAS, Paul Boone may have obtained confidential information in the course of his employment with INDOT and has an affirmative duty to protect such information from disclosure and to refrain from relying on such information for the benefit of himself or future employers.¹

NOW THEREFORE, Paul Boone, Special Projects Director- Tolling Implementation for INDOT, for his remaining tenure with INDOT, or until he discontinues employment negotiations with American Structurepoint, Inc., agrees to in all ways follow, adhere to, and satisfy the terms of the following Conflict of Interest Screen. Paul Boone must adhere to terms three (3) and four (4) regarding the particular matter restriction and confidential information in perpetuity.

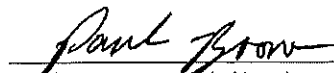
II. CONFLICT OF INTEREST SCREEN

1. Paul Boone shall not participate in any decision or vote, or any matter related to such decision or vote, in which Structurepoint has a financial interest.
2. Paul Boone is screened from participating in any present or future contract or other matter involving Structurepoint.
3. Paul Boone shall not assist any future employers, including Structurepoint, with any matter he personally and substantially participated in while employed by INDOT. This restriction applies in perpetuity, for the life of the particular matter.
4. Pursuant to his duty under Ind. Code §5-14-3-10, Paul Boone shall not disclose or otherwise rely upon information classified as confidential under Ind. Code §5-14-3-4. This restriction applies in perpetuity, so long as the subject information is deemed confidential.

III. EMPLOYEE AFFIRMATION

I have read and understand the terms of the foregoing Conflict of Interest Screen, and will in all ways follow, adhere to, and satisfy the above stated restrictions on my participation in any decision or vote in which American Structurepoint, Inc. has a financial interest. I understand that the first two (2) terms of this screen terminate upon my leaving the Department or the discontinuation of negotiations with Structurepoint, whichever occurs first. I further understand that terms three (3) and four (4) regarding particular matters and confidential information respectively, apply in perpetuity, for the life of each matter and so long as the subject information is deemed confidential. I have shared and discussed this Conflict of Interest Screen and its requirements with my supervisor.

Executed and agreed this 11th day of April 2022, by:



Paul Boone, Special Projects Director- Tolling Implementation
Indiana Department of Transportation

¹ State employees have an affirmative duty under Ind. Code §5-14-3-10 to protect from disclosure and to refrain from relying upon information defined as confidential under Ind. Code §5-14-3-4.

Baker, Nathaniel P

To: Serak, Chris (INDOT)
Subject: RE: Executed COI Disclosure & Screen (Paul Boone)

From: Serak, Chris (INDOT)
Sent: Monday, April 11, 2022 9:36 AM
To: Smith, Michael J <Michael.Smith@indot.IN.gov>
Cc: Creighton, Chris (INDOT) <CCreighton@indot.IN.gov>; Boone, Paul <PBoone@indot.IN.gov>
Subject: Executed COI Disclosure & Screen (Paul Boone)

Commissioner Smith-

Pursuant to the notice requirements of Ind. Code 4-2-6-9(b), please find the attached conflict disclosure and internal screen executed by INDOT employee Paul Boone. The disclosure will be filed with the Indiana Ethics Commission in satisfaction of the same.

Please let me know if you have any questions.

Thank you.

Chris

Christopher B. Serak,
Director of Prequalification & Construction Compliance
Ethics Officer
Prequalification Committee (Chairman)
Selection Review Committee (Member)
Risk Advisory Board (Member)
Indiana Department of Transportation
Office: (317) 234-2115
Email: chserak@indot.in.gov
Location: *IGCN, 100 N Senate, N658-PQ*