

FILED



ETHICS DISCLOSURE STATEMENT
CONFLICTS OF INTEREST - DECISIONS AND VOTING
State Form 65860 (R / 10-15)
OFFICE OF THE INSPECTOR GENERAL
IC 4-2-6-9

APR 3 2023

INDIANA STATE
ETHICS COMMISSION

In accordance with IC 4-2-6-9, you must file your disclosure with the State Ethics Commission no later than seven (7) days after the conduct that gives rise to the conflict. You must also include a copy of the notification provided to your agency appointing authority and ethics officer when filing this disclosure. This disclosure will be posted on the Inspector General's website.

Name (last) Beale	Name (first) Cortney	Name (middle)	
Name of office or agency Indiana Department of Transportation		Job title Area Engineer	
Address of office (number and street) 315 East Boyd Boulevard		City LaPorte	ZIP code 46350
Office telephone number (219) 325-7592	Office e-mail address (required) cbeale@indot.in.gov		

Describe the conflict of interest:
 Cortney Beale is an Area Engineer for the LaPorte District of INDOT. Beale's husband, David Beale, has accepted a position with FH Paschen Contractors ("FHP"). INDOT has a business relationship with FHP, including ongoing contracts with the firm. As an Area Engineer, Beale could be assigned to work with her husband on projects awarded to FHP. Cortney Beale's husband's employment with FHP may create a decisions and voting conflict under 42 IAC 1-5-6. Therefore, Cortney Beale should be screened from decision making on any project assigned to her husband, David Beale, through his employment with FHP. David's position is a senior level project management role, meaning it is unlikely he will be assigned to administer specific projects. In the event David Beale is assigned to work on a specific INDOT project in LaPorte District, Cortney Beale will be screened from participating.

This disclosure was provided to Cortney Beale's appointing authority, Commissioner Michael J. Smith, on March 31, 2023. Evidence of said disclosure to Commissioner Smith is filed herewith pursuant to IC 4-2-6-9(b)(2)(D). Pursuant to IC 4-2-6-9(b)(2), this disclosure was executed and filed with the SEC within seven days (7) of the potential conflict being identified by the below signed ethics officer.

Describe the screen established by your ethics officer: *(Attach additional pages as needed.)*

Cortney Beale shall not participate in any decision or vote, or any matter related to such decision or vote, related to a matter to which David Beale is assigned to administer as an employee of FH Paschen. Such matters should be assigned to other personnel in the LaPorte District. It shall be left to the discretion of the LaPorte District Construction Director to direct resolution of matters assigned to David Beale as an employee of FHP.

This disclosure shall constitute a formal screen preventing Area Engineer Cortney Beale from participating in any decision or vote regarding any manner to which David Beale is assigned to administer as an employee of FH Paschen.

This screen shall be effective as the date David Beale commences employment with FH Paschen Contractors and shall remain in effect for the duration of David Beale's employment with FHP. A copy of this disclosure and screen has been provided to Cortney Beale's supervisor, Jon Kruger, LaPorte District Construction Director.

AFFIRMATION

Your signature below affirms that your disclosures on this form are true, complete, and correct to the best of your knowledge and belief. In addition to this form, you have attached a copy of your written disclosure to your agency appointing authority and ethics officer.

Signature of state officer, employee or special state appointee

Cortney Beale

Date signed (month, day, year)

3/31/2023

Printed full name of state officer, employee or special state appointee

Cortney Beale

FOR ETHICS OFFICER USE ONLY

Your signature below affirms that you have reviewed this disclosure form and that it is true, complete, and correct to the best of your knowledge and belief. You also attest that your agency has implemented the screen described above.

Signature of ethics officer

/s/ Christopher B. Serak

Date signed (month, day, year)

3/31/2023

Printed full name of ethics officer

Christopher B. Serak

Baker, Nathaniel P

To: Serak, Chris (INDOT)
Subject: RE: COI Disclosure and Screen for Cortney Beale

From: Serak, Chris (INDOT)
Sent: Monday, April 3, 2023 8:48 AM
To: Smith, Michael J <Michael.Smith@indot.IN.gov>
Cc: Shelby, Kate <KShelby@indot.IN.gov>; Beale, Cortney A <CBeale@indot.IN.gov>; Kruger, Jonathon (INDOT) <JKRUGER@indot.IN.gov>
Subject: COI Disclosure and Screen for Cortney Beale

Commissioner Smith,

In accordance with IC 4-2-6-9, please find the attached executed disclosure and screen for INDOT Area Engineer Cortney Beale related to her husband's position with FH Paschen Contractors. Cortney has been screened from participating in decision making related to any project her husband is assigned to administer on behalf of FHP. As a result of his position being at the senior management level, it is not anticipated that this will impact many projects.

Respectfully

Chris

Christopher B. Serak,
Director of Prequalification & Compliance
ADA/Title VI
Ethics Officer
Prequalification Committee (Chairman)
Selection Review Committee (Member)
Indiana Department of Transportation
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