

AUG 27 2021

FILED



**ETHICS DISCLOSURE STATEMENT
CONFLICTS OF INTEREST – DECISIONS AND VOTING**
State Form 56860 (R / 10-15)
OFFICE OF THE INSPECTOR GENERAL
IC 4-2-6-9

In accordance with IC 4-2-6-9, you must file your disclosure with the State Ethics Commission no later than seven (7) days after the conduct that gives rise to the conflict. You must also include a copy of the notification provided to your agency appointing authority and ethics officer when filing this disclosure. This disclosure will be posted on the Inspector General's website.

Name (last) Barich		Name (first) David		Name (middle)	
Name of office or agency Indiana Department of Transportation- LaPorte District			Job title Area Engineer		
Address of office (number and street) 315 East Boyd Boulevard			City LaPorte		ZIP code 46350
Office telephone number (219) 325-7545		Office e-mail address (required) DBarich@indot.IN.gov			

Describe the conflict of interest:
David Barich is an Area Engineer for the Indiana Department of Transportation (INDOT)- LaPorte District.
David Barich has accepted a position with Lochmueller Group, Inc. ("Lochmueller"), Lochmueller has a business relationship with INDOT, including active contracts with the Department.
David Barich's employment negotiations with Lochmueller created a potential decisions and voting conflict of interest under IC 4-2-6-9. This disclosure is therefore being filed in anticipation of that potential conflict.
David Barich is not subject to the mandatory cooling off period (IC 4-2-6-11(b)) with respect to Lochmueller.
David Barich is subject to the particular matter restriction and will not assist future employers, including Lochmueller, with any matter he personally and substantially participated in on behalf of INDOT (matter list on file with INDOT Ethics Officer).
This disclosure was provided to David Barich's appointing authority, Commissioner Joe McGuinness, on August 27, 2021.
Evidence of said disclosure to Commissioner McGuinness is filed herewith pursuant to IC 4-2-6-9(b)(2)(D).
Pursuant to IC 4-2-6-9(b)(2), this disclosure was executed and filed with the SEC within seven days (7) of the potential conflict being identified by the below signed ethics officer.

Describe the screen established by your ethics officer: *(Attach additional pages as needed.)*

A formal screen was executed with INDOT's ethics officer preventing Mr. Barich from working with Lochmueller Group, Inc in his capacity as an INDOT employee. Said screen prohibits David Barich from under taking his official duties or otherwise participating in any decision or vote, or matter related to such decision or vote, involving Lochmueller, or in which Lochmueller has an interest. David Barich is further screened from assisting any future employer, including Lochmueller, with a matter in which he personally and substantially participated in while employed by INDOT (matter list on file with INDOT Ethics Officer and attached to executed screen).

The screen was executed on August 27, 2021, a copy of which was sent to Commissioner Joe McGuinness along with this disclosure.

AFFIRMATION

Your signature below affirms that your disclosures on this form are true, complete, and correct to the best of your knowledge and belief. In addition to this form, you have attached a copy of your written disclosure to your agency appointing authority and ethics officer.

Signature of state officer, employee or special state appointee

Date signed *(month, day, year)*
August 27, 2021

Printed full name of state officer, employee or special state appointee
David Barich

FOR ETHICS OFFICER USE ONLY

Your signature below affirms that you have reviewed this disclosure form and that it is true, complete, and correct to the best of your knowledge and belief. You also attest that your agency has implemented the screen described above.

Signature of ethics officer
/s/ Christopher B. Serak

Date signed *(month, day, year)*
August 27, 2021

Printed full name of ethics officer
Christopher B. Serak

CONFLICT OF INTEREST SCREEN FOR DAVID BARICH

August 27, 2021

I. RECITALS

WHEREAS, David Barich is an Area Engineer for the Indiana Department of Transportation- LaPorte District ("INDOT" or "Department"); and

WHEREAS, David Barich has accepted a position with Lochmueller Group, Inc. ("Lochmueller"); and

WHEREAS, Lochmueller has a business relationship with INDOT, including ongoing contracts with the Department; and

WHEREAS, as an Area Engineer for INDOT, it is possible that David Barich could be assigned to work with Lochmueller or to participate in matters involving the company as part of his regular duties; and

WHEREAS, David Barich's employment negotiations with Lochmueller created a potential decision and voting conflict of interest under IC 4-2-6-9 requiring disclosure and a formal screen; and

WHEREAS, David Barich is subject to the particular matter restriction under IC 4-2-6-11(a) and cannot assist future employers, including Lochmueller, with any matter he personally and substantially participated in while employed by INDOT¹.

NOW THEREFORE, David Barich, an Area Engineer in the LaPorte District, for his remaining tenure with INDOT, or until he discontinues employment negotiations with Lochmueller Group, Inc., agrees to in all ways follow, adhere to, and satisfy the terms of the following Conflict of Interest Screen. David Barich must adhere to term three (3) regarding the particular matter restriction in perpetuity, for the life of each particular matter.

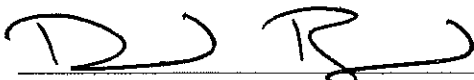
II. CONFLICT OF INTEREST SCREEN

1. David Barich shall not participate in any decision or vote, or any matter related to such decision or vote, in which Lochmueller has a financial interest.
2. David Barich should be screened from participating in any present or future contract or other matter involving Lochmueller.
3. David Barich shall not assist any future employers, including Lochmueller, with any matter he personally and substantially participated in while employed by INDOT. This restriction applies in perpetuity, for the life of the particular matter (*see Exhibit A*).

III. EMPLOYEE AFFIRMATION

I have read and understand the terms of the foregoing Conflict of Interest Screen, and will in all ways follow, adhere to, and satisfy the above stated restrictions on my participation in any decision or vote in which Lochmueller Group, Inc. has a financial interest. I understand that the first two (2) terms of this screen terminate upon my leaving the Department or the discontinuation of negotiations with Lochmueller, whichever occurs first. I further understand that term three (3) concerning the particular matter restrict applies in perpetuity, for the life of the matter. I have shared and discussed this Conflict of Interest Screen and its requirements with my supervisor.

Executed and agreed this 27th day of August 2021, by:



David Barich, Area Engineer

Indiana Department of Transportation- LaPorte District

¹ A spreadsheet containing a list of contracts that David Barich cannot participate in on behalf of future employers is attached hereto as Exhibit A; a further list of contracts that are not within the scope of the particular matter restriction are on file with INDOT's Ethics Officer.

Baker, Nathaniel P

To: Serak, Chris (INDOT)
Subject: RE: Executed COI Disclosure & Screen (David Barich)

From: Serak, Chris (INDOT)
Sent: Friday, August 27, 2021 3:14 PM
To: McGuinness, Joe <JMcGuinness@indot.IN.gov>
Cc: Kennedy, Heather <HKennedy@indot.IN.gov>; Barich, David M <DBarich@indot.IN.gov>
Subject: Executed COI Disclosure & Screen (David Barich)

Commissioner McGuinness-

Pursuant to the notice requirements of Ind. Code 4-2-6-9(b), please find the attached conflict disclosure and internal screen executed by employee David Barich. The disclosure will be filed with the Indiana Ethics Commission in satisfaction of the same. For the purpose of ensuring compliance with the particular matter restriction, a list of projects from which Mr. Barich is prohibited from assisting future employers is included with the screen as Exhibit A.

Please let me know if you have any questions.

Thank you.

Chris

Christopher B. Serak,
Prequalification Director
Ethics Officer
Prequalification Committee (Chairman)
Selection Review Committee (Member)
Indiana Department of Transportation
Office: (317) 234-2115
Cell: (317) 440-9868
Email: chserak@indot.in.gov
Location: *IGCN, 100 N Senate, N758-PQ*



