



**ETHICS DISCLOSURE STATEMENT
CONFLICTS OF INTEREST – DECISIONS AND VOTING**
State Form 58860 (R / 10-15)
OFFICE OF THE INSPECTOR GENERAL
IC 4-2-6-9

MAY 25 2022

FILED

In accordance with IC 4-2-6-9, you must file your disclosure with the State Ethics Commission no later than seven (7) days after the conduct that gives rise to the conflict. You must also include a copy of the notification provided to your agency appointing authority and ethics officer when filing this disclosure. This disclosure will be posted on the Inspector General's website.

Name (last) Adams	Name (first) Kayti	Name (middle)
Name of office or agency Indiana Department of Transportation- Seymour District		Job title LPA Program Director
Address of office (number and street) 185 Agrico Lane		City Seymour
Office telephone number (812) 524-3969		ZIP code 47274
Office e-mail address (required) kadams@indot.in.gov		

Describe the conflict of interest:
 Kayti Adams is LPA Program Director for the Indiana Department of Transportation (INDOT)- Seymour District.
 Kayti Adams recently entered employment negotiations with Hannum, Wagle and Cline Engineering ("HWC").
 HWC is an INDOT vendor and has active contracts with the Department. However, Kayti Adams has not worked with HWC during the course of her employment with INDOT.
 As such, Kayti Adams' employment negotiations with HWC Engineering created a potential decisions and voting conflict under IC 4-2-6-9; this disclosure is being filed in anticipation of that apparent conflict.
 Kayti Adams is not subject to the mandatory cooling off period (IC 4-2-6-11(b)) as Local Program Director.
 Pursuant to 2022-FAO-009, Kayti Adams is not subject to the particular matter restriction in her role as LPA Program Director (also known as Local Program Director).
 This disclosure was provided to Kayti Adams' appointing authority, Commissioner Michael J. Smith, on May 20, 2022.
 Evidence of said disclosure to Commissioner Smith is filed herewith pursuant to IC 4-2-6-9(b)(2)(D).
 Pursuant to IC 4-2-6-9(b)(2), this disclosure was executed and filed with the SEC within seven days (7) of the potential conflict being identified by the below signed ethics officer.

Describe the screen established by your ethics officer: *(Attach additional pages as needed.)*

A formal screen was executed with INDOT's ethics officer preventing Kayti Adams from working with HWC Engineering, or otherwise participating in any decision or vote, or matter related to such decision or vote, involving HWC Engineering, or in which HWC has an interest. Kayti Adams is further screened from disclosing, benefiting from, or otherwise relying upon confidential information obtained during the course of her employment with the State of Indiana. The screen executed by Kayti Adams does not include a post-employment restriction related to the particular matter prohibition of the Indiana Code of Ethics, as the particular matter restriction does not apply to her position as LPA Program Director according to 2022-FAO-009.

The screen was executed on May 20, 2022, a copy of which was sent to Commissioner Michael J. Smith.

AFFIRMATION

Your signature below affirms that your disclosures on this form are true, complete, and correct to the best of your knowledge and belief. In addition to this form, you have attached a copy of your written disclosure to your agency appointing authority and ethics officer.

<i>Kayti Adams</i> Signature of state officer, employee or special state appointee	Date signed <i>(month, day, year)</i> May 20, 2022
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Printed full name of state officer, employee or special state appointee
Kayti Adams

FOR ETHICS OFFICER USE ONLY

Your signature below affirms that you have reviewed this disclosure form and that it is true, complete, and correct to the best of your knowledge and belief. You also attest that your agency has implemented the screen described above.

<i>/s/ Christopher Serak</i> Signature of ethics officer	Date signed <i>(month, day, year)</i> May 20, 2022
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Printed full name of ethics officer
Christopher B. Serak

CONFLICT OF INTEREST SCREEN FOR KAYTI ADAMS

May 20, 2022

I. RECITALS

WHEREAS, Kayti Adams is a LPA Program Director for the Seymour District of the Indiana Department of Transportation ("INDOT" or "Department"); and

WHEREAS, Kayti Adams has entered employment negotiations with the Hannum, Wagle and Cline Engineering ("HWC" or "HWC Engineering"); and

WHEREAS, HWC has a business relationship with INDOT including active contracts with the Department; and

WHEREAS, as LPA Program Director for INDOT- Seymour District, Kayti Adams could be assigned to work with HWC or to participate in matters in which the company has an interest as part of her regular duties; and

WHEREAS, Kayti Adams' employment negotiations with HWC created a potential decision and voting conflict of interest under IC 4-2-6-9 requiring disclosure and a formal screen; and

WHEREAS, the State Ethics Commissioner determined in 2022-FAO-009 that the particular matter restriction under IC 4-2-6-11(a) does not apply to the position of INDOT LPA Program Director (aka Local Program Director); and

WHEREAS, Kayti Adams may have obtained confidential information in the course of her employment with INDOT and has an affirmative duty to protect such information from disclosure and to refrain from relying on such information for the benefit of herself or future employers.¹

NOW THEREFORE, Kayti Adams, LPA Program Director for INDOT- Seymour District, for her remaining tenure with the Department, or until she discontinues employment negotiations with HWC Engineering, agrees to in all ways follow, adhere to, and satisfy the terms of the following Conflict of Interest Screen. Kayti Adams must adhere to terms three (3) in perpetuity, or until such time that the subject information is no longer deemed confidential.

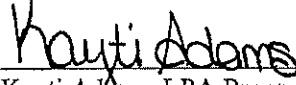
II. CONFLICT OF INTEREST SCREEN

1. Kayti Adams shall not participate in any decision or vote, or any matter related to such decision or vote, in which HWC Engineering has a financial interest.
2. Kayti Adams is screened from participating in any present/future contract or other matter involving HWC during her tenure with INDOT or until she discontinues negotiations with the entity.
3. Pursuant to her duty under Ind. Code §5-14-3-10, Kayti Adams shall not disclose or otherwise rely upon information classified as confidential under Ind. Code §5-14-3-4. This restriction applies in perpetuity, so long as the subject information is deemed confidential.

III. EMPLOYEE AFFIRMATION

I have read and understand the terms of the foregoing Conflict of Interest Screen, and will in all ways follow, adhere to, and satisfy the above stated restrictions on my participation in any decision or vote in which HWC Engineering has a financial interest. I understand that the first two (2) terms of this screen terminate upon my leaving the Department or the discontinuation of negotiations with HWC Engineering, whichever occurs first. I further understand that term three (3) regarding confidential information applies in perpetuity, so long as the subject information is deemed confidential. I have shared and discussed this Conflict of Interest Screen and its requirements with my supervisor.

Executed and agreed this 20th day of May 2022, by:



Kayti Adams, LPA Program Director
Indiana Department of Transportation- Seymour District

¹ State employees have an affirmative duty under Ind. Code §5-14-3-10 to protect from disclosure and to refrain from relying upon information defined as confidential under Ind. Code §5-14-3-4.

Baker, Nathaniel P

To: Serak, Chris (INDOT)
Subject: RE: Executed COI Disclosure & Screen (Kayti Adams)

From: Serak, Chris (INDOT)
Sent: Wednesday, May 25, 2022 10:26 AM
To: Smith, Michael J <Michael.Smith@indot.IN.gov>
Cc: Creighton, Chris (INDOT) <CCreighton@indot.IN.gov>; Adams, Kayti <KAdams@indot.IN.gov>
Subject: Executed COI Disclosure & Screen (Kayti Adams)

Commissioner Smith-

Pursuant to the notice requirements of Ind. Code 4-2-6-9(b), please find the attached conflict disclosure and internal screen executed by INDOT employee Kayti Adams. The disclosure will be filed with the Indiana Ethics Commission in satisfaction of the same.

Please let me know if you have any questions.

Chris

Christopher B. Serak,
Director of Prequalification & Construction Compliance
Ethics Officer
Prequalification Committee (Chairman)
Selection Review Committee (Member)
Risk Advisory Board (Member)
Indiana Department of Transportation
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