



November 16, 2021
Microsoft Teams Live Event

1:00 pm – 2:00 pm **Professional Responsibility: Ethics and the Government Lawyer**

John L. Krauss, *Commissioner/Immediate Past Chair*
Indiana Supreme Court Disciplinary Commission
Adrienne Meiring, *Executive Director*, Indiana Supreme
Court Disciplinary Commission

During this session, Executive Director Meiring and Commissioner and Immediate Past Chair Krauss of the Indiana Supreme Court Disciplinary Commission will provide an overview of the Indiana Professional Rules of Responsibility and how they apply specifically to government attorneys.

2:00 pm – 2:30 pm **‘Where can I work next, and what can I do there?’:
An Ethics Roadmap for Post-Employment Issues**

Chris Serak, *Prequalification Director/Ethics Officer*,
Indiana Department of Transportation (INDOT)

Mr. Serak assists state employees (including attorneys and other professionals) in navigating through ethics issues concerning post-employment. The most common question he receives as Ethics Officer is some variation of ‘when I leave INDOT, where can I work and what can I do for them?’ Mr. Serak’s presentation will provide direction regarding such questions by exploring the content and application of the Indiana Code of Ethics’ particular matter restriction (IC 4-2-6-11(c)) as well as the limitations on post-employment imposed by IC 4-2-6-11(b) (cooling off period). To present the real-world implications of these rules, Mr. Serak will narrate various fact patterns drawn from his time as INDOT’s ethics officer and outline some of the post-employment work plans constructed to ensure INDOT employees pursue ethical post-public employment careers under all applicable codes of conduct.

2:30 pm – 3:00 pm **Conflicts of Interests: Play it Safe by Knowing and Applying the Rules**
Jennifer Thuma, *Administrative Law Judge/Ethics Officer*,
Indiana Board of Tax Review

Participants in this session will learn about the key conflicts of ethics statutes, rules and cases involving contracts, voting and gifts, while also expanding their knowledge to new areas by way of example that they may not have considered previously. Ms. Thuma will discuss the spectrum of ethics and conflicts, ranging from criminal to civil state ethics violations. By engaging in some thought provoking exercises, conflicts of interest rules will be brought to life in real world situations applicable to state government employees, state contractors, special appointees and others.

3:00 pm – 3:15 pm **Break**

3:15 pm – 4:15 pm **OIG Attorney Panel: Ethical Implications Involved in Political Activity and Engaging in Other Outside Employment/Professional Activities**
Jen Cooper, *State Ethics Director*
Mark Mader, *Staff Attorney*
Tiffany Mulligan, *Chief Legal Counsel*
Moderator: David Cook, *Inspector General*

Attorneys with the Indiana Office of Inspector General will discuss common ethics issues faced by state government employees who wish to engage in political activity, including running for office, or starting an outside business venture or moonlighting opportunity while maintaining their state employment. The OIG attorneys will present cases and State Ethics Commission opinions and guidance related to political activity and outside professional activities to demonstrate the application of the Code of Ethics to these situations. The discussion will include the rules on conflicts of interests, misuse of state property, state time and confidential information, as well as implications under criminal law and the Professional Rules of Conduct.

4:15 pm – 4:30 pm **Inspector General's Award for Excellence**
David Cook, *Inspector General*

Sponsored by the Office of the Inspector General