

 Indiana Finance Authority Clean Water State Revolving Fund Loan Program Project Scoring and Ranking Worksheet¹ State Fiscal Year 2024 (July 1, 2023 to June 30, 2024)	
Applicant Name (City/Town/Utility):	Project Name:
SRF Project No.:	NPDES No.:
Reviewer:	Date:

List population served in the appropriate box (below)	
Small System: population served < 10,000.	
Large System: population served ≥ 10,000.	

Core Project Points	Score	Maximum Points Available
Section 1: Project Need	0	65 points
Section 2: Water Quality Benefits	0	55 points
Section 3: Brownfield Re-use	0	12 points
Section 4: Affordability, Disadvantaged Communities, and Population	0	33 points
Total Core Project Score:	0	165 Possible Points
Organizational Points	Score	Maximum Points Available
Sustainable Infrastructure	0	15 points
Miscellaneous Points	0	10 points
Regionalization	0	10 points
Total Organizational Points:	0	35 Possible Points
Point Deduction	Score	Maximum Points Available
De-regionalizing	0	-20 Points
Total Point Deduction:	0	-20 Possible Points
Total Points Earned:	0	200 Max Total Points

Instructions:

Projects are scored using the following criteria to develop the Clean Water State Revolving Fund (CWSRF) Loan Program Project Priority List (PPL). To the extent practical, the CWSRF Loan Program expects to give priority to projects that:

1. Provide a Water Quality Benefit;
2. Improve the condition of the system;
3. Assist systems in most financial need; and
4. Employ other best practices, including, but not limited to, collaboration with other entities.

Points are assigned only when the proposed project intends to correct the problem identified under the appropriate section(s) with the associated points. For example, if the treatment system has persistent violations but the proposed project does not address the violations, the points associated with the violations will not be assigned. However, if the applicant has persistent violations and addresses them, the points associated with the violations will be assigned.

The total number of available points is 200. The total number of available Core Project Points is 165. The total number of available Organizational Points is 35 points. The Total Score is determined by adding the Core Project Points + Organizational Points - Deduction Points. **If a tie occurs, the project with the lowest Median Household Income (MHI) prevails.**

A loan recipient must submit a Preliminary Engineering Report (PER) on or before April 1 to the CWSRF with executed Asset Management Program Certificate in order to be Scored and Ranked on the PPL. A PER submitted after April 1, will be scored and unranked. Participants that submit applications only (without a PER) will appear as unscored and unranked.

¹ Scoring will be applied to multiple funding sources administered by the Indiana Finance Authority (Authority)

Core Project Points

Section 1: Project Need

This section assigns points to projects by the category of improvement(s) being made.
Note: Points will only be assigned if the information is provided in the PER.

Categories of Need: Proposed projects may fall into multiple categories, based on EPA and SRF-defined criteria.	Points	Earned
1. Categories I/II: Secondary/Advanced System Treatment	5 Points	
2. Category IIIA: Infiltration/Inflow Correction	4 Points	
3. Category IIIB: Major Sewer System Rehabilitation	4 Points	
4. Category IVA: New Collection Sewers	5 Points	
5. Category IVB: New Interceptors	2 Points	
6. Category V: Combined Sewer Overflow Correction	6 Points	

7. Category VI: Stormwater System Development / Improvements	2 Points	
8. Category VII: Nonpoint Source	4 points	
9. Category VIII: IDEM Orders (connection ban, early warning notice, etc.)	5 points	
10. Category IX: Emerging Contaminants addressed by project	6 points	
Total Points:		0

Age of Wastewater Treatment Plant (WWTP): At least 50% of the plant was constructed 20+ years ago.	Yes	2 Points	
	No	0 Points	
Total Points:			0

Septic Tank Elimination: The proposed project eliminates the number of existing septic tanks by approximately ____.	1-25 septics	1 Point	
	26-75 septics	2 Points	
	76-125 septics	3 Points	
	≥ 126 septics	4 Points	
Total Points:			0

Combined Sewer Overflows (CSO): The proposed project eliminates a percentage of either the annual average overflow volume or the number of events per year, on a system-wide basis.	Project removes 1-25%	2 Points	
	Project removes 26-50%	3 Points	
	Project removes 51-75%	4 Points	
	Project removes 76-100%	5 Points	
Total Points:			0

Sanitary Sewer Overflows (SSO): The proposed project eliminates a percentage of either the annual average overflow volume or the number of events per year on a system-wide basis.	Project removes 1-25%	1 Point	
	Project removes 26-50%	2 Points	
	Project removes 51-75%	3 Points	
	Project removes 76-100%	4 Points	
Total Points:			0

Regionalization: The proposed project reduces the number of National Pollution Discharge Elimination System (NPDES) discharges, via regionalization.	Eliminates one or more NPDES discharger	5 Points	
Total Points:			0

Infiltration/Inflow (I/I): The proposed project corrects existing I/I within a collection system.	Yes	2 Points	
	No	0 Points	
Total Points:			0
Total Points Section 1 Earned:			0

Section 2: Water Quality Benefits

Assign points to proposed projects with an environmental benefit to a receiving stream.		
Dilution Ratio Points (DRP): (7-day Q_{10} of receiving stream in cfs / (design flow in mgd) x (1.55 cfs/mgd)). This is the calculation of effluent limits is outlined in the WWTP NPDES permit and is based on the post-project conditions.	Points	Earned
0-.99	5 Points	
1.00 – 4.99	4 Points	
5.00 – 9.99	3 Points	
10.0 or greater	2 Point	
Total Points:		0

Outstanding Resource Impact: Assign 5 points if the proposed project will improve water quality in an Outstanding State Resource (327 IAC 2-1.5-2 (3)), Exceptional Use Stream (327 IAC 2-1-11), Natural, Scenic and Recreational River or Stream (312 IAC 7-2), Outstanding Rivers List for Indiana (Indiana Register 20070530-IR 312070287NRA), or a salmonid stream (327 IAC 2-1.5-5(a)(3)).	5 Points	
Total Points:		0

Drinking Water Impact: Assign 5 points if the proposed project will positively impacts a drinking water supply.	5 Points	
Total Points:		0

TMDL Impact: Assign 5 points if the proposed project implements an approved Total Maximum Daily Load (TMDL).	5 Points	
Total Points:		0

Priority Segment Impact: Assign 1 point, if the proposed project impacts segments within drainage basins that have been designated by the State as priority basins. Priority basins include, Lake Michigan - Great Lakes Initiative (GLI), St. Joseph River, and Maumee River Basins.	1 Point	
Total Points:		0

Water Quality Impact: Assign points if the proposed project will enable the community to maintain or achieve compliance with established or future anticipated water quality standards.		
1. The project is necessary to achieve or maintain compliance with effluent limitations based on water quality standards for conventional pollutants (i.e., CBOD5, TSS).	<u>Maintain: 3 Points</u> Achieve: 6 Points	
2. The project is necessary to achieve or maintain compliance with effluent limitations based on water quality standards for toxic substances (i.e. heavy metals and man-made organic compounds).	<u>Maintain: 3 Points</u> Achieve: 6 Points	
3. The project is necessary to achieve compliance with effluent limitations based on water quality standards for additional or more stringent limits than existing NPDES permit.	<u>Maintain: 3 Points</u> Achieve: 6 Points	
Total Points:		0

Pollution Reduction Value: Assign points for the proposed nonpoint source project, based on the estimated percentage in <i>E. coli</i> reduction to the receiving stream. <i>Note:</i> A defined project area is required.		
75% reduction and greater	6 Points	
50% - 74%	5 Points	
25% - 49%	4 Points	
Less than or equal to 24%	3 Points	
Total Points:		0

Impaired Water Score: Assign points if the proposed project will reduce or eliminate pollutants causing the impairment of a 303(d) listed water body, impairment documented in the IDEM External Data Framework (Tier 2 data or higher), or an outstanding/exceptional resource water.	10 Points	
Total Points:		0
Total Points Earned in Section 2:		0

Section 3: Brownfield Reuse

Brownfield Reuse: Assign 12 points if a proposed project includes the remediation/redevelopment of a Brownfield (IC13-11-2-19.3), in conjunction with the Indiana Brownfields Program.	Points	Earned
SRF Community also has an Indiana Brownfields Program application submitted.	12 Points	
Total Points Earned in Section 3:		0

Section 4: Affordability, Disadvantaged Communities, and Population

Assign points for communities most in need on a per-household basis. The upper limit for points in this section is 24 points.	Points	Earned
1. Median Household Income If MHI ≤80% State MHI, assign 3 points If MHI ≤50% State MHI, assign 6 points	<u>3 points</u> 6 Points	
2. Percent of Population over 65 (≥ 15%)	5 Points	
3. Percent Minority If population >10,000, with minority population >50%, assign 6 points If population ≤10,000, with minority population >20%, assign 6 points	6 Points	
4. Population Trend (if decreasing for 5+ years, assign 5 points)	5 Points	
5. Post-Project User Rate as Percentage of Lowest Quintile Upper Limit Income If >2.5%, assign 3 points If ≥4.5%, assign 6 points	<u>3 points</u> 6 points	
6. Percent of the impacted Population below the Poverty Line (≥12%)	5 points	
Total Points Earned in Section 4:		0

Organizational Points

Sustainable Infrastructure

The proposed project incorporates sustainable infrastructure, as identified on the Green Project Reserve (GPR) Sustainability Incentive Clean Water Checklist. 3 points are assigned per category, with a 15-point maximum.

<u>GPR Category</u>	Points	Earned
1. Green Infrastructure	3 Points	
2. Water Efficiency	3 Points	
3. Energy Efficiency	3 Points	
4. Environmentally Innovative	3 Points	
5. Climate Resiliency	3 Points	
Total Sustainable Infrastructure Points Earned:		0

<u>Other Funds Available/Being Pursued</u>	Points	Earned
1. No additional sources of funding are anticipated for the proposed project	0 Point	
2. Applying for additional sources of funding for the proposed project	1 Point	
3. Funds have been awarded or committed for the project - this may include unspent SRF loan funds or local funds.	3 Point	
Total Funding Points Earned:		0

Miscellaneous Points	Points	Earned
Participant completed Indiana's Clean Water Needs Survey	5 Points	
Zero IDEM violations in the past 12 months	2 Points	
Total Miscellaneous Points Earned:		0

Regionalization

	Points	Earned
Assign 6 points if a proposed project community will regionalize with another system.		
Regionalize with a nearby wastewater system	10 Points	
Remain regionalized with a nearby wastewater system	10 Points	
Total Regionalization Points Earned:		0

Point Deduction

<u>Deregionalizing</u>		
Deduct 20 points for proposed projects that will disconnect from a viable regional wastewater treatment system..		
Disconnecting from a viable wastewater treatment system.	- 20 Points	
Total Points Deducted:		0