FRONT-END DOCUMENT CERTIFICATION

I ______________________________, of ________________________________________ certify
(Engineer’s Name)         (Engineering Firm)
that the bidding documents for the ______________________________ Project(s) for the
(Project Name)
____________________________________comply with the current Consolidated Appropriations Act
(SRF Applicant)
and all the bidding requirements of the State Revolving Fund Loan Programs, the Indiana Finance
Authority and the State of Indiana (collectively also called the “State”) which include, among
others, the following:

1. **OEE-1** (Certification of Nonsegregated Facilities)
2. **OEE-2** (Notice to Labor Unions or Other Organizations of Workers Nondiscrimination
   In Employment)
3. **Bidders List** (available on SRF website)
4. **Davis-Bacon Act** and related laws and regulations.
   a) A copy of the applicable Davis-Bacon wage determination will be included in all
      contracts and subcontracts for work.
   b) A copy of the wage determination and the Davis-Bacon poster (WH-1321) will be
      posted at all times by the contractor and subcontractors at the Project site in a
      prominent and accessible place where it can be easily seen by workers.
   c) All contracts and subcontracts for work will include terms and conditions similar
      to those provided in Attachments A-D.
5. **Green Project Reserve and Sustainability Incentive.** If U.S. EPA Green Project
   Reserve (GPR) components are included in this project, all Bidders shall provide the
   portion of their total bid amount allocated to each GPR component. All solicitations
   or requests for Bids shall include instructions similar to those provided in
   Attachment F. Bidders shall be required to provide the bid amount allocated to each
   GPR component with their Bid using the GPR Bid Breakdown (attached as
   Attachment G) or equivalent form. Consulting Engineer shall return the GPR Final
   Bid Summary (attached as Attachment H) to SRF with each Project Contract post-
   bid documentation.
6. **Suspension and Debarment** All contracts for work will include terms and conditions
   similar to those provided in Attachment E.
7. **American Iron and Steel Clause.**
   a) All procurement contracts must include American Iron and Steel language as set
      forth in Attachment I. Bid documents must inform the bidders of this requirement.
   b) Prior to entering into a procurement contract all successful bidders will certify in
      the form as set forth in Attachment I to such matters. (Bid documents must inform
      the bidders of such matters).
   c) Section 436 (a)(1) of the Consolidated Appropriations Act, 2014 requires that none
      of the appropriated funds may be used for the construction, alteration, maintenance,
      or repair of a public water system or treatment works unless all of the iron and steel
      products used in the project are produced in the United States unless (a) a waiver is
      provided to the recipient by U.S. EPA (“EPA Waiver”) or (b) compliance would be
      inconsistent with United States obligations under international agreements. In order
      to receive an EPA Waiver, the SRF Applicant must send a written request to EPA
      and EPA must find that:
i. Applying the subsection (a) would be inconsistent with the public interest;
ii. Iron and steel products are not produced in the United States in sufficient and reasonably available quantities and of a satisfactory quality; or
iii. Inclusion of iron and steel, products produced in the United States will increase the overall cost of the project by more than 25 percent.

8. **Nonpoint Source.** If NPS components are included in this project, all Bidders shall include the NPS components in their total bid amount.

9. **Useful Life Certification**

Please check one of the following:

____ I certify that no land/easement acquisition was required for this project.

____ Land/easement acquisition is not yet complete so we are requesting a mutual agreed upon date of ______________ to have all acquisition finalized.

____ All land acquisition is complete and a letter from the SRF applicant and their attorney is attached certifying compliance with 49 CFR Part 24.

Please complete the following table regarding permits (No need to identify local/county permits):

<table>
<thead>
<tr>
<th>Permit</th>
<th>Permit required?</th>
<th>If required, date submitted? (If not required, leave blank)</th>
<th>If required, date approved or expected date of approval? (If not required, leave blank)</th>
</tr>
</thead>
<tbody>
<tr>
<td>IDEM Construction</td>
<td>Y / N</td>
<td></td>
<td></td>
</tr>
<tr>
<td>IDEM Wetlands/Stream</td>
<td>Y / N</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Army Corps of Engineers</td>
<td>Y / N</td>
<td></td>
<td></td>
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<tr>
<td>NOI – IDEM Stormwater</td>
<td>Y / N</td>
<td></td>
<td></td>
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<tr>
<td>NOI – Watermain Extension</td>
<td>Y / N</td>
<td></td>
<td></td>
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<tr>
<td>DNR Floodway/Floodplain</td>
<td>Y / N</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Other: ________________________</td>
<td>Y / N</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Please check the following as applicable:

____ Sustainable Infrastructure (SI)/Green Initiative (GI) components have been included in this project.

____ All the SI/GI components indicated in the SRF SI/GI Checklist dated ______________ and approved in the PER are incorporated in the Contract Documents and have been appropriately specified and shown on the plans and specifications.

____ Not all the SI/GI components approved in the PER and the SRF SI/GI Checklist are included in the contract documents.

____ Other SI/GI components have been incorporated to substitute or add to the original components.

(Engineer Signature)            (Date)

(Engineer’s Seal)
By signing below, SRF Applicant authorizes the Engineer to act on SRF Applicant’s behalf in complying with all applicable federal, state and local laws including, but not limited to, those identified in this certification. By signing below, SRF Applicant further authorizes the Engineer to administer the oversight and enforcement of all applicable federal, state and local laws including, but not limited to, the completion and submission of the Davis-Bacon Compliance Transmittals and Schedules and all accompanying actions and documentation. SRF Applicant understands and acknowledges that this authorization does not alter or relieve any of its responsibilities under this certification or the Financial Assistance Agreement. SRF Applicant further understands and acknowledges that this certification is offered as guidance to assist SRF Applicant with its obligation to comply with all applicable laws and that there are additional requirements.

_____________________________   __________________
(SRF Applicant Representative Signature)    (Date)

Updated 04/25/2019