

**TITLE COMPANIES**

COMPANY NAME: \_\_\_\_\_ NAIC Company Code: \_\_\_\_\_  
 Contact: \_\_\_\_\_ Telephone: \_\_\_\_\_  
 REQUIRED FILINGS IN THE STATE OF: INDIANA Filings Made During the Year 2021

(1) Check list	(2) Line #	(3) REQUIRED FILING FOR THE ABOVE STATE	(4) NUMBER OF COPIES*			(5) DUE DATE	(6) FORM SOURCE**	(7) APPLICABLE NOTES
			Domestic		Foreign			
			State	NAIC	State			
		<b>I. NAIC FINANCIAL STATEMENTS</b>						
	1	Annual Statement (8 1/2" x 14")	EO	EO	xxx	3/15	NAIC	A,B,E-O
	1.1	Printed Investment Schedule detail (Pages E01-E29)	EO	EO	xxx	3/15	NAIC	A,B,E-O
	2	Quarterly Financial Statement (8 1/2" x 14")	EO	EO	xxx	5/15, 8/15, 11/15	NAIC	A,B,E-O
		<b>II. NAIC SUPPLEMENTS</b>						
	11	Actuarial Opinion	EO	EO	xxx	3/15	Company	A,B,E-J
	12	Investment Risk Interrogatories	EO	EO	xxx	4/1	NAIC	A,B,E-K,N
	13	Management Discussion & Analysis	EO	EO	xxx	4/1	Company	A,B,E-K,O
	14	Schedule SIS	EO	N/A	N/A	3/15	NAIC	A,B,E-K,N
	15	Supplemental Compensation Exhibit	EO	N/A	N/A	3/15	NAIC	A,B,E-K,N
	16	Supplemental Schedule of Business Written By Agency	EO	N/A	xxx	4/1	NAIC	A,B,E-K,N
		<b>III. ELECTRONIC FILING REQUIREMENTS</b>						
	60	Annual Statement Electronic Filing	xxx	EO	xxx	3/15	NAIC	
	61	March .PDF Filing	xxx	EO	xxx	3/15	NAIC	
	62	Supplemental Electronic Filing	xxx	EO	xxx	4/1	NAIC	
	63	Supplemental .PDF Filing	xxx	EO	xxx	4/1	NAIC	
	64	Quarterly Statement Electronic Filing	xxx	EO	xxx	5/15, 8/15, 11/15	NAIC	
	65	Quarterly .PDF Filing	xxx	EO	xxx	5/15, 8/15, 11/15	NAIC	
	66	June .PDF Filing	xxx	EO	xxx	6/1	NAIC	
		<b>IV. AUDIT/INTERNAL CONTROL RELATED REPORTS</b>						
	81	Accountants Letter of Qualifications	EO	EO	N/A	6/1	Company	B,E,U
	82	Audited Financial Reports	EO	EO	xxx	6/1	Company	B,E,F,I,V
	83	Audited Financial Reports Exemption Affidavit	EO	N/A	N/A	6/1	Company	B,E,F,I,P
	84	Communication of Internal Control Related Matters Noted in Audit	EO	EO	N/A	8/1	Company	B,E,V
	85	Independent CPA (change)	EO	N/A	N/A		Company	B,P
	86	Management's Report of Internal Control Over Financial Reporting	EO	N/A	N/A	8/1	Company	B,E,
	87	Notification of Adverse Financial Condition	EO	N/A	N/A	See Note J	Company	B,JI
	88	Request for Exemption to File	EO	N/A	N/A	See Note S	Company	B,I, P
	89	Relief from the five-year rotation requirement for lead audit partner	EO	EO	xxx	3/1	Company	B,I,P
	90	Relief from the one-year cooling off period for independent CPA	EO	EO	xxx	3/1	Company	B,I,P
	91	Relief from the Requirements for Audit Committees	EO	EO	xxx	3/1	Company	B,I,P
		<b>V. STATE REQUIRED FILINGS</b>						
	102	Annual Company Profile Questionnaire	EO	0	N/A	4/1	Company	B,J,L,M,O,Z
	102	Basket Clause	EO	0	0	3/15	State	B,E,J,K,M,O
	103	Corporate Governance Annual Disclosure***	EO	0	N/A	6/1	Company	A,B,E,J,O
	104	Form F ****	EO	0	xxx	7/1	State	B,E,G,J,O,Y
	105	Holding Company Registration Statement(Rule 15.1, Form B & C)	EO	0	xxx	7/1	State	B,E,G,J,O
	106	ORSA*****	EO	0	N/A	See Note KK	Company	B,J,O,EE
	107	Premium Tax	EO	0	EO	3/15,4/15,6/15, 9/15,12/15	State	D,E,F
	108	State Filing Fees (Indiana Fee and Retaliatory Fee Statement)	EO	0	EO	3/15	State	C,E
	109	Year-End Deposit Requirements for Indiana Domestic Companies and any Foreign companies with deposit in Indiana	EO	0	EO	2/15	State	CC

\*If XXX appears in this column, this state does not require this filing, if hard copy is filed with the state of domicile and if the data is filed electronically with the NAIC. If N/A appears in this column, the filing is required with the domiciliary state. EO (electronic only filing).

\*\*If Form Source is NAIC, the form should be obtained from the appropriate vendor.

\*\*\*For those states that have adopted the NAIC Corporate Governance Annual Disclosure Model Act, an annual disclosure is required of all insurers or insurance groups by June 1. The Corporate Governance Annual Disclosure is a state filing only and should not be submitted by the company to the NAIC. Note however that this filing is intended to be submitted to the lead state if filed at the insurance group level. For more information on lead states, see the following NAIC URL: [http://www.naic.org/public\\_lead\\_state\\_report.htm](http://www.naic.org/public_lead_state_report.htm).

\*\*\*\*For those states that have adopted the NAIC updated Holding Company Model Act, a Form F Filing is required annually by holding company groups. Consistent with the Form B filing requirements, the Form F is a state filing only and should not be submitted by the company to the NAIC. Note however that this filing is intended to be submitted to the lead state. For more information on lead states, see the following NAIC URL: [http://www.naic.org/public\\_lead\\_state\\_report.htm](http://www.naic.org/public_lead_state_report.htm)

\*\*\*\*\*For those states that have adopted the NAIC updated Risk Management and Own Risk and Solvency Assessment Model Act, a summary report is required annually by insurers and insurance groups above a specified premium threshold. The ORSA Summary Report is a state filing only and should not be submitted by the company to the NAIC. Note however that this filing is intended to be submitted to the lead state if filed at the insurance group level. It is the Department's preference that ORSA filing be submitted on or before September 1. For more information on lead states, see the following NAIC URL: [http://www.naic.org/public\\_lead\\_state\\_report.htm](http://www.naic.org/public_lead_state_report.htm)