

STATE OF INDIANA)
) SS:
COUNTY OF MARION)

BEFORE THE INDIANA
COMMISSIONER OF INSURANCE

CAUSE NO.: 22905-AD23-1221-049

IN THE MATTER OF:)
)
Scott O. Hicks)
6939 W. Glenbrook Rd.)
Milwaukee, WI 53223)
)
Applicant.)
)
Type of Agency Action: Enforcement)
)
License Application #: 1101556)

FILED

MAR 26 2024

STATE OF INDIANA
DEPT. OF INSURANCE

PRELIMINARY ADMINISTRATIVE ORDER
AND NOTICE OF LICENSE DENIAL

The Indiana Department of Insurance (“Department”), pursuant to the Indiana Administrative Orders and Procedures Act, Indiana Code § 4-21.5-1 *et seq.* and Indiana Code § 27-1-15.6-12, hereby gives notice to Scott O. Hicks (“Applicant”) of the following Administrative Order:

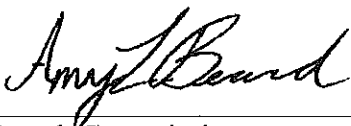
1. Applicant submitted an application for nonresident producer licensure with the Commissioner of the Indiana Department of Insurance (“Commissioner”) on October 25, 2023.
2. Before approving an application, the Commissioner must find that the applicant has met specific requirements under Indiana Code § 27-1-15.6-8 and Indiana Code § 27-1-15.6-12.
3. Indiana Code § 27-1-15.6-12(b)(1) states, in part, that the Commissioner may refuse to issue an insurance producer license for providing incorrect, misleading, incomplete, or materially untrue information in a license application.

4. Indiana Code § 27-1-15.6-12(b)(9) states, in part, that the Commissioner may refuse to issue an insurance producer license for having an insurance producer license, or its equivalent, denied, suspended, or revoked in any other state, province, district, or territory.
5. Following a review of public records and the materials submitted by Applicant, the Commissioner, being fully advised, now hereby notifies Applicant that Applicant has not fully met the requirements of licensure, as stated by Indiana Codes §§ 27-1-15.6-12(b)(1) and 27-1-15.6-12(b)(9), due to Applicant's two prior administrative actions in another state and for Applicant failing to disclose the administrative actions on Applicant's application for licensure:
 - a. A May 3, 2016, license denial by the Wisconsin Office of the Commissioner of Insurance, due to competence and trustworthiness factors, specifically for failing to disclose misdemeanor convictions.
 - b. An October 2, 2017, license denial by the Wisconsin Office of the Commissioner of Insurance, for failing to disclose the May 3, 2016, administrative action.
6. Indiana Code § 27-1-15.6-12(d) provides that the applicant may, not more than sixty-three (63) days after notice of denial of the applicant's application is mailed, make written demand to the Commissioner for a hearing before the Commissioner to determine the reasonableness of the Commissioner's action.
7. This is considered an administrative action by the Indiana Department of Insurance. If you choose to appeal this administrative action, please follow the aforementioned instructions. Subsequently, after the Department has received your written request for a hearing, the

State of Indiana Office of Administrative Law Proceedings (“OALP”) will assign an administrative law judge to preside over this matter, and you will receive more information from OALP to begin the administrative process.

IT IS THEREFORE ORDERED that Applicant’s request for licensure is hereby **DENIED** pursuant to Indiana Codes §§ 27-1-15.6-12(b)(1) and 27-1-15.6-12(b)(9) due to Applicant’s two prior administrative actions in another state and for failing to disclose the administrative actions on Applicant’s application for licensure. Applicant may reapply for licensure not less than one (1) year from the date of this order.

3-26-2024
Date Signed



Amy L. Beard, Commissioner
Indiana Department of Insurance

Distribution:

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