

STATE OF INDIANA )  
 ) SS:  
COUNTY OF MARION )

BEFORE THE INDIANA  
COMMISSIONER OF INSURANCE

CAUSE NO.: 22606-AG23-1004-138

IN THE MATTER OF:

Ali Umatiya  
25702 Meadow Vista Dr.  
San Antonio, TX 78260

Respondent.

License Number: 3876086

Type of Action: Enforcement

**FILED**

**FEB 06 2024**

STATE OF INDIANA  
DEPT. OF INSURANCE

**AMENDED FINDINGS OF FACT AND SUSPENSION ORDER**

WHEREAS, Ali Umatiya ("Respondent") is a nonresident insurance producer holding license #3876086 since February 21, 2023;

WHEREAS, on October 5, 2023 the Commissioner of the Indiana Department of Insurance ("Commissioner") issued her Findings of Fact and Suspension Order ("Suspension Order") which suspended Respondent's license of for failure to provide a list of appointments within ten (10) days in violation of Indiana Code § 27-1-15.6-12(g);

WHEREAS, on September 5, 2023, the Commissioner mailed Respondent a certified mail article # 7021 0350 0001 6944 7870 requesting a list of appointments to Respondent's address of record;

WHEREAS, certified mail article # 7021 0350 0001 6944 7870 was delivered to Respondent by USPS on September 13, 2023;

WHEREAS, Respondent has failed to provide a list of appointments within ten (10) days of receiving the Commissioner's request;

WHEREAS, Indiana Code § 27-1-15.6-12(g) provides, in part, that a licensed producer shall furnish the Commissioner with a full and complete report listing each insurer with which the licensee has held an appointment during the year preceding the request within ten (10) days of receiving a request;

WHEREAS, Indiana Code § 27-1-15.6-12(h) provides that the Commissioner may, without a hearing and in the Commissioner's sole discretion, suspend any insurance license held by the licensee in the event that the licensee fails to remit the list of appointments within ten (10) days of receiving the request;

WHEREAS, on October 23, 2023, after Commissioner issued the October 5, 2023 Suspension Order, Respondent voluntarily surrendered Respondent's Texas home state license;

WHEREAS Respondent's home state resident producer license is no longer in effect as required under Indiana Code § 27-1-15.6-8(f);

WHEREAS, Indiana Code § 27-1-15.6-8(f) provides that a nonresident producer who receives a nonresident producer license shall maintain licensure in good standing in the nonresident producer's home state; and

WHEREAS, Indiana Code § 27-1-15.6-8(g) provides that the Commissioner may, without a hearing and in the Commissioner's sole discretion, suspend any Indiana insurance producer license held by the nonresident producer until the Commissioner receives notice from the nonresident producer's home state that the home state license is in effect.

**IT IS THEREFORE ORDERED BY THE COMMISSIONER** Respondent's license #3876086, suspended since October 5, 2023, will remain suspended until Respondent submits the list of appointments requested by the Commissioner and the Commissioner receives notice from Respondent's home state that Respondent's home state license is in effect.

02.06.2024  
Date Signed

  
\_\_\_\_\_  
Amy L. Beard, Commissioner  
Indiana Department of Insurance

Distribution to:

Ali Umatiya  
35702 Meadow Vista Dr.  
San Antonio, TX 78260

Joseph Bossinger, Attorney  
ATTN: Anthony Loy-Howell, Investigator  
Indiana Department of Insurance  
311 West Washington St. #103  
Indianapolis, IN 46204-2787

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License Number: 3876086                )  
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Type of Action: Enforcement            )

**FILED**  
**OCT 05 2023**  
STATE OF INDIANA  
DEPT. OF INSURANCE

**FINDINGS OF FACT AND SUSPENSION ORDER**

WHEREAS, Ali Umatiya ("Respondent") is a nonresident insurance producer holding license #3876086 since February 21, 2023;

WHEREAS, Indiana Code § 27-1-15.6-12(g) states, in part, that a licensed producer shall furnish the Commissioner of the Indiana Department of Insurance ("Commissioner") with a full and complete report listing each insurer with which the licensee has held an appointment during the year preceding the request within ten (10) days of receiving a request;

WHEREAS, on September 5, 2023, the Commissioner mailed Respondent a certified mail article # 7021 0350 0001 6944 7870 requesting a list of appointments to Respondent's address of record;

WHEREAS, on September 13, 2023, certified mail article # 7021 0350 0001 6944 7870 was picked up and signed for at 3:05 pm by Ali Umatiya;

WHEREAS, Respondent has failed to provide a list of appointments within ten (10) days of receiving the Commissioner's request;



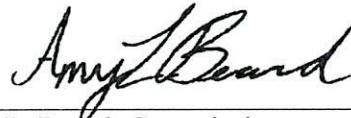
WHEREAS, Respondent's failure to provide the list of appointments within ten (10) days constitutes a violation of Indiana Code § 27-1-15.6-12(g); and,

WHEREAS, Indiana Code § 27-1-15.6-12(h) provides that the Commissioner may, without a hearing and in her sole discretion, suspend any insurance license held by the licensee in the event that the licensee fails to remit the list of appointments within ten (10) days of receiving the request.

**IT IS THEREFORE ORDERED BY THE COMMISSIONER** that Respondent's license #3876086 is hereby suspended, effective immediately, and will remain suspended until Respondent submits the list of appointments requested by the Commissioner.

10.5.2023

Date Signed



Amy L. Beard, Commissioner  
Indiana Department of Insurance

Distribution to:

Ali Umatiya  
35702 Meadow Vista Dr.  
San Antonio, TX 78260

Samantha Aldridge, Attorney  
ATTN: Anthony Loy-Howell, Investigator  
Indiana Department of Insurance  
311 West Washington St. #103  
Indianapolis, IN 46204-2787