

STATE OF INDIANA )  
 ) SS:  
 COUNTY OF MARION )  
 IN THE MATTER OF: )  
 )  
 Dean James )  
 3196 N Jog Rd Apt 6203 )  
 West Palm Beach, FL 33411 )  
 )  
 Applicant. )  
 )  
 Type of Agency Action: Enforcement )  
 )  
 Application #:1094727 )

BEFORE THE INDIANA  
 COMMISSIONER OF INSURANCE  
 CAUSE NO.: 22833-AD23-1012-040

**FILED**  
**DEC 04 2023**  
 STATE OF INDIANA  
 DEPT. OF INSURANCE

**PRELIMINARY ADMINISTRATIVE ORDER**  
**AND NOTICE OF LICENSE DENIAL**

The Indiana Department of Insurance (“Department”), pursuant to the Indiana Administrative Orders and Procedures Act, Indiana Code § 4-21.5-1 *et seq.* and Indiana Code § 27-1-15.6-12, hereby gives notice to Dean James (“Applicant”) of the following Administrative Order:


1. Applicant filed an application for a nonresident producer license with the Commissioner of the Indiana Department of Insurance (“Commissioner”) on September 29, 2023.
2. Before approving an application, the Commissioner must find that the applicant has met specific requirements under Indiana Code § 27-1-15.6-8 and Indiana Code § 27-1-15.6-12.
3. Indiana Code § 27-1-15.6-12(b)(1) provides, in part, that the Commissioner may refuse to issue an insurance producers license for providing incorrect, misleading, incomplete, or materially untrue information in a license application.

4. Indiana Code § 27-1-15.6-12(b)(9) provides, in part, that the Commissioner may refuse to issue an insurance producers license for having an insurance producers license, or its equivalent, denied, suspended, or revoked in any other state, province, district or territory.
5. Following a review of public records and the materials submitted by Applicant in support of Applicant's application, the Commissioner, being fully advised, now hereby notifies Applicant that Applicant has not fully met the requirements of licensure, as stated by Indiana Codes §§ 27-1-15.6-12(b)(1) and 27-1-15.6-12(b)(9) due to Applicant failing to disclose the following administrative actions:
  - a. January 28, 2022 license denial by the Indiana Department of Insurance
  - b. March 2, 2022 license denial by the California Department of Insurance
  - c. March 31, 2022 license denial by the Kansas Insurance Department
  - d. June 27, 2022 license denial by the Kansas Insurance Department
  - e. August 9, 2022 license denial by the California Department of Insurance
6. Indiana Code § 27-1-15.6-12(d) provides that the applicant may, not more than sixty-three (63) days after notice of denial of the application is mailed, make written demand to the Commissioner for a hearing before the Commissioner to determine the reasonableness of the Commissioner's action.
7. This is considered an administrative action by the Indiana Department of Insurance. If you choose to appeal this administrative action, please follow the aforementioned instructions. Subsequently, after the Department has received your written request for a hearing, the State of Indiana Office of Administrative Law Proceedings ("OALP") will assign an

administrative law judge to preside over this matter, and you will receive more information from OALP to begin the administrative process.

**IT IS THEREFORE ORDERED** that Applicant's request for licensure is hereby **DENIED** pursuant to Indiana Codes § § 27-1-15.6-12(b)(1) and 27-1-15.6-12(b)(9) due to Applicant failing to disclose five (5) administrative actions with four (4) of the administrative actions being license denials in other states. Applicant may reapply for licensure not less than one (1) year from the date of this order.

Dec. 4, 2023  
Date Signed

  
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Amy L. Beard, Commissioner  
Indiana Department of Insurance

Distribution to:

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