

STATE OF INDIANA)
) SS:
COUNTY OF MARION)

BEFORE THE INDIANA
COMMISSIONER OF INSURANCE

CAUSE NO.: 22474-AG23-0601-070

IN THE MATTER OF:)
)
Rosanna Miles)
1515 W Escuda Rd)
Phoenix, AZ 85027)
)
Respondent.)
)
Type of Agency Action: Enforcement)
)
License #: 3659873)

FILED

SEP 26 2023

STATE OF INDIANA
DEPT. OF INSURANCE

FINAL ORDER

The Enforcement Division of the Indiana Department of Insurance (“Department”), by counsel, Samantha Aldridge, and Rosanna Miles (“Respondent”), a nonresident insurance producer, signed an Agreed Entry which purports to resolve all issues involved in the above-captioned cause number, and which has been submitted to the Commissioner of the Indiana Department of Insurance (“Commissioner”) for approval.

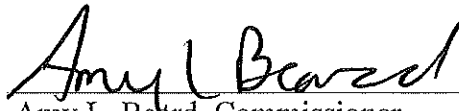
The Commissioner, after reviewing the Agreed Entry, which imposes a civil penalty in the amount of five hundred dollars (\$500) due to Respondent’s failure to report to the Department within in thirty (30) days and failure to disclose on a renewal application, Respondent’s July 15, 2022 administrative action from the Louisiana Department of Insurance that resulted in a \$250 fine, finds it has been entered into fairly and without fraud, duress, or undue influence, and is fair and equitable between the parties. The Commissioner hereby incorporates the Agreed Entry as if fully set forth herein and approves and adopts in full the Agreed Entry as a resolution of this matter.

IT IS THEREFORE ORDERED by the Commissioner as follows:

1. Respondent's nonresident producer license shall be renewed and Respondent shall pay a fine in the amount of five hundred dollars (\$500) within sixty (60) days from the date of this Final Order. Failure to pay the fine will result in the Department seeking immediate revocation of Respondent's license.

9/26/23

Date Signed



Amy L. Beard, Commissioner
Indiana Department of Insurance

Distribution:

Samantha Aldridge
ATTN: Sara Tolliver, Investigator
INDIANA DEPARTMENT OF INSURANCE
311 West Washington Street, Suite 300
Indianapolis, Indiana 46204-2787

Rosanna Miles
1515 W Escuda Rd
Phoenix, AZ 85027

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SEP 26 2023
STATE OF INDIANA
DEPT. OF INSURANCE

AGREED ENTRY

This Agreed Entry is executed by and between the Indiana Department of Insurance (“Department”), by counsel Samantha Aldridge, and Rosanna Miles (“Respondent”), to resolve all issues in the above cause number. This Agreed Entry is subject to the review and approval of the Department’s Commissioner, Amy L. Beard.

WHEREAS, Respondent is a licensed nonresident insurance producer, holding license number 3659873 since April 19, 2021;

WHEREAS, Respondent’s nonresident producer license expired on June 30, 2023;

WHEREAS, Respondent submitted a renewal application for nonresident producer license in the State of Indiana on or about April 11, 2023;

WHEREAS, Respondent failed to timely report an administrative action issued by the Louisiana Department of Insurance on July 15, 2022 that resulted in a \$250 fine for providing incorrect, misleading, incomplete, or materially false information or omission of material information in a license or renewal application;

WHEREAS, Respondent failed to disclose the July 15, 2022, Louisiana administrative action on Respondent’s April 11, 2023, renewal application;

WHEREAS, Indiana Code §27-1-15.6-12(b)(2)(A) states, in part, that the Commissioner may levy a civil penalty against an insurance producer for violating an insurance law;

WHEREAS, Respondent's conduct, as alleged herein, constitutes a violation of Indiana Code §27-1-15.6-17(a), an insurance law which states, in part, that a producer shall report to the Commissioner any administrative action taken against the producer in another jurisdiction or by another governmental agency in Indiana not more than thirty (30) days after the final disposition of the matter;

WHEREAS, Respondent's conduct, as alleged herein, constitutes a violation of Indiana Code §27-1-15.6-12(b)(1) which states, in part, that the Commissioner may levy a civil penalty against an insurance producer for providing incorrect, misleading, incomplete, or materially untrue information in a license application; and

WHEREAS, the Department and Respondent (collectively, the "Parties") desire to resolve this matter without the necessity of a hearing.

IT IS, THEREFORE, NOW AGREED by and between the Parties as follows:

1. The Commissioner has jurisdiction over the subject matter and the Parties to this Agreed Entry.
2. In order to avoid formal litigation in this matter, Respondent has determined that it is in Respondents best interest to enter into this Agreed Entry. As such, Respondent acknowledges that Respondent executes this Agreed Entry with full realization of its contents and effects.
3. This Agreed Entry is executed knowingly, voluntarily, and freely by the Parties. The Parties agree that the terms of this Agreed Entry constitute final resolution of this matter.

4. Respondent knowingly, voluntarily, and freely waives the right to a public hearing on this matter, including the right to appear in person before the Commissioner, present evidence, cross-examine witnesses, and present arguments.
5. Respondent knowingly, voluntarily, and freely waives the right to judicial review of this matter or otherwise appeal or challenge the validity of this Agreed Entry.
6. Respondent knowingly, voluntarily, and freely waives, releases, and forever discharges all claims or challenges, known or unknown, against the Department, its Commissioner, employees, agents, and representatives, in their individual and official capacities, that arise out of or are related to the Agreed Entry or Final Order, including but not limited to any act or omission as part of the underlying audit, investigation, negotiation, or approval process.
7. Respondent's nonresident producer license shall be renewed contingent upon Respondent's timely payment of the civil penalty. Respondent shall pay a civil penalty in the amount of five hundred dollars (\$500) to the Department within sixty (60) days after the Commissioner signs the Final Order adopting this Agreed Entry. Failure to timely pay the civil penalty may result in the Department seeking immediate revocation of Respondent's license.
8. Respondent has carefully read and examined this Agreed Entry and fully understands its terms.
9. Respondent has had the opportunity to have this Agreed Entry reviewed by legal counsel of Respondent's choosing, at Respondent's own expense, and is aware of the benefits gained and obligations incurred by the execution of this

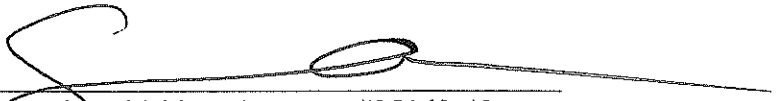
Agreed Entry. Respondent understands and agrees that the Department cannot give him legal advice.

10. Respondent has entered into this Agreed Entry knowingly, voluntarily, and freely, and has not been subject to duress, coercion, threat, or undue influence.
11. This Agreed Entry constitutes the entire agreement between the Parties, and no other promises or agreements, express or implied, have been made by the Department or by any employee, director, agent, or other representative thereof to induce Respondent to enter this Agreed Entry.
12. The Department agrees to accept Respondent's compliance with the terms of this Agreed Entry as full satisfaction of this matter and warrants and represents that so long as Respondent complies with the terms of this Agreed Entry, the Department will not bring any further action against Respondent based on the facts that gave rise to this Agreed Entry.
13. In the event the Department finds there has been a breach of any of the provisions of this Agreed Entry, the Department may reopen this matter and pursue alternative action pursuant to Indiana Code § 27-1-15.6-12.
14. Respondent waives any applicable statute of limitations for purposes of any enforcement of the terms and conditions of this Agreed Entry.
15. Respondent acknowledges that this Agreed Entry may be admitted into evidence in any judicial or administrative proceeding against Respondent to enforce the terms and conditions contained herein.
16. Respondent understands that this Agreed Entry resolves only the matter pending with the Department and does not affect any criminal prosecution or civil litigation that may be pending or hereinafter commence against Respondent.

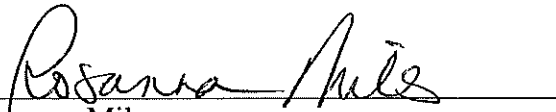
17. This Agreed Entry does not in any way affect the Department's authority in future audits, investigations, examinations, negotiations, or other complaints involving Respondent.
18. It is expressly understood that this Agreed Entry is subject to the Commissioner's acceptance and has no force or effect until such acceptance is evidenced by the entry of a Final Order by the Commissioner.
19. Should this Agreed Entry not be accepted by the Commissioner, it is agreed that presentation to, and consideration of this Agreed Entry by the Commissioner, shall not unfairly or illegally prejudice the Commissioner or Respondent from further participation in or resolution of these proceedings.
20. If this Agreed Entry is accepted by the Commissioner, it will become part of Respondent's permanent record and may be considered in future actions brought by the Department or any other regulator against Respondent. It is further understood that, if accepted by the Commissioner, this Agreed Entry and resulting Final Order are public records pursuant to Indiana Code § 4-21.5-3-32 that may not be sealed or otherwise withheld from the public and may be reported to the National Association of Insurance Commissioners and published on the Department's website as required.

21. Respondent acknowledges that this is an Administrative Action Respondent
may be required to report to other jurisdictions in which Respondent is licensed
and on future licensing applications.

9/14/23
Date Signed


Samantha Aldridge, Attorney #35162-49
Indiana Department of Insurance

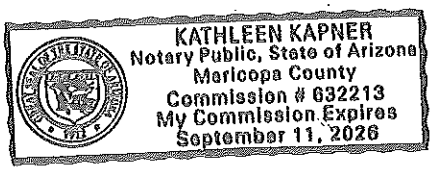
9/6/23
Date Signed


Rosanna Miles,
Respondent

STATE OF ARIZONA)
) SS:
COUNTY OF Maricopa)

Before me a Notary Public for Maricopa County, State of Arizona,
personally appeared Rosanna Miles, and being first duly sworn by me upon Rosanna Miles' oath,
says that the facts alleged in the foregoing instrument are true.

Signed and sealed this 6th day of September, 2023.



Kathleen Kapner
Signature
Kathleen Kapner
Printed

My Commission expires: 09-11-2026

County of Residence: Maricopa

STATE OF INDIANA)
) SS:
COUNTY OF MARION)

BEFORE THE INDIANA
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Type of Agency Action: Enforcement)
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License Number: 3659873)

FILED
AUG 16 2023
STATE OF INDIANA
DEPT. OF INSURANCE

ADMINISTRATIVE ORDER AND
NOTICE OF NONRENEWAL OF LICENSE

The Indiana Department of Insurance (“Department”), pursuant to Indiana Administrative Orders and Procedures Act, Indiana Code § 4-21.5-1 *et seq.*, and Indiana Code § 27-1-15.6-12, hereby gives notice to Rosanna Miles, (“Respondent”) of the following Administrative Order:

1. Respondent is a licensed nonresident insurance producer holding license number 3659873 since April 19, 2021.
2. Respondent’s license expired on June 30, 2023.
3. On or about April 11, 2023, Respondent submitted an application to renew the nonresident producer license.
4. On February 1, 2022, Respondent was convicted of Harassment, a Class 1 Misdemeanor, in the State of Arizona.
5. Respondent failed to report the pending criminal prosecution within thirty (30) days of the initial hearing, failed to report the February 1, 2022, conviction within thirty (30) after the

final disposition of the matter, and failed to report the February 1, 2022, conviction on Respondent's April 11, 2023, renewal application.

6. On July 15, 2022, Respondent received an administrative account from the Louisiana Department of Insurance in the amount of a two hundred and fifty dollar (\$250) fine for failure to disclose the February 1, 2022, Harassment conviction.

7. Respondent failed to disclose the July 15, 2022, administrative action within thirty (30) days of the final disposition in the matter and failed to report the same on Respondent's April 11, 2023, renewal application.

8. Indiana Code § 27-1-15.6-12(b)(1) provides, in part, that the Commissioner may refuse to renew an insurance producer's license for providing incorrect, misleading, incomplete, or materially untrue information in a license application.

9. Indiana Code § 27-1-15.6-12(b)(2)(A) provides, in part, that the Commissioner may refuse to renew an insurance producer's license for violating an insurance law.

10. Indiana Code § 27-1-15.6-17(a) provides, in part, states that a producer shall report to the Commissioner any administrative action taken against the producer in another jurisdiction or by another governmental agency in Indiana not more than thirty (30) days after the final disposition of the matter.

11. Indiana Code § 27-1-15.6-17(b) provides, in part, that not more than thirty (30) days after an initial pretrial hearing date, a producer shall report to the Commissioner any criminal prosecution of the producer initiated in any jurisdiction.


12. Indiana Code § 27-1-15.6-12(d) requires the Commissioner to notify a licensee of the reason for the nonrenewal of his license. This Order serves as that notice.

13. The Commissioner further notifies Respondent that, pursuant to Indiana Code § 27-1-15.6-12(d), Respondent may, within sixty-three (63) days of the mailing of this Order, make a written demand upon the Commissioner for a hearing to determine the reasonableness of this action. Such a hearing shall be held within thirty (30) days from the date of receipt of Respondent's written demand.

14. This is considered an administrative action by the Indiana Department of Insurance. If you choose to appeal this administrative action, please follow the aforementioned instructions. Subsequently, after the Department has received your written request for a hearing, the State of Indiana Office of Administrative Law Proceedings ("OALP") will assign an administrative law judge to preside over this matter, and you will receive more information from OALP to begin the administrative process.

WHEREFORE, based on the foregoing, the Commissioner of Insurance hereby notifies Respondent that Respondent's **nonresident license shall not be renewed** due to failure to timely report and failure to disclose on the renewal application an administrative action and a misdemeanor conviction.

8.16.2023
Date Signed



Amy L. Beard, Commissioner
Indiana Department of Insurance

Distribution:

Samantha Aldridge, Attorney
ATTN: Sara Tolliver, Insurance Investigator
Indiana Department of Insurance
311 West Washington St., Suite 103
Indianapolis, Indiana 46204-2787

Rosanna Miles
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Phoenix, AZ 85027