

STATE OF INDIANA)
) SS:
COUNTY OF MARION)

BEFORE THE INDIANA
COMMISSIONER OF INSURANCE

IN THE MATTER OF:)
)
)
Jason K. Williams)
)
Respondent)
)
Type of Agency Action:Enforcement)
)

FILED

MAY 04 2023

STATE OF INDIANA
DEPT. OF INSURANCE

CAUSE NO.: DOI-2210-002230
20989-AG22-0617-101

FINAL ORDER

On March 24, 2023, the Administrative Law Judge, Brian D Hahn, filed his Findings of Fact, Conclusions of Law and Non-Final Order in the above-captioned matter.

1. The Department served Findings of Fact, Conclusions of law, and Non-Final Order and Notice of Filing Non-Final Order on Respondent by emailing the same to his email address.

2. The Department has complied with the notice requirements of Ind. Code §4-21.5-3-17.

3. Neither party has filed an objection with the Commissioner regarding the Administrative Law Judge’s Findings of Fact, Conclusions of Law and Non-Final Order, and more than eighteen (18) days have elapsed.

Therefore, the Commissioner of Insurance, being fully advised, now hereby adopts in full the Administrative Law Judge’s Findings of Fact, Conclusions of Law, and Non-Final Order and issues the following Final Order:

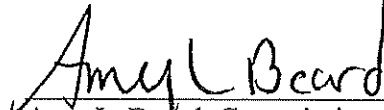
IT IS THEREFORE ORDERED by the Commissioner of Insurance:

1. Respondent is to pay a fine in the amount of two thousand (\$2,000.00) dollars one thousand (\$1,000.00) dollars for each of the two instances Respondent failed to timely report the FINRA matter within 30 days of filing and for failing to disclose it on his renewal application. The fine is to be paid within sixty (60) days of this order.

2. Respondent is on probation for a period of one (1) year from the date of this order.

Under Ind. Code §4-21.5-5-5, Respondent has the right to appeal this Final Order by filing a petition for Judicial review in the appropriate court within thirty (30) days.

ALL OF WHICH IS ORDERED by the Commissioner this 4 day of May, 2023.



Amy L. Beard, Commissioner
Indiana Department of Insurance

Copies to:

Jason K. Williams-Respondent, served by email at jason@vistawaveinsurance.com

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