

STATE OF INDIANA)
) SS:
COUNTY OF MARION)

BEFORE THE INDIANA
COMMISSIONER OF INSURANCE

CAUSE NO.: 22097-AD23-0130-014

IN THE MATTER OF:)
)
David Ross)
131 N. Chicago Avenue)
Rockford, IL 61107)
)
Applicant.)
)
Type of Agency Action: Enforcement)
)
License Application #: 1010847)

FILED

FEB 14 2023

STATE OF INDIANA
DEPT. OF INSURANCE

PRELIMINARY ADMINISTRATIVE ORDER
AND NOTICE OF LICENSE DENIAL

The Indiana Department of Insurance (“Department”), pursuant to the Indiana Administrative Orders and Procedures Act, Indiana Code § 4-21.5-1 *et seq.* and Indiana Code § 27-1-15.6-12, hereby gives notice to David Ross (“Applicant”) of the following Administrative Order:

1. Applicant submitted an application for nonresident producer licensure with the Commissioner of the Indiana Department of Insurance (“Commissioner”) on October 17, 2022.
2. Before approving an application, the Commissioner must find that the applicant has met specific requirements under Indiana Code § 27-1-15.6-8 and Indiana Code § 27-1-15.6-12.
3. Indiana Code § 27-1-15.6-12(b)(1) states, in part, that the Commissioner may refuse to issue or renew an insurance producer license for providing incorrect, misleading, incomplete, or materially untrue information in a license application.

4. Indiana Code § 27-1-15.6-12(b)(6) states, in part, that the Commissioner may refuse to issue or renew an insurance producer license for having been convicted of a felony.
5. Following a review of public records and the materials submitted by the Applicant, the Commissioner, being fully advised, now hereby notifies Applicant that he has not fully met the requirements of licensure, as stated by Indiana Codes §§ 27-1-15.6-12(b)(1) and 27-1-15.6-12(b)(6) due to Applicant's criminal history, which includes a March 11, 2010, conviction for Resisting or Obstructing a Peace Officer, a Class A Misdemeanor, and for Applicant's failure to disclose an administrative action, specifically a license denial on May 25, 2021 from the State of Indiana.
6. Indiana Code § 27-1-15.6-12(d) provides that the Applicant may, not more than sixty-three (63) days after notice of denial of the applicant's application is mailed, make written demand to the Commissioner for a hearing before the Commissioner to determine the reasonableness of the Commissioner's action.
7. This is considered an administrative action by the Indiana Department of Insurance. If you choose to appeal this administrative action, please follow the aforementioned instructions. Subsequently, after the Department has received your written request for a hearing, the State of Indiana Office of Administrative Law Proceedings ("OALP") will assign an administrative law judge to preside over this matter, and you will receive more information from OALP to begin the administrative process.

IT IS THEREFORE ORDERED that Applicant's request for licensure is hereby **DENIED** pursuant to Indiana Codes §§ 27-1-15.6-12(b)(1) and 27-1-15.6-12(b)(6), due to Applicant's felony conviction, and for Applicant's failure to disclose an administrative action, specifically a

license denial, on his application for licensure. Applicant may reapply for licensure not less than one (1) year from the date of this order.

02.14.2023

Date Signed



Amy L. Beard, Commissioner
Indiana Department of Insurance

Distribution:

David Ross
131 N. Chicago Avenue
Rockford, IL 61107

Victoria Hastings, Attorney
ATTN: Hana Biele, Investigator
Indiana Department of Insurance
311 W. Washington St., Suite 103
Indianapolis, IN 46204
317 234-5153, Fax 317 234-2103