

STATE OF INDIANA)
) SS:
COUNTY OF MARION)

BEFORE THE INDIANA
COMMISSIONER OF INSURANCE

CAUSE NO: 21702-AG22-1122-205

IN THE MATTER OF:)

Arthur Gates)
2101 NW 33rd St. Suite 2500A)
Pompano Beach, FL 33069)

Respondent.)

Type of Agency Action: Enforcement)

License Number: 3278716)

FILED

FEB 28 2023

STATE OF INDIANA
DEPT. OF INSURANCE

ADMINISTRATIVE ORDER AND
NOTICE OF NONRENEWAL OF LICENSE

The Indiana Department of Insurance (“Department”), pursuant to the Indiana Administrative Orders and Procedures Act, Indiana Code § 4-21.5-1 *et seq.*, and Indiana Code § 27-1-15.6-12, hereby gives notice to Arthur Gates (“Respondent”) of the following Administrative Order:

1. Respondent is a licensed nonresident insurance producer holding license number 3278716 (“Respondent’s license”) since October 3, 2017.
2. Respondent applied to renew his nonresident producer license on September 2, 2022.
3. Respondent’s license expired on September 30, 2022.
4. On or around January 25, 2021, Respondent’s application for licensure was denied for failing to disclose his criminal record in the State of New York.
5. On or around June 21, 2022, Respondent’s license was suspended in the state of Louisiana due to criminal history, having a license denial, failure to report the action within thirty (30) days, and failure to disclose his administrative action the state of New York.

6. On or around June 21, 2022, Respondent had his license revoked in the state of Louisiana due to criminal history, having a license denial, failure to report the action within thirty (30) days, and failure to disclose his administrative action the state of New York.
7. Respondent failed to disclose the three (3) administrative actions on his renewal application on September 2, 2022.
8. Respondent failed to notify the department of the administrative actions within thirty (30) days.
9. Indiana Code § 27-1-15.6-12(b)(1) states, in part, that the Commissioner may refuse to renew an insurance producer license for providing incorrect, misleading, incomplete, or materially untrue information in a license application.
10. Indiana Code § 27-1-15.6-12(b)(9) states, in part, that the Commissioner may refuse to renew an insurance producer license for having an insurance producer license, or its equivalent, denied, suspended, or revoked in any other state, province, district, or territory.
11. Indiana Code § 27-1-15.6-12(b)(2)(A), authorizes the Commissioner to refuse to renew an insurance producer's license for violating an insurance law.
12. Indiana Code § 27-1-15.6-17(a) is an insurance law that states that not more than thirty days after the final disposition of the matter, a producer shall report to the Commissioner any administrative action taken against the producer initiated in any jurisdiction or governmental agency.
13. Indiana Code § 27-1-15.6-12(d) provides that when the Commissioner refuses to renew a license, the Commissioner shall notify the Respondent, in writing, of the reasons for the nonrenewal. This Order serves as that notice.
14. The Commissioner further notifies Respondent that, pursuant to Indiana Code § 27-1-15.6-12(d), Respondent may, within sixty-three (63) days of the mailing of this Order, make a written

demand upon the Commissioner for a hearing to determine the reasonableness of this action. Such a hearing shall be held within thirty (30) days from the date of receipt of Respondent's written demand.

15. This is considered an agency action. This action has been initiated by the aforementioned authority. If you choose to appeal this agency action, the State of Indiana Office of Administrative Law Proceedings ("OALP") will assign an administrative law judge to preside over this matter, and subsequently you will be contacted by the OALP with more information to begin the administrative process.

WHEREFORE, based on the foregoing, the Commissioner of Insurance hereby notifies Respondent that **Respondent's license shall not be renewed** due to the nature of Respondent's failing to disclose administrative actions on his renewal application, having his licensed denied, revoked, and suspended, and for failure to timely report three (3) administrative actions.

02.28.2023

Date Signed



Amy L. Beard, Commissioner
Indiana Department of Insurance

Distribution:

Arthur Gates
2101 NW 33rd St. Suite 2500A
Pompano Beach, FL 33069

Samantha Aldridge, Attorney
ATTN: Tina Harris, Investigator
Indiana Department of Insurance
311 W Washington St., Suite 103
Indianapolis, IN 46204-2787