STATE OF INDIANA)	BEFORE THE INDIANA
) SS:	COMMISSIONER OF INSURANCE
COUNTY OF MARION)	
	CAUSE NO.: 21772-AG22-0906-157
IN THE MATTER OF:)
)
Christopher Kelly)
2930 Courtz Ct	FILED
Newburgh, IN 47630) OCT 0.6 2022
- '	OCT 26 2022
Respondent.	STATE OF INDIANA
	DEPT. OF INSURANCE
Type of Agency Action: Enforcement	
License #: 643947)
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FINAL ORDER AND APPROVAL

The Indiana Department of Insurance ("Department") and Christopher Kelly ("Respondent"), signed an Agreed Entry which purports to resolve all issues involved in the action by the Department regarding Respondent's license renewal application, and which has been submitted to the Commissioner of Insurance (the "Commissioner") for approval.

The Commissioner, after reviewing the Agreed Entry, which imposes a civil penalty in the amount of five hundred dollars (\$500) due to Respondent's failure to report his criminal prosecution within thirty (30) days after his initial pretrial hearing, finds it has been entered into fairly and without fraud, duress, or undue influence, and is fair and equitable between the parties. The Commissioner hereby incorporates the Agreed Entry as if fully set forth herein, and approves and adopts in full the Agreed Entry as a resolution of this matter.

IT IS THEREFORE ORDERED, ADJUDGED AND DECREED by the Commissioner of Insurance:

1. Respondent's resident producer license renewal shall be granted, and Respondent shall pay a fine in the amount of five hundred dollars (\$500.00) within sixty (60) days from the date of this Final Order. Failure to pay the fine will result in the Department seeking immediate revocation of Applicant's license.

ALL OF WHICH IS ORDERED this 25th day of Oct., 2022.

Amy Beald, Commissioner
Indiana Department of Insurance

Distribution:

Samantha Aldridge ATTN: Sara Tolliver, Investigator INDIANA DEPARTMENT OF INSURANCE

311 West Washington Street, Suite 300 Indianapolis, Indiana 46204-2787

Christopher Kelly 2930 Courtz Ct Newburgh, IN 47630

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	CAUSE NO.: 21772-AG22-0906-157
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Respondent.	STATEOFINOMAA DEEPTOFINGBAAGE
Type of Agency Action: Enforcement)
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AGREED ENTRY

This Agreed Entry is executed by and between the Indiana Department of Insurance ("Department"), by counsel Samantha Aldridge, and Christopher Kelly ("Respondent"), to resolve all issues in the above cause number. This Agreed Entry is subject to the review and approval of the Department's Commissioner, Amy Beard.

WHEREAS, Respondent submitted a renewal application for resident producer license in the State of Indiana on or about August 1, 2022;

WHEREAS, on the license application, Respondent disclosed for the first time that he was convicted on October 21, 2021, of Resisting Law Enforcement, a Class A Misdemeanor, in the State of Indiana;

WHEREAS, on the renewal application, Respondent also disclosed for the first time that he was convicted on October 21, 2021, of Disorderly Conduct, a Class B Misdemeanor, in the State of Indiana;

WHEREAS, Respondent's conduct, as alleged herein, constitutes a violation of Indiana Code §27-1-15.6-17(b) which states that the Commissioner may levy a civil penalty for failing to report any criminal prosecution within thirty (30) days after an initial pretrial hearing; and

WHEREAS, the Department and Respondent desire to resolve their differences and settle the issues without the necessity of a hearing.

IT IS, THEREFORE, NOW AGREED by and between the parties as follows:

- The Commissioner has jurisdiction over the subject matter and the Parties to this Agreed Entry.
- 2. In order to avoid formal litigation in this matter, Respondent has determined that it is in his best interest to enter into this Agreed Entry. As such, Respondent acknowledges that he executes this Agreed Entry with full realization of its contents and effects.
- 3. This Agreed Entry is executed knowingly, voluntarily, and freely by the Parties.

 The Parties agree that the terms of this Agreed Entry constitute final resolution of this matter.
- 4. Respondent knowingly, voluntarily, and freely waives the right to a public hearing on this matter, including the right to appear in person before the Commissioner, present evidence, cross-examine witnesses, and present arguments.
- 5. Respondent knowingly, voluntarily, and freely waives the right to judicial review of this matter or otherwise appeal or challenge the validity of this Agreed Entry.
- 6. Respondent knowingly, voluntarily, and freely waives, releases, and forever discharges all claims or challenges, known or unknown, against the Department, its Commissioner, employees, agents, and representatives, in their individual and official capacities, that arise out of or are related to the Agreed Entry or Final

- Order, including but not limited to any act or omission as part of the underlying audit, investigation, negotiation, or approval process.
- 7. Respondent's Indiana resident producer license shall be approved contingent upon Respondent's timely payment of the civil penalty. Respondent shall pay a civil penalty in the amount of five hundred dollars (\$500) to the Department within sixty (60) days after the Commissioner signs the Final Order adopting this Agreed Entry. Failure to timely pay the civil penalty may result in the Department denying Respondent's application for resident producer license.
- 8. Respondent has carefully read and examined this Agreed Entry and fully understands its terms.
- 9. Respondent has had the opportunity to have this Agreed Entry reviewed by legal counsel of his choosing, at his own expense, and is aware of the benefits gained and obligations incurred by the execution of this Agreed Entry. Respondent understands and agrees that the Department cannot give him legal advice.
- 10. Respondent has entered into this Agreed Entry knowingly, voluntarily, and freely, and has not been subject to duress, coercion, threat, or undue influence.
- 11. This Agreed Entry constitutes the entire agreement between the Parties, and no other promises or agreements, express or implied, have been made by the Department or by any employee, director, agent, or other representative thereof to induce Respondent to enter this Agreed Entry.
- 12. The Department agrees to accept Respondent's compliance with the terms of this Agreed Entry as full satisfaction of this matter, and warrants and represents that so long as Respondent complies with the terms of this Agreed Entry, the

- Department will not bring any further action against Respondent based on the facts that gave rise to this Agreed Entry.
- 13. In the event the Department finds there has been a breach of any of the provisions of this Agreed Entry, the Department may reopen this matter and pursue alternative action pursuant to Indiana Code § 27-1-15.6-12.
- 14. Respondent waives any applicable statute of limitations for purposes of any enforcement of the terms and conditions of this Agreed Entry.
- 15. Respondent acknowledges that this Agreed Entry may be admitted into evidence in any judicial or administrative proceeding against Respondent to enforce the terms and conditions contained herein.
- 16. Respondent understands that this Agreed Entry resolves only the matter pending with the Department and does not affect any criminal prosecution or civil litigation that may be pending or hereinafter commence against Respondent.
- 17. This Agreed Entry does not in any way affect the Department's authority in future audits, investigations, examinations, negotiations, or other complaints involving Respondent.
- 18. It is expressly understood that this Agreed Entry is subject to the Commissioner's acceptance and has no force or effect until such acceptance is evidenced by the entry of a Final Order by the Commissioner.
- 19. Should this Agreed Entry not be accepted by the Commissioner, it is agreed that presentation to, and consideration of this Agreed Entry by the Commissioner, shall not unfairly or illegally prejudice the Commissioner or Respondent from further participation in or resolution of these proceedings.

- 20. If this Agreed Entry is accepted by the Commissioner, it will become part of Respondent's permanent record and may be considered in future actions brought by the Department or any other regulator against Respondent. It is further understood that, if accepted by the Commissioner, this Agreed Entry and resulting Final Order are public records pursuant to Indiana Code § 4-21.5-3-32 that may not be sealed or otherwise withheld from the public and may be reported to the National Association of Insurance Commissioners and published on the Department's website as required.
- 21. Respondent acknowledges that this is an Administrative Action he may be required to report to other jurisdictions in which he is licensed and on future licensing applications.

10/15/2022

Date Signed

Samantha Aldridge, Attorney #35162-49 Indiana Department of Insurance

Christopher Kelly, Respondent

STATE OF INDIANA)
COUNTY OF Varder bug () SS:
Before me a Notary Public for <u>Vanderbargh</u> County, State of Indiana,
personally appeared Christopher Kelly, and being first duly sworn by me upon his oath, says that
the facts alleged in the foregoing instrument are true.
Signed and sealed this
MARSHALL HADLEY Notary Public - Seal Vanderburch County - State of Indiana Commission Number NP0738085 My Commission Expires Dec 21, 2029 Marshall Hadley Printed
My Commission expires: $\frac{12/21/29}{11/29}$
County of Residence: Vanderburgh