STATE OF INDIANA )	BEFORE THE INDIANA
) SS:	COMMISSIONER OF INSURANCE
COUNTY OF MARION )	
	CAUSE NO: 21608-AG22-0728-127
IN THE MATTER OF:	) FILED
Maynard Hebert	)
106 Cumberlynn Dr.	OCT 0 6 2022
Fond Du Lac, WI 54935	STATE OF INDIANA DEPT. OF INSURANCE
Applicant.	)
Type of Agency Action: Enforcement	
License: 3538786	)

### FINAL ORDER

The Enforcement Division of the Indiana Department of Insurance ("Department"), by counsel, Samantha Aldridge, and Maynard Hebert ("Applicant"), a nonresident producer, signed an Agreed Entry which purports to resolve all issues involved in the above-captioned cause number, and which has been submitted to the Commissioner of the Indiana Department of Insurance ("Commissioner") for approval.

The Commissioner, after reviewing the Agreed Entry, which imposes a two hundred fifty dollar (\$250) civil penalty for failing to disclose his October 24, 2017 administrative action in the State of Wisconsin for failure to disclose criminal history, finds it has been entered into fairly and without fraud, duress or undue influence, and it is fair and equitable between the parties. The Commissioner hereby incorporates the Agreed Entry, attached, as if fully set forth herein, and approves and adopts in full the Agreed Entry as a resolution of this matter.

## IT IS THEREFORE ORDERED by the Commissioner as follows:

1. Respondent shall pay a civil penalty in the amount of two hundred fifty dollars (\$250) to the Department within thirty (30) days after the Commissioner signs this Final Order. Failure to timely pay the civil penalty, may result in the taking an administrative action against Respondent's nonresident producer license.

Date Signed

Amy L. Beard, Commissioner Indiana Department of Insurance

#### Distribution:

Samantha Aldridge, Attorney ATTN: Gina Davies, Sr. Investigator INDIANA DEPARTMENT OF INSURANCE 311 West Washington Street, Suite 103 Indianapolis, Indiana 46204-2787

Maynard Hebert 106 Cumberlynn Dr. Fond Du Lac, WI 54935

STATE OF INDIANA ) ) SS:	BEFORE THE INDIANA COMMISSIONER OF INSURANCE
COUNTY OF MARION )	CAUSE NO: 21608-AG22-0728-127
IN THE MATTER OF:	
Maynard Hebert  106 Cumberlynn Dr.	FILED
Fond Du Lac, WI 54935	OCT 06 2022
Respondent.	STATE OF INDIANA DEPT. OF INSURANCE
Type of Agency Action: Enforcement	) }
License: 3538786	

## AGREED ENTRY

This Agreed Entry is executed by and between the Enforcement Division of the Indiana Department of Insurance ("Department"), by counsel, Samantha Aldridge, and Maynard Hebert ("Respondent"), to resolve all issues in the above-captioned cause number. This Agreed Entry is subject to the review and approval of Amy L. Beard, Commissioner of the Indiana Department of Insurance ("Commissioner").

WHEREAS, on April 20, 2020, Respondent submitted an application for nonresident producer licensure;

WHEREAS, on said application Respondent failed to disclose an October 24, 2017, Administrative Action in the State of Wisconsin for failure to disclose his criminal history;

WHEREAS, on June 22, 2022, Respondent submitted a renewal application 2884963 for a nonresident producer license;

WHEREAS, on said renewal application, Respondent failed to disclose an October 24, 2017, Administrative Action in the State of Wisconsin for failure to disclose his criminal history;

WHEREAS, Indiana Code § 27-1-15.6-12(b)(1) states, in part, that the Commissioner may levy a civil penalty on an insurance producer for providing incorrect, misleading, incomplete, or materially untrue information in a license application, and;

WHEREAS, the Department and Respondent (collectively, the "Parties") desire to resolve this matter without the necessity of a hearing.

# IT IS, THEREFORE, NOW AGREED by and between the Parties as follows:

- The Commissioner has jurisdiction over the subject matter and the Parties to this Agreed Entry.
- 2. To avoid formal litigation in this matter, Respondent has determined that it is in his best interests to enter into this Agreed Entry. As such, Respondent acknowledges that he executes this Agreed Entry with full realization of its contents and effects.
- This Agreed Entry is executed knowingly, voluntarily, and freely by the Parties. The Parties agree that the terms of this Agreed Entry constitute final resolution of this matter.
- 4. Respondent knowingly, voluntarily, and freely waives the right to a public hearing on this matter, including the right to appear in person before the Commissioner, present evidence, cross-examine witnesses, and present arguments.
- 5. Respondent knowingly, voluntarily, and freely waives the right to judicial review of this matter or otherwise appeal or challenge the validity of this Agreed Entry.
- 6. Respondent knowingly, voluntarily, and freely waives, releases, and forever discharges all claims or challenges, known or unknown, against the Department, its Commissioner, employees, agents, and representatives, in their individual and official

- capacities, that arise out of or are related to the Agreed Entry or Final Order, including but not limited to any act or omission as part of the underlying audit, investigation, negotiation, or approval process.
- 7. Respondent shall pay a civil penalty in the amount of two hundred fifty dollars (\$250) to the Department within thirty (30) days after the Commissioner signs the Final Order adopting this Agreed Entry. Failure to pay the civil penalty may result in the Department taking an administrative action against Respondent's nonresident producer license.
- 8. Respondent has carefully read and examined this Agreed Entry and fully understands its terms.
- 9. Respondent has had the opportunity to have this Agreed Entry reviewed by legal counsel of his choosing, at his own expense, and is aware of the benefits gained and obligations incurred by the execution of this Agreed Entry. Applicant understands and agrees that the Department cannot give him legal advice.
- 10. Respondent has entered this Agreed Entry knowingly, voluntarily, and freely, and has not been subject to duress, coercion, threat, or undue influence.
- 11. This Agreed Entry constitutes the entire agreement between the Parties, and no other promises or agreements, express or implied, have been made by the Department or by any employee, director, agent, or other representative thereof to induce Applicant to enter this Agreed Entry.
- 12. The Department agrees to accept Respondent's compliance with the terms of this Agreed Entry as full satisfaction of this matter and warrants and represents that so long as Applicant complies with the terms of this Agreed Entry, the Department will not

- bring any further action against Applicant based on the facts that gave rise to this Agreed Entry.
- 13. In the event the Department finds there has been a breach of any of the provisions of this Agreed Entry, the Department may reopen this matter and pursue alternative action pursuant to Indiana Code § 27-1-15.6-12.
- 14. Respondent waives any applicable statute of limitations for purposes of any enforcement of the terms and conditions of this Agreed Entry.
- 15. Respondent acknowledges that this Agreed Entry may be admitted into evidence in any judicial or administrative proceeding against Applicant to enforce the terms and conditions contained herein.
- 16. Respondent understands that this Agreed Entry resolves only the matter pending with the Department and does not affect any criminal prosecution or civil litigation that may be pending or hereinafter commence against Applicant.
- 17. This Agreed Entry does not in any way affect the Department's authority in future audits, investigations, examinations, negotiations, or other complaints involving Applicant.
- 18. It is expressly understood that this Agreed Entry is subject to the Commissioner's acceptance and has no force or effect until such acceptance is evidenced by the entry of a Final Order by the Commissioner.
- 19. Should this Agreed Entry not be accepted by the Commissioner, it is agreed that presentation to, and consideration of this Agreed Entry by the Commissioner, shall not unfairly or illegally prejudice the Commissioner or Applicant from further participation in or resolution of these proceedings.

- 20. If this Agreed Entry is accepted by the Commissioner, it will become part of Respondent's permanent record and may be considered in future actions brought by the Department or any other regulator against Respondent. It is further understood that, if accepted by the Commissioner, this Agreed Entry and resulting Final Order are public records pursuant to Indiana Code § 4-21.5-3-32 that may not be sealed or otherwise withheld from the public and may be reported to the National Association of Insurance Commissioners and published on the Department's website as required.
- 21. Respondent acknowledges that this is an Administrative Action he may be required to report to other jurisdictions in which he is licensed and on future licensing applications.

 $\frac{\frac{\sqrt{3}/22}{\text{Date Signed}}$ 

Samantha Aldridge, Attorney

Indiana Department of Insurance

O9/LJ/Lo JA
Date Signed

STATE OF WISCONSIN	)
COUNTY OF Fond du Lac	) SS _ )
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Before me a Notary Public for Fond du Lac \_\_\_\_ County, State of Wisconsin, personally appeared Maynard Hebert, and being first duly sworn by me upon his oath, says that the facts alleged in the foregoing instrument are true.

Signed and sealed this 22nd day of September

My Commission expires: <u>09/06/2025</u>
County of Residence: <u>Winnebago</u>