

STATE OF INDIANA)
) SS:
COUNTY OF MARION)

BEFORE THE INDIANA
COMMISSIONER OF INSURANCE

CAUSE NO.: 20986-AG21-1014-198

IN THE MATTER OF:)

Patrizzia Simpson)
4948 Poplar Grove Dr.)
Charlotte, NC 28269)

Applicant.)

Type of Agency Action: Enforcement)

Application Number: 913540)

FINAL ORDER

FILED

OCT 06 2022

STATE OF INDIANA
DEPT. OF INSURANCE

The Enforcement Division of the Indiana Department of Insurance ("Department"), by counsel, Samantha Aldridge, and Patrizzia Simpson ("Applicant"), a nonresident producer applicant, signed an Agreed Entry which purports to resolve all issues involved in the above-captioned cause number, and which has been submitted to the Commissioner of Insurance ("Commissioner") for approval.

The Commissioner, after reviewing the Agreed Entry, which places Applicant on probation for a period of two (2) years, during which time Applicant will be required to submit a current list of appointments every six (6) months, and requires Applicant to complete three (3) additional hours of ethics continuing education, due to the nature of Applicant's termination for cause, finds it has been entered into fairly and without fraud, duress or undue influence, and is fair and equitable between the parties. The Commissioner hereby incorporates the Agreed Entry, attached, as if fully set forth herein, and approves and adopts in full the Agreed Entry as a resolution of this matter.

IT IS THEREFORE ORDERED by the Commissioner as follows:

1. Applicant's nonresident producer license shall be granted, and Applicant's license shall be placed on probation for a period of two (2) years beginning the date of this Final Order.
2. During the probationary period, any violations of Title 27 of the Indiana Code will result in the Department seeking immediate revocation of the Applicant's license.
3. During the probationary period, Applicant shall submit a current list of appointments to the Department every six (6) months from the date of the Final Order via email at: Enforcement@idoi.in.gov.
4. During the probationary period Applicant shall complete three (3) credit hours of ethics continuing education, in addition to the continuing education requirements of her licensure.

10-6-22

Date Signed


Amy L. Beard, Commissioner
Indiana Department of Insurance

Distribution:

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ATTN: Calla Dain, Insurance Investigator
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Indianapolis, Indiana 46204-2787

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STATE OF INDIANA
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AGREED ENTRY

This Agreed Entry is executed by and between the Enforcement Division of the Indiana Department of Insurance ("Department"), by counsel, Samantha Aldridge, and Patrizzia Simpson ("Applicant"), to resolve all issues in the above-captioned cause number. This Agreed Entry is subject to the review and approval of Amy L. Beard, Commissioner of the Indiana Department of Insurance ("Commissioner").

WHEREAS, Applicant was previously licensed with the Department as a nonresident producer, holding license number 3354197 from July 12, 2018 to September 20, 2020;

WHEREAS; Applicant's license was nonrenewed by Commissioner's Order on November 19, 2020;

WHEREAS, on or about March 27, 2020, Applicant was terminated for cause due to Applicant having allegedly falsified customer information on four (4) applications in order to decrease premiums, and due to instances where Applicant manipulated information to apply inappropriate discounts. Applicant has denied these allegations.

WHEREAS, Applicant submitted an application to reactivate her license on September 30, 2021;

WHEREAS, Indiana Code § 27-1-15.6-12(b)(8) provides that the Commissioner may place a producer's license on probation for using fraudulent, coercive, or dishonest practices, or demonstrating incompetence, untrustworthiness, or financial irresponsibility in the conduct of business in Indiana or elsewhere; and

WHEREAS, the Department and Applicant (collectively, the "Parties") desire to resolve this matter without the necessity of a hearing.

IT IS, THEREFORE, NOW AGREED by and between the Parties as follows:

1. The Commissioner has jurisdiction over the subject matter and the Parties to this Agreed Entry.
2. In order to avoid formal litigation in this matter, Applicant has determined that it is in her best interest to enter into this Agreed Entry. As such, Applicant acknowledges that she executes this Agreed Entry with full realization of its contents and effects.
3. This Agreed Entry is executed knowingly, voluntarily, and freely by the Parties. The Parties agree that the terms of this Agreed Entry constitute final resolution of this matter.
4. Applicant knowingly, voluntarily, and freely waives the right to a public hearing on this matter, including the right to appear in person before the Commissioner, present evidence, cross-examine witnesses, and present arguments.

5. Applicant knowingly, voluntarily, and freely waives the right to judicial review of this matter or otherwise appeal or challenge the validity of this Agreed Entry.
6. Applicant knowingly, voluntarily, and freely waives, releases, and forever discharges all claims or challenges, known or unknown, against the Department, its Commissioner, employees, agents, and representatives, in their individual and official capacities, that arise out of or are related to the Agreed Entry or Final Order, including but not limited to any act or omission as part of the underlying audit, investigation, negotiation, or approval process.
7. Applicant's nonresident producer license shall be granted, and placed on probation for a period of two (2) years, beginning the date the Commissioner signs the Final Order adopting this Agreed Entry. During the probationary period, any violations of Title 27 of the Indiana Code will result in the Department seeking immediate revocation of Applicant's license. During the probationary period, Applicant shall submit a current list of appointments to the Department every six (6) months from the date of the Final Order via email at: Enforcement@idoi.in.gov.
8. During the probationary period Applicant shall complete three (3) credit hours of ethics continuing education, in addition to the continuing education requirements of her licensure, within two (2) years after the Commissioner signs the Final Order adopting this Agreed Entry.
9. Applicant has carefully read and examined this Agreed Entry and fully understands its terms.
10. Applicant has had the opportunity to have this Agreed Entry reviewed by legal counsel of her choosing, at her own expense, and is aware of the benefits gained


and obligations incurred by the execution of this Agreed Entry. Applicant understands and agrees that the Department cannot give her legal advice.

11. Applicant has entered into this Agreed Entry knowingly, voluntarily, and freely, and has not been subject to duress, coercion, threat, or undue influence.
12. This Agreed Entry constitutes the entire agreement between the Parties, and no other promises or agreements, express or implied, have been made by the Department or by any employee, director, agent, or other representative thereof to induce Applicant to enter this Agreed Entry.
13. The Department agrees to accept Applicant's compliance with the terms of this Agreed Entry as full satisfaction of this matter, and warrants and represents that so long as Applicant complies with the terms of this Agreed Entry, the Department will not bring any further action against Applicant based on the facts that gave rise to this Agreed Entry.
14. In the event the Department finds there has been a breach of any of the provisions of this Agreed Entry, the Department may reopen this matter and pursue alternative action pursuant to Indiana Code § 27-1-15.6-12.
15. Applicant waives any applicable statute of limitations for purposes of any enforcement of the terms and conditions of this Agreed Entry.
16. Applicant acknowledges that this Agreed Entry may be admitted into evidence in any judicial or administrative proceeding against Applicant to enforce the terms and conditions contained herein.


17. Applicant understands that this Agreed Entry resolves only the matter pending with the Department and does not affect any criminal prosecution or civil litigation that may be pending or hereinafter commence against Applicant.
18. This Agreed Entry does not in any way affect the Department's authority in future audits, investigations, examinations, negotiations, or other complaints involving Applicant.
19. It is expressly understood that this Agreed Entry is subject to the Commissioner's acceptance and has no force or effect until such acceptance is evidenced by the entry of a Final Order by the Commissioner.
20. Should this Agreed Entry not be accepted by the Commissioner, it is agreed that presentation to, and consideration of this Agreed Entry by the Commissioner, shall not unfairly or illegally prejudice the Commissioner or Applicant from further participation in or resolution of these proceedings.
21. If this Agreed Entry is accepted by the Commissioner, it will become part of Applicant's permanent record and may be considered in future actions brought by the Department or any other regulator against Applicant. It is further understood that, if accepted by the Commissioner, this Agreed Entry and resulting Final Order are public records pursuant to Indiana Code § 4-21.5-3-32 that may not be sealed or otherwise withheld from the public, and may be reported to the National Association of Insurance Commissioners and published on the Department's website as required.

22. Applicant acknowledges that this is an Administrative Action she may be required to report to other jurisdictions in which she is licensed and on future licensing applications.

9/19/2022
Date Signed


Samantha Aldridge, Attorney #35162-49
Indiana Department of Insurance

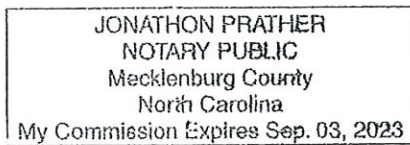
07/28/22
Date Signed


Patrizia Simpson, Applicant

STATE OF ~~INDIANA~~ NORTH CAROLINA)
COUNTY OF MECKLENBURG) SS:

Before me a Notary Public for MECKLENBURG County, State of Indiana,
personally appeared Patrizia Simpson, and being first duly sworn by me upon her oath, says that
the facts alleged in the foregoing instrument are true.

Signed and sealed this 20th day of JUN, 2022.



[Signature] Jonathon Prather
Signature
Patrizia Simpson Jonathon Prather
Printed

My Commission expires: SEPTEMBER 3, 2023

County of Residence: MECKLENBURG