

STATE OF INDIANA)
) SS:
COUNTY OF MARION)

BEFORE THE INDIANA
COMMISSIONER OF INSURANCE

CAUSE NO: 21162-AD22-0526-057

IN THE MATTER OF:)
)
Kimberly Ann Barentsen)
1255 Treat Blvd., Suite 300)
Walnut Creek, CA 94597)
)
Applicant.)
)
Type of Agency Action: Enforcement)
)
License Number: 670755)

FILED
SEP 28 2022
STATE OF INDIANA
DEPT. OF INSURANCE

PRELIMINARY ADMINISTRATIVE ORDER
AND NOTICE OF LICENSE DENIAL

The Indiana Department of Insurance (“Department”), pursuant to the Indiana Administrative Orders and Procedures Act, Indiana Code § 4-21.5-1 *et seq.* and Indiana Code § 27-1-15.6-12, hereby gives notice to Kimberly Ann Barentsen (“Applicant”) of the following Administrative Order:

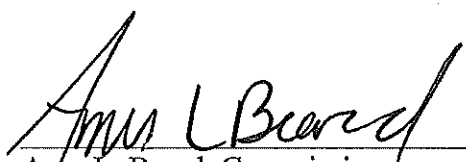
1. Applicant was previously licensed with the Department holding nonresident license number 670755 (“Applicant’s license”) since October 13, 2009.
2. Applicant’s license expired on February 28, 2022.
3. Applicant submitted an application to renew her nonresident producer licensure with the Commissioner of the Indiana Department of Insurance (“Commissioner”) on November 29, 2021.
4. Before approving an application, the Commissioner must find that the Applicant has met specific requirements under Indiana Code § 27-1-15.6-8 and Indiana Code § 27-1-15.6-12.

5. Indiana Code § 27-1-15.6-12(b)(1) provides, in part, that the Commissioner may refuse to issue or renew an insurance producer license for providing incorrect, misleading, incomplete, materially untrue information in a license application.
6. Indiana Code § 27-1-15.6-12(b)(2)(A) provides, in part, that the Commissioner may refuse to issue or renew an insurance producer license for violating an insurance law.
7. Indiana Code § 27-1-15.6-12(b)(9) provides, in part, that the Commissioner may refuse to issue or renew an insurance producer license for having an insurance producer license, or its equivalent, denied, suspended, or revoked in any other state, province, district, or territory.
8. Indiana Code § 27-1-15.6-17(a) states, in part, that a producer shall report to the Commissioner any administrative action taken against them in another jurisdiction or by another governmental agency in Indiana not more than thirty (30) days after the final disposition of the matter.
9. Following a review of public records, the Commissioner being fully advised, now hereby notifies Applicant that she has not fully met the requirements of licensure as stated by Indiana Code § 27-1-15.6-12(b)(1) due to Applicant's failure to disclose the following administrative actions on her 2021 application for licensure:
 - a. A July 23, 2020, FINRA two-month suspension and five thousand dollar (\$5,000) fine; and
 - b. A March 19, 2021, five hundred dollar (\$500) fine by the California Department of Insurance.

10. Following a review of public records, the Commissioner being fully advised, now hereby notifies Applicant that she has not fully met the requirements of licensure as stated by Indiana Codes §§ 27-1-15.6-12(b)(2)(A) and 27-1-15.6-17(a) due to Applicant's failure to timely report to the Department the following administrative actions:
 - a. A July 23, 2020, FINRA two-month suspension and five thousand dollar (\$5,000) fine; and
 - b. A March 19, 2021, five hundred dollar (\$500) fine by the California Department of Insurance.
11. Following a review of public records, the Commissioner being fully advised, now hereby notifies Applicant that she has not fully met the requirements of licensure as stated by Indiana Code § 27-1-15.6-12(b)(9) due to Applicant's July 23, 2020 suspension by FINRA.
12. Indiana Code § 27-1-15.6-12(d) provides that the Applicant may, not more than sixty-three (63) days after notice of denial of the application is mailed, make written demand to the Commissioner for a hearing before the Commissioner to determine the reasonableness of the Commissioner's action.
13. This is considered an administrative action by the Indiana Department of Insurance. If you choose to appeal this administrative action, please follow the aforementioned instructions. Subsequently, after the Department has received your written request for a hearing, the State of Indiana Office of Administrative Law Proceedings ("OALP") will assign an administrative law judge to preside over this matter, and you will receive more information from OALP to begin the administrative process.

IT IS THEREFORE ORDERED that Applicant's request for licensure is hereby **DENIED** pursuant to Indiana Codes §§ 27-1-15.6-12(b)(1), 27-1-15.6-12(b)(2)(A), 27-1-15.6-17(a), and 27-1-15.6-12(b)(9) due to Applicant failing to disclose her administrative actions on her application to renew her license, for failing to timely report said administrative actions while previously licensed with the Department, and for having a license or its equivalent suspended. Applicant may reapply for licensure not less than one (1) year from the date of this order.

9-28-22
Date Signed



Amy L. Beard, Commissioner
Indiana Department of Insurance

Distribution to:

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