

STATE OF INDIANA )  
 ) SS:  
COUNTY OF MARION )

BEFORE THE INDIANA  
COMMISSIONER OF INSURANCE

CAUSE NO.: 21074-AD22-0107-008

IN THE MATTER OF: )

Stephen W. Brooks Sr. )  
22335 La Garonne St., Apt. 422 )  
Southfield, MI 48075 )

Applicant. )

Type of Agency Action: Enforcement )

License Application #: 910987 )

FILED

JAN 28 2022

STATE OF INDIANA  
DEPT. OF INSURANCE

**PRELIMINARY ADMINISTRATIVE ORDER**  
**AND NOTICE OF LICENSE DENIAL**

The Indiana Department of Insurance, pursuant to the Indiana Administrative Orders and Procedures Act, Indiana Code § 4-21.5-1 *et seq.* and Indiana Code § 27-1-15.6-12, hereby gives notice to Stephen W. Brooks Sr. (“Applicant”) of the following Administrative Order:

1. Applicant filed an application to for nonresident producer licensure with the Commissioner of the Indiana Department of Insurance (“Commissioner”) on September 27, 2021.
2. Before approving an application, the Commissioner must find that the applicant has met specific requirements under Indiana Code § 27-1-15.6-8 and Indiana Code § 27-1-15.6-12.
3. Indiana Code § 27-1-15.6-12(b)(1) provides, in part, that the Commissioner may refuse to issue an insurance producer’s license for providing incorrect, misleading, incomplete, or materially untrue information in a license application.
4. Indiana Code § 27-1-15.6-12(b)(9) provides, in part, that the Commissioner may refuse to issue an insurance producer’s license for having an insurance producer’s license or its equivalent, denied, suspended, or revoked in any other state, province, district or territory.

5. Following a review of public records and a review of materials submitted by Applicant in support of his application, the Commissioner, being fully advised, now hereby notifies Applicant that he has not fully met the requirements of licensure as stated by Indiana Codes §§ 27-1-15.6-12(b)(1) and 27-1-15.6-12(b)(9) due to the nature of, and failure to disclose, the following administrative actions:
  - a. September 26, 2019 license revocation by the Arizona Department of Insurance;
  - b. November 30, 2019 license revocation by the California Department of Insurance; and
  - c. December 11, 2019 license revocation by the South Carolina Department of Insurance.
6. Indiana Code § 27-1-15.6-12(d) provides that the applicant may, not more than sixty-three (63) days after notice of denial of the application is mailed, make written demand to the Commissioner for a hearing before the Commissioner to determine the reasonableness of the Commissioner's action.
7. This is considered an agency action. This action has been initiated by the aforementioned authority. If you choose to appeal this agency action, the State of Indiana Office of Administrative Law Proceedings ("OALP") will assign an administrative law judge to preside over this matter, and subsequently you will be contacted by the OALP with more information to begin the administrative process.

**IT IS THEREFORE ORDERED** that Applicant's request for licensure is hereby **DENIED** pursuant to Indiana Codes §§ 27-1-15.6-12(b)(1) and 27-1-15.6-12(b)(9) due to Applicant's three (3) license revocations, and his failure to disclose them on his application. Applicant may reapply for licensure not less than one (1) year from the date of this order.

11/28/22  
Date Signed

Amy L. Beard  
Amy L. Beard, Commissioner  
Indiana Department of Insurance

Distribution to:

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