

STATE OF INDIANA )  
 ) SS:  
COUNTY OF MARION )

BEFORE THE INDIANA  
COMMISSIONER OF INSURANCE

CAUSE NO.: 21059-AD22-0104-003

IN THE MATTER OF: )

Paul Brooks )  
2437 E. Chipman Rd. )  
Phoenix, AZ 85040 )

Applicant. )

Type of Agency Action: Enforcement )

License Application #: 910891 )

FILED

JAN 28 2022

STATE OF INDIANA  
DEPT. OF INSURANCE

**PRELIMINARY ADMINISTRATIVE ORDER**  
**AND NOTICE OF LICENSE DENIAL**

The Indiana Department of Insurance, pursuant to the Indiana Administrative Orders and Procedures Act, Indiana Code § 4-21.5-1 *et seq.* and Indiana Code § 27-1-15.6-12, hereby gives notice to Paul Brooks (“Applicant”) of the following Administrative Order:

1. Applicant was previously licensed as a nonresident producer with the Indiana Department of Insurance from August 21, 2013, to March 31, 2018, under license number 897907.
2. Applicant filed an application to reactivate his nonresident producer licensure with the Commissioner of the Indiana Department of Insurance (“Commissioner”) on September 27, 2021.
3. Before approving an application, the Commissioner must find that the applicant has met specific requirements under Indiana Code § 27-1-15.6-8 and Indiana Code § 27-1-15.6-12.
4. Indiana Code § 27-1-15.6-12(b)(1) provides, in part, that the Commissioner may refuse to issue an insurance producer’s license for providing incorrect, misleading, incomplete, or materially untrue information in a license application.

5. Indiana Code § 27-1-15.6-12(b)(9) provides, in part, that the Commissioner may refuse to issue an insurance producer's license for having an insurance producer's license or its equivalent, denied, suspended, or revoked in any other state, province, district or territory.
6. Indiana Code § 27-1-15.6-12(b)(2)(A), provides, in part, that the Commissioner may refuse to issue an insurance producer's license for violating an insurance law.
7. Indiana Code § 27-1-15.6-17(a), is an insurance law that states that a producer shall report to the Commissioner any administrative action taken against the producer in another jurisdiction or by another governmental agency in Indiana not more than thirty (30) days after the final disposition of the matter.
8. Following a review of public records and a review of materials submitted by Applicant in support of his application, the Commissioner, being fully advised, now hereby notifies Applicant that he has not fully met the requirements of licensure as stated by Indiana Codes §§ 27-1-15.6-12(b)(9), 27-1-15.6-12(b)(2)(A), and 27-1-15.6-12(b)(1), due to the following administrative actions, his failure to timely report them to the Department while he was previously licensed, and his failure to disclose them on his application:
  - a. September 9, 2013 license denial issued by the Wisconsin Office of the Commissioner of Insurance; and
  - b. July 31, 2017 license denial issued by the Wyoming Department of Insurance.
9. Indiana Code § 27-1-15.6-12(d) provides that the applicant may, not more than sixty-three (63) days after notice of denial of the application is mailed, make written demand to the Commissioner for a hearing before the Commissioner to determine the reasonableness of the Commissioner's action.

10. This is considered an agency action. This action has been initiated by the aforementioned authority. If you choose to appeal this agency action, the State of Indiana Office of Administrative Law Proceedings ("OALP") will assign an administrative law judge to preside over this matter, and subsequently you will be contacted by the OALP with more information to begin the administrative process.

**IT IS THEREFORE ORDERED** that Applicant's request for licensure is hereby **DENIED** pursuant to Indiana Codes §§ 27-1-15.6-12(b)(9), 27-1-15.6-12(b)(2)(A), and 27-1-15.6-12(b)(1) due to Applicant's two (2) license denials, and his failure to timely report and disclose the same to the Department. Applicant may reapply for licensure not less than one (1) year from the date of this order.

1/28/22  
Date Signed

Amy L. Beard  
Amy L. Beard, Commissioner  
Indiana Department of Insurance

Distribution to:

Paul Brooks  
2437 E. Chipman Rd.  
Phoenix, AZ 85040

Victoria Hastings, Attorney  
ATTN: Calla Dain, Investigator  
Indiana Department of Insurance  
311 W. Washington St., Suite 103  
Indianapolis, IN 46204  
317 234-8687, fax 317 234-2103