STATE OF INDIANA )	BEFORE THE INDIANA
) SS:	COMMISSIONER OF INSURANCE
COUNTY OF MARION )	
	CAUSE NO.: 20551-AD21-0713-103
IN THE MATTER OF:	)
	)
John Martin Ficklin	)
8928 Backgammon Drive,	j FILED
Colorado Springs, CO 80924	) SEP 1 6 2021
Applicant.	STATE OF INDIANA DEPT. OF INSURANCE
Type of Agency Action: Enforcement	)
License Application #: 860204	)

## PRELIMINARY ADMINISTRATIVE ORDER AND NOTICE OF LICENSE DENIAL

The Indiana Department of Insurance ("Department"), pursuant to the Indiana Administrative Orders and Procedures Act, Indiana Code § 4-21.5-1 *et seq*. and Indiana Code § 27-1-15.6-12, hereby gives notice to John Martin Ficklin ("Applicant") of the following Administrative Order:

- 1. Applicant was previously licensed with the Department holding nonresident license number 474015 from June 29, 2005 through June 30, 2009 when he failed to renew his license.
- 2. Applicant was also previously licensed with the Department holding nonresident license number 474015 from October 24, 2016 through March 31, 2019 when he failed to renew his license.
- 3. Applicant filed an application to reactivate his nonresident producer licensure with the Commissioner of the Indiana Department of Insurance ("Commissioner") on April 16, 2021.

- 4. Before approving an application, the Commissioner must find that the Applicant has met specific requirements under Indiana Code § 27-1-15.6-8 and Indiana Code § 27-1-15.6-12.
- 5. Indiana Code § 27-1-15.6-12(b)(1) provides, in part, that the Commissioner may refuse to issue or renew an insurance producer license for providing incorrect, misleading, incomplete, materially untrue information in a license application.
- 6. Indiana Code § 27-1-15.6-12(b)(2)(A) provides, in part, that the Commissioner may refuse to issue or renew an insurance producer license for violating an insurance law.
- 7. Indiana Code § 27-1-15.6-17(a), which is an insurance law that states that a producer shall report to the Commissioner any administrative action taken against them in another jurisdiction or by another governmental agency in Indian not more than thirty (30) days after the final disposition of the matter.
- 8. Indiana Code § 27-1-15.6-12(b)(9) provides, in part, that the Commissioner may refuse to issue or renew an insurance producer license for having an insurance producer license, or its equivalent, denied, suspended, or revoked in any other state, province, district, or territory.
- 9. Following a review of public records, the Commissioner being fully advised, now hereby notifies Applicant that he has not fully met the requirements of licensure as stated by Indiana Code § 27-1-15.6-12(b)(1) due to Applicant's failure to disclose the following administrative actions on his 2021 application for licensure:
  - a. An October 10, 2007, Maine Consent Order;
  - b. A March 6, 2008, Virginia Consent Order;
  - c. A July 14, 2009, Hawaii Revocation and Consent Order; and

- d. An August 4, 2009, Alabama Denial and Consent Order.
- 10. Following a review of public records, the Commissioner being fully advised, now hereby notifies Applicant that he has not fully met the requirements of licensure as stated by Indiana Codes §§ 27-1-15.6-12(b)(2)(A) and 27-1-15.6-17(a) due to Applicant failing to timely report to the Department the following administrative actions when Applicant was previously licensed:
  - a. An October 10, 2007, Maine Consent Order; and
  - b. A March 6, 2008, Virginia Consent Order.
- 11. Applicant reported the Maine and Virginia administrative actions to the Department on June 21, 2011.
- 12. Following a review of public records the Commissioner being fully advised, now hereby notifies Applicant that he has not fully met the requirements of licensure as stated by Indiana Code § 27-1-15.6-12(b)(9) due to Applicant's July 14, 2009 Hawaii license revocation, and his August 4, 2009 Alabama license denial.
- 13. Indiana Code § 27-1-15.6-12(d) provides that the applicant may, not more than sixty-three (63) days after notice of denial of the application is mailed, make written demand to the Commissioner for a hearing before the Commissioner to determine the reasonableness of the Commissioner's action.
- 14. This is considered an agency action. This action has been initiated by the aforementioned authority. If you choose to appeal this agency action, the State of Indiana Office of Administrative Law Proceedings ("OALP") will assign an administrative law judge to

preside over this matter, and subsequently you will be contacted by the OALP with more information to begin the administrative process.

IT IS THEREFORE ORDERED that Applicant's request for licensure is hereby DENIED pursuant to Indiana Codes §§ 27-1-15.6-12(b)(1), 27-1-15.6-12(b)(2)(A), 27-1-15.6-17(a), and 27-1-15.6-12(b)(9) due to Applicant failing to disclose his administrative actions on his application to reactivate his license, for failing to timely report said administrative actions while previously licensed with the Department, and for having a license be denied and revoked. Applicant may reapply for licensure not less than one (1) year from the date of this order.

Amy L. Beard, Commissioner Indiana Department of Insurance

Distribution to:

Victoria Hastings, Attorney ATTN: Taylor Peycha, Investigator Indiana Department of Insurance 311 W. Washington St., Suite 103 Indianapolis, IN 46204 317-233-9432, fax 317 234-2103

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