| STATE OF INDIANA) | BEFORE THE INDIANA |
|------------------------------------|--------------------------------|
|) SS: | COMMISSIONER OF INSURANCE |
| COUNTY OF MARION) | |
| | CAUSE NO.: 19863-AD20-1106-148 |
| IN THE MATTER OF: |) |
| |) |
| Brian T. Hartman |) |
| 4311 W. Heatherwood Lane, | ? FILED |
| Bloomington, IN 47403 | |
| | FEB 1:8 2021 |
| Applicant. |) |
| | STATE OF INDIANA |
| Type of Agency Action: Enforcement | DEPT. OF INSURANCE |
| License Application #: 806543 |) |

PRELIMINARY ADMINISTRATIVE ORDER AND NOTICE OF LICENSE DENIAL

The Indiana Department of Insurance ("Department"), pursuant to the Indiana Administrative Orders and Procedures Act, Indiana Code § 4-21.5-1 et seq. and Indiana Code § 27-1-15.6-12, hereby gives notice to Brian T. Hartman ("Applicant") of the following Administrative Order:

- 1. Applicant submitted an application for a resident producer license with the Commissioner of the Indiana Department of Insurance ("Commissioner") on September 11, 2020.
- 2. Before approving an application, the Commissioner must find that the applicant has met specific requirements under Indiana Code § 27-1-15.6-6 and Indiana Code § 27-1-15.6-12.
- 3. Indiana Code § 27-1-15.6-12(b)(6) provides, in part, that the Commissioner may refuse to issue an insurance producer license for having been convicted of a felony.
- 4. Indiana Code § 27-1-15.6-12(b)(8) provides, in part, that the Commissioner may refuse to issue an insurance producer license for using fraudulent, coercive, or dishonest practices,

- or demonstrating incompetence, untrustworthiness, or financial irresponsibility in the conduct of business in Indiana or elsewhere.
- 5. Following a review of materials submitted by the Applicant and a review of public records, the Commissioner, being fully advised, now hereby notifies Applicant that he has not fully met the requirements of licensure, as stated by Indiana Codes §§ 27-1-15.6-12(b)(6) and 27-1-15.6-12(b)(8) due to Applicant's criminal history, which includes the following criminal convictions from the State of Indiana:
 - a. Applicant was convicted on February 14, 1997, for Conversion, a Class A

 Misdemeanor;
 - b. Applicant was convicted on May 9, 1997, for Check Deception, a Class A Misdemeanor;
 - c. Applicant was convicted on July 21, 1997, for Battery, a Class A Misdemeanor;
 - d. Applicant was convicted on July 21, 1997, for Theft, a Class D Felony;
 - e. Applicant was convicted on January 14, 1998, for Criminal Conversion, a Class-A Misdemeanor; and
 - f. Applicant was convicted on December 15, 1997, for Kidnapping, a Class A

 Felony.
- 6. Indiana Code § 27-1-15.6-12(d) provides that the Applicant may, not more than sixty-three

 (63) days after notice of denial of the applicant's application is mailed, make written

 demand to the Commissioner for a hearing before the Commissioner to determine the

 reasonableness of the Commissioner's action.
- 7. This is considered an agency action. This action has been initiated by the aforementioned authority. If you choose to appeal this agency action, the State of Indiana Office of

Administrative Law Proceedings ("OALP") will assign an administrative law judge to preside over this matter, and subsequently you will be contacted by the OALP with more information to begin the administrative process.

IT IS THEREFORE ORDERED that Applicant's request for licensure is hereby DENIED pursuant to Indiana Codes §§ 27-1-15.6-12(b)(6) and 27-1-15.6-12(b)(8), due to Applicant's criminal history, which includes two felony convictions for Kidnapping and Theft, and for Applicant's multiple misdemeanor convictions. Applicant may reapply for licensure not less than one (1) year from the date of this order.

2-18-202/ Date Signed

Stephen W. Kobutson, Commissioner Indiana Department of Insurance

Distribution to:

Brian T. Hartman 4311 W. Heatherwood Lane, Bloomington, IN_47403____ Victoria Hastings, Attorney ATTN: Steven A. Embree, Investigator Indiana Department of Insurance 311 W. Washington St., Suite 103 Indianapolis, IN 46204 317 234-5883, Fax 317 234-2103