

STATE OF INDIANA)
) SS:
COUNTY OF MARION)

BEFORE THE INDIANA
COMMISSIONER OF INSURANCE

CAUSE NO.: 19144-AG20-0506-079

IN THE MATTER OF:)
)
Brendanwood Financial Brokerage, LLC)
11711 N. Meridian St.,)
Suite 225,)
Carmel, IN 46032)
)
Respondent.)
)
Type of Action: Enforcement)
)
License Number: 904658)

FILED

JUL 09 2020

STATE OF INDIANA
DEPT. OF INSURANCE

FINDINGS OF FACT AND SUSPENSION ORDER

WHEREAS Brendanwood Financial Brokerage, LLC ("Respondent") is a resident insurance producer organization holding license number 904658 since September 19, 2013;

WHEREAS, Indiana Code § 27-1-15.6-12(g) states, in part, that a licensed producer organization shall furnish the Commissioner with a full and complete report listing each insurer with which the licensee has held an appointment during the year preceding the request, within ten (10) days of receiving a request;

WHEREAS, on February 20, 2020, the Commissioner of the Indiana Department of Insurance ("Commissioner") mailed Respondent a letter requesting a list of appointments via USPS First Class Mail to Respondent's address of record;

WHEREAS, this letter was not returned to the Commissioner as "undeliverable" or otherwise;

WHEREAS, on April 8, 2020, the Commissioner mailed Respondent a certified mail article #7017 2620 0000 5741 3328 requesting a list of appointments to Respondent's address of record;

WHEREAS, on May 29, 2020, certified mail article #7017 2620 0000 5741 3328 was returned to the Department.

WHEREAS, Respondent has failed to provide a list of appointments within ten (10) days of receiving the Commissioner's request;

WHEREAS, Respondent's failure to provide the list of appointments within ten (10) days constitutes a violation of Indiana Code § 27-1-15.6-12(g); and

WHEREAS, Indiana Code § 27-1-15.6-12(h) provides that the Commissioner may, without a hearing and in his sole discretion, suspend any insurance license held by the licensee in the event that the licensee fails to remit the list of appointments within ten (10) days of receiving the request.

IT IS THEREFORE ORDERED BY THE COMMISSIONER that Respondent's license #904658 is hereby suspended, effective immediately, and will remain suspended until Respondent submits the list of appointments requested by the Commissioner.

7-9-2020
Date Signed


Stephen W. Robertson, Commissioner
Indiana Department of Insurance

Distribution to:

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