

STATE OF INDIANA)
) SS:
COUNTY OF MARION)

BEFORE THE INDIANA
COMMISSIONER OF INSURANCE

CAUSE NO.: 19285-AD20-0416-066

IN THE MATTER OF:)

Joseph C. Roberto)
5895 Harper Rd.,)
Solon, OH 44139)

Applicant.)

License Application #: 768338)

Type of Agency Action: Enforcement)

FILED

JUN 12 2020

STATE OF INDIANA
DEPT. OF INSURANCE

PRELIMINARY ADMINISTRATIVE ORDER
AND NOTICE OF LICENSE DENIAL

The Indiana Department of Insurance ("Department"), pursuant to the Indiana Administrative Orders and Procedures Act, Indiana Code § 4-21.5-1 *et seq.* and Indiana Code § 27-1-15.6-12, hereby gives notice to Joseph C. Roberto ("Applicant") of the following Administrative Order:

1. Applicant filed an application for a nonresident producer licensure with the Commissioner of the Indiana Department of Insurance ("Commissioner") on March 4, 2020.
2. Before approving an application, the Commissioner must find that the applicant has met specific requirements under Indiana Code § 27-1-15.6-8 and Indiana Code § 27-1-15.6-12.
3. Indiana Code § 27-1-15.6-12(b)(8) provides, in part, that the Commissioner may refuse to issue an insurance producer's license for using fraudulent, coercive, or dishonest practices, or demonstrating incompetence, untrustworthiness, or financial irresponsibility in the conduct of business.

4. Following a review of public records, and a review of materials submitted by Applicant in support of his application, the Commissioner, being fully advised, now hereby notifies Applicant that he has not fully met the requirements of licensure as stated by Indiana Code § 27-1-15.6-12(b)(8) due to his criminal history, which includes an January 6, 1997 New York conviction for Attempted Assault, a Class B Misdemeanor; an August 31, 2004 Ohio conviction for Assault, a Class A Misdemeanor; and two Ohio convictions for Disorderly conduct, a Minor Misdemeanor, from January 23, 2013 and September 25, 2013.
5. Indiana Code § 27-1-15.6-12(d) provides that the applicant may, not more than sixty-three (63) days after notice of denial of the application is mailed, make written demand to the Commissioner for a hearing before the Commissioner to determine the reasonableness of the Commissioner's action.

IT IS THEREFORE ORDERED that Applicant's request for licensure is hereby DENIED pursuant to Indiana Code § 27-1-15.6-12(b)(8) due to Applicant's criminal history. Applicant may reapply for licensure not less than one (1) year from the date of this order.

6-12-2010

Date Signed



Stephen W. Robertson, Commissioner
Indiana Department of Insurance

Distribution to:

Joseph C. Roberto
5895 Harper Rd.,
Solon, OH 44139

Victoria Hastings, Attorney
ATTN: Calla Dain, Investigator
Indiana Department of Insurance
311 W. Washington St., Suite 103
Indianapolis, IN 46204
317 234-8687, fax 317 234-2103