

STATE OF INDIANA)
) SS:
COUNTY OF MARION)

BEFORE THE INDIANA
COMMISSIONER OF INSURANCE

CAUSE NO: 19146-AD20-0224-037

IN THE MATTER OF:)
)
Caroline M. Rich)
4604 Boardwalk Dr.)
Evansville, IN 47725)
)
Respondent.)
)
Type of Agency Action: Enforcement)
)
License Number: 552740)

FILED

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STATE OF INDIANA
DEPT. OF INSURANCE

ADMINISTRATIVE ORDER AND
NOTICE OF NONRENEWAL OF LICENSE

The Indiana Department of Insurance (“Department”), pursuant to the Indiana Administrative Orders and Procedures Act, Indiana Code § 4-21.5-1 *et seq.*, and Indiana Code § 27-1-15.6-12, hereby gives notice to Caroline M. Rich (“Respondent”) of the following Administrative Order:

1. Respondent is a licensed resident insurance producer holding license number 552740 (“Respondent’s license”) since November 1, 2013.
2. Respondent’s license expires on January 31, 2020.
3. On or about September 16, 2019, Respondent was charged with a total of nine (9) charges in Vanderburgh County Circuit Court under cause number 82C01-1909-F6-006331.
4. Under cause number 82C01-1909-F6-006331, Respondent was charged with three (3) counts of Theft, where value of property is between \$750 and \$50K, a Level 6 Felony; three (3) counts of Forgery, with the intent to defraud, a Level 6 Felony; and three (3) counts of Counterfeiting, a Class A Misdemeanor.

5. Respondent's initial hearing under cause number 82C01-1909-F6-006331 was held on or around September 17, 2019.
6. On or about September 30, 2019, Respondent was charged with an additional six (6) charges in Vanderburgh County Circuit Court under cause number 82C01-1909-F6-006843.
7. Under cause number 82C01-1909-F6-006843, Respondent was charged with three (3) counts of Theft, where value of property is between \$750 and \$50K, a Level 6 Felony; two (2) counts of Forgery, with the intent to defraud, a Level 6 Felony; and one (1) count of Counterfeiting, a Class A Misdemeanor.
8. Respondent's initial hearing under cause number 82C01-1909-F6-006843 was held on or around October 2, 2019.
9. Indiana Code § 27-1-15.6-12(b)(2)(A) states, in part, that the Commissioner may refuse to renew an insurance producer's license for violating an insurance law.
10. Indiana Code § 27-1-15.6-17(b) is an insurance law, which states, in part, a producer shall report to the Commissioner any criminal prosecution of the producer initiated in any jurisdiction, not more than thirty (30) days after the initial pretrial hearing date.
11. Indiana Code § 27-1-15.6-12(d) provides that when the Commissioner refuses to renew a license, the Commissioner shall notify the Respondent, in writing, of the reasons for the nonrenewal. This Order serves as that notice.
12. The Commissioner further notifies Respondent that, pursuant to Indiana Code § 27-1-15.6-12(d), Respondent may, within sixty-three (63) days of the mailing of this Order, make a written demand upon the Commissioner for a hearing to determine the

reasonableness of this action. Such a hearing shall be held within thirty (30) days from the date of receipt of Respondent's written demand.

WHEREFORE, based on the foregoing, the Commissioner of Insurance hereby notifies Respondent that **Respondent's license shall not be renewed** due to Respondent's failure to disclose to the Department the pending charges within thirty (30) days from the pretrial hearing.

4-9-2020

Date Signed



Stephen W. Robertson, Commissioner
Indiana Department of Insurance

Distribution:

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Caroline M. Rich
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