

STATE OF INDIANA )  
 ) SS:  
COUNTY OF MARION )

BEFORE THE INDIANA  
COMMISSIONER OF INSURANCE

CAUSE NO.: 18760-AD19-1114-143

IN THE MATTER OF: )

Lloyd Thomas Brown )  
6800 West 115<sup>th</sup> Street, Suite 2511 )  
Overland Park, KS 66211 )

Applicant. )

Type of Agency Action: Enforcement )

Application ID: 742516 )

**FILED**

NOV 22 2019

STATE OF INDIANA  
DEPT. OF INSURANCE

**PRELIMINARY ADMINISTRATIVE ORDER**  
**AND NOTICE OF LICENSE DENIAL**

The Indiana Department of Insurance, pursuant to the Indiana Administrative Orders and Procedures Act, Indiana Code §4-21.5-1 *et seq.* and Indiana Code §27-1-15.6-12, hereby gives notice to Lloyd Thomas Brown (“Applicant”) of the following Administrative Order:

1. Applicant filed an application for a nonresident insurance producer’s license with the Commissioner of the Indiana Department of Insurance (“Commissioner”) on October 9, 2019.
2. Before approving an application, the Commissioner must find that the applicant has met specific requirements under Indiana Code § 27-1-15.6-8 and Indiana Code § 27-1-15.6-12.
3. Indiana Code § 27-1-15.6-12(b)(1) provides, in part, that the Commissioner may refuse to issue or renew an insurance producer license for providing incorrect, misleading, incomplete, or materially untrue information in a license application.
4. Indiana Code § 27-1-15.6-12(b)(8) provides, in part, that the Commissioner may refuse to issue or renew an insurance producer’s license for using fraudulent, coercive, or dishonest

practices, or demonstrating incompetence, untrustworthiness, or financial irresponsibility in the conduct of business in Indiana or elsewhere.

5. Following a review of public records, the Commissioner being fully advised, now hereby notifies Applicant that he has not fully met the requirements of licensure as stated by Indiana Code § 27-1-15.6-12(b)(1) due to failing to disclose a Voluntary Forfeiture from May 18, 2012 in the State of Missouri for failing to timely file a required monthly report with regards to his bail bonds license.

6. Following a review of materials submitted by Applicant in support of his application and a review of public records, the Commissioner being fully advised, now hereby notifies Applicant that he has not fully met the requirements of licensure as stated by Indiana Code § 27-1-15.6-12(b)(8) due to the following administrative actions in the State of Missouri:

- Three hundred dollar (\$300) voluntary forfeiture from November 8, 2008 for failing to provide a monthly report as required;
- Four hundred fifty dollar (\$450) voluntary forfeiture from June 13, 2011 for failing to provide a monthly report as required;
- One thousand five hundred dollar (\$1500) voluntary forfeiture from May 18, 2012 for failing to provide a monthly report as required;
- Voluntary license surrender from December 28, 2016 for failure to respond.

7. Indiana Code § 27-1-15.6-12(d) provides that the applicant may, not more than sixty-three (63) days after notice of denial of the application is mailed, make written demand to the Commissioner for a hearing before the Commissioner to determine the reasonableness of the Commissioner's action.

**IT IS THEREFORE ORDERED** that Applicant's request for licensure is hereby DENIED pursuant to Indiana Code § 27-1-15.6-12(b)(1) for failing to disclose an administrative action in an application for licensure and pursuant to Indiana Code § 27-1-15.6-12(b)(8) for the nature of four (4) administrative actions taken against him in the State of Missouri.

11-22-2019

Date Signed



Stephen W. Robertson, Commissioner  
Indiana Department of Insurance

Distribution to:

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