| STATE OF INDIANA )                   | BEFORE THE INDIANA            |
|--------------------------------------|-------------------------------|
| ) SS:                                | COMMISSIONER OF INSURANCE     |
| COUNTY OF MARION )                   |                               |
|                                      | CAUSE NO: 18576-AG19-0827-115 |
| IN THE MATTER OF:                    |                               |
| )                                    |                               |
| Jennifer Adams )                     |                               |
| 1901 Northwind Parkway,              |                               |
| Hobart, IN 46342                     | FILED                         |
| )                                    |                               |
| Applicant.                           | SEP 1 9 2019                  |
| )                                    |                               |
| Type of Agency Action: Enforcement ) | STATE OF INDIANA              |
| )                                    | DEPT. OF INSURANCE            |
| Application ID: 724622               |                               |

## FINAL ORDER

The Indiana Department of Insurance ("Department"), by counsel, Victoria Hastings, and Jennifer Adams ("Applicant"), a resident producer applicant, signed an Agreed Entry which purports to resolve all issues involved in the above-captioned cause number, and which has been submitted to the Commissioner of the Indiana Department of Insurance ("Commissioner") for approval.

The Commissioner, after reviewing the Agreed Entry, which levies a civil penalty in the amount of two hundred fifty dollars (\$250), and places Applicant on probation for a period of two (2) years due to Applicant's criminal history, finds it has been entered into fairly and without fraud, duress, or undue influence, and it is fair and equitable between the parties. The Commissioner hereby incorporates the Agreed Entry, attached, as if fully set forth herein, and approves and adopts in full the Agreed Entry as a resolution of this matter.

IT IS THEREFORE ORDERED by the Commissioner as follows:

- 1. Applicant's resident producer license shall be approved, and Applicant shall pay a civil penalty in the amount of two hundred fifty dollars (\$250) to the Department within thirty (30) days after the Commissioner signs this Final Order.
- 2. Applicant shall be placed on probation for a period of two (2) years beginning the date of this Final Order. During the probationary period, any violations of Title 27 of the Indiana Code will result in the Department seeking immediate revocation of Applicant's license.

9-19-2019

Date Signed

Step en w. Robertson, Commissioner Indiana Department of Insurance

## Distribution:

Victoria Hastings, Attorney ATTN: Phil Holleman, Investigator Indiana Department of Insurance 311 West Washington Street, Suite 103 Indianapolis, Indiana 46204-2787

Jennifer Adams 1901 Northwind Parkway, Hobart, IN 46342

| STATE OF INDIANA )                 | BEFORE THE INDIANA             |
|------------------------------------|--------------------------------|
| ) SS:                              | COMMISSIONER OF INSURANCE      |
| COUNTY OF MARION )                 |                                |
|                                    | CAUSE NO.: 18576-AG19-0827-115 |
| IN THE MATTER OF:                  |                                |
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| Hobart, IN 46342                   | in for some morning            |
|                                    | SEP 1 9 2019                   |
| Applicant.                         | )                              |
|                                    | STATE OF INDIANA               |
| Type of Agency Action: Enforcement | DEPT. OF INSURANCE             |
|                                    | )                              |
| Application Number: 724622         | )                              |

## AGREED ENTRY

This Agreed Entry is executed by and between the Enforcement Division of the Indiana Department of Insurance ("Department"), by counsel, Victoria Hastings, and Jennifer Adams ("Applicant"), to resolve all issues in the above-captioned cause number. This Agreed Entry is subject to the review and approval of Stephen W. Robertson, Commissioner of the Indiana Department of Insurance ("Commissioner").

WHEREAS, Applicant applied for a resident insurance producer license on July 24, 2019;

WHEREAS, Applicant disclosed an August 31, 2005, conviction for Disorderly Conduct, a Class B Misdemeanor, a June 10, 2013, conviction for Conversion, a Class A Misdemeanor, and Battery, a Class A Misdemeanor, and a September 30, 2013, conviction for Leaving the Scene of an Accident, a Class C Misdemeanor;

WHEREAS, Indiana Code § 27-1-15.6-12(b)(8) states, in part, that the Commissioner may levy a civil penalty and place an insurance producer on probation for using fraudulent,

coercive, or dishonest practices, or demonstrating incompetence, untrustworthiness, or financial irresponsibility in the conduct of business in Indiana or elsewhere; and

WHEREAS, the Department and Applicant (collectively, the "Parties") desire to resolve this matter without the necessity of a hearing.

## IT IS, THEREFORE, NOW AGREED by and between the Parties as follows:

- The Commissioner has jurisdiction over the subject matter and the Parties to this Agreed Entry.
- 2. In order to avoid formal litigation in this matter, Applicant has determined that it is in her best interests to enter into this Agreed Entry.

  As such, Applicant acknowledges that she executes this Agreed Entry with full realization of its contents and effects.
- 3. This Agreed Entry is executed knowingly, voluntarily, and freely by the Parties. The Parties agree that the terms of this Agreed Entry constitute final resolution of this matter
- 4. Applicant knowingly, voluntarily and freely waives the right to a public hearing on this matter, including the right to appear in person before the Commissioner, present evidence, cross-examine witnesses, and present arguments.
- 5. Applicant knowingly, voluntarily and freely waives the right to judicial review of this matter or otherwise appeal or challenge the validity of this Agreed Entry.

- 6. Applicant knowingly, voluntarily, and freely waives, releases, and forever discharges all claims or challenges, known or unknown, against the Department, its Commissioner, employees, agents, and representatives, in their individual and official capacities, that arise out of or are related to the Agreed Entry or Final Order, including but not limited to any act or omission as part of the underlying audit, investigation, negotiation, or approval process.
- 7. Applicant resident producer license shall be approved, and Applicant agreed to pay a civil penalty in the amount of two hundred fifty dollars (\$250) within thirty (30) days of the Commissioner's Final Order adopting this Agreed Entry.
- 8. Applicant shall be placed on probation for two (2) years, beginning the date the Commissioner signs the Final Order adopting this Agreed Entry.
- During the probationary period any violation of Title 27 of the Indiana
   Code will result in the Department seeking immediate revocation of
   Applicant's license.
- Applicant has carefully read and examined this Agreed Entry and fully understands its terms.
- 11. Applicant has had the opportunity to have this Agreed Entry reviewed by legal counsel of her choosing, at her own expense, and is aware of the benefits gained and obligations incurred by the execution of this Agreed Entry. Applicant understands and agrees that the Department cannot give her legal advice.

- 12. Applicant has entered into this Agreed Entry knowingly, voluntarily, and freely, and has not been subject to duress, coercion, threat, or undue influence.
- 13. This Agreed Entry constitutes the entire agreement between the Parties, and no other promises or agreements, express or implied, have been made by the Department or by any employee, director, agent or other representative thereof to induce Applicant to enter this Agreed Entry.
- 14. The Department agrees to accept Applicant's compliance with the terms of this Agreed Entry as full satisfaction of this matter, and warrants and represents that so long as Applicant complies with the terms of this Agreed Entry, the Department will not bring any further action against Applicant based on the facts that gave rise to this Agreed Entry.
- 15. In the event the Department finds there has been a breach of any of the provisions of this Agreed Entry, the Department may reopen this matter and pursue alternative action pursuant to Indiana Code § 27-1-15.6-12.
- 16. Applicant waives any applicable statute of limitations for purposes of any enforcement of the terms and conditions of this Agreed Entry.
- 17. Applicant acknowledges that this Agreed Entry may be admitted into evidence in any judicial or administrative proceeding against Applicant to enforce the terms and conditions contained herein.
- 18. Applicant understands that this Agreed Entry resolves only the matter pending with the Department and does not affect any criminal

- prosecution or civil litigation that may be pending or hereinafter commence against Applicant.
- 19. This Agreed Entry does not in any way affect the Department's authority in future audits, investigations, examinations, negotiations, or other complaints involving Applicant.
- 20. It is expressly understood that this Agreed Entry is subject to the Commissioner's acceptance and has no force or effect until such acceptance is evidenced by the entry of a Final Order by the Commissioner.
- 21. Should this Agreed Entry not be accepted by the Commissioner, it is agreed that presentation to, and consideration of this Agreed Entry by the Commissioner shall not unfairly or illegally prejudice the Commissioner or Applicant from further participation in or resolution of these proceedings.
- 22. If this Agreed Entry is accepted by the Commissioner, it will become part of Applicant's permanent record and may be considered in future actions brought by the Department or any other regulator against Applicant. It is further understood that, if accepted by the Commissioner, this Agreed Entry and resulting Final Order are public records pursuant to Indiana Code § 4-21.5-3-32 that may not be sealed or otherwise withheld from the public, and may be reported to the National Association of Insurance Commissioners and published on the Department's website as required.

23. Applicant acknowledges that this is an Administrative Action she may be required to report to other jurisdictions in which she is licensed and on future licensing applications.

9/12/19 Date Signed

Victoria Hastings, Attorney #34052-29
Indiana Department of Insurance

 $\frac{9-12-19}{\text{Date Signed}}$ 

Jehnifer Adams, Applicant

| STATE OF INDIANA )   |
|--|
| COUNTY OF LAKE ) SS:   |
| Before me a Notary Public for County, State of Indiana personally appeared Jennifer Adams, and being first duly sworn by me upon her oath, says that           |
| the facts alleged in the foregoing instrument are true.  |
| Signed and sealed this 12 day of Septenber, 2019.  |
| LAUREN M RENTAS Notary Public - Seal Porter County - State of Indiana Commission Number NP0720914 My Commission Expires Jun 21, 2027  Lauren M RentaS  Printed |
| My Commission expires: June 21st, 2027   |
| County of Residence: POVACV.   |

Return executed originals to:

INDIANA DEPARTMENT OF INSURANCE Enforcement Division, Suite 103 311 West Washington Street Indianapolis, IN 46204-2787 317/234-5885 - telephone 317/234-2103—facsimile