

STATE OF INDIANA )  
 ) SS:  
COUNTY OF MARION )

BEFORE THE INDIANA  
COMMISSIONER OF INSURANCE

CAUSE NO.: 17557-AG18-1105-163

IN THE MATTER OF: )  
 )  
Kara N. Otis )  
3413 Prestwick Sq. Dr Apt 70 )  
Jeffersonville, IN 47130 )  
 )  
Applicant. )  
 )  
Type of Agency Action: Enforcement )  
 )  
Application ID: 688722 )

**FILED**

DEC 07 2018

STATE OF INDIANA  
DEPT. OF INSURANCE

**FINAL ORDER**

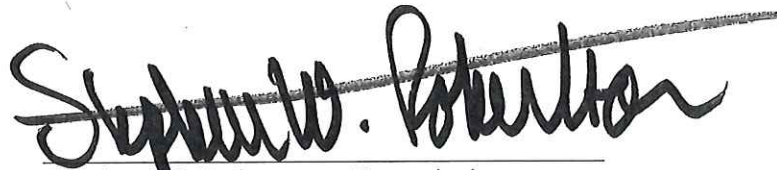
The Enforcement Division of the Indiana Department of Insurance (“Department”), by counsel, Erica J. Dobbs, and Kara N. Otis (“Applicant”), an applicant for an Indiana resident producer license, signed an Agreed Entry which purports to resolve all issues involved in the above-captioned cause number, and which has been submitted to the Commissioner of the Indiana Department of Insurance (“Commissioner”) for approval.

The Commissioner, after reviewing the Agreed Entry, which grants Applicant a two (2) year probationary resident producer license due to a 2015 misdemeanor theft conviction, finds it has been entered into fairly and without fraud, duress or undue influence, and is fair and equitable between the parties. The Commissioner hereby incorporates the Agreed Entry, attached, as if fully set forth herein, and approves and adopts in full the Agreed Entry as a resolution of this matter.

IT IS THEREFORE ORDERED by the Commissioner as follows:

1. Applicant's Indiana resident producer license shall be granted and Applicant placed on probation for two (2) years beginning upon the issuance of this final order; during the probationary period, any violations of Title 27 of the Indiana Code will result in the Department seeking immediate revocation of the Applicant's license.
2. The Department agrees to accept this agreement as full resolution of this matter.

ALL OF WHICH IS ORDERED this 7 day of December 2018.



Stephen W. Robertson, Commissioner  
Indiana Department of Insurance

Distribution:

Erica J. Dobbs, Attorney  
ATTN: Calla Dain, Insurance Investigator  
Indiana Department of Insurance  
311 West Washington St, Suite 103  
Indianapolis, Indiana 46204-2787

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**AGREED ENTRY**

This Agreed Entry is executed by and between the Enforcement Division of the Indiana Department of Insurance ("Department"), by counsel, Erica J. Dobbs, and Kara N. Otis ("Applicant"), to resolve all issues in the above-captioned cause number. This Agreed Entry is subject to the review and approval of Stephen W. Robertson, Commissioner of the Indiana Department of Insurance ("Commissioner").

WHEREAS, on August 24, 2018, Applicant submitted an application for an Indiana resident producer license;

WHEREAS, on said license application, Applicant disclosed a 2015 conviction for Theft, a Class A Misdemeanor;

WHEREAS, Indiana Code § 27-1-15.6-12(b)(8) states, in part, that the Commissioner may place a producer on probation for using fraudulent, coercive, or dishonest practices, or demonstrating incompetence, untrustworthiness, or financial irresponsibility in the conduct of business in Indiana or elsewhere;

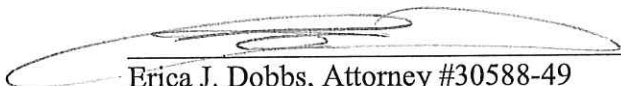
WHEREAS, the Department and Applicant (collectively, the "Parties") desire to resolve this matter without the necessity of a hearing.

IT IS, THEREFORE, NOW AGREED by and between the Parties as follows:

1. The Commissioner has jurisdiction over the subject matter and the Parties to this Agreed Entry.
2. This Agreed Entry is executed voluntarily by the Parties.
3. Applicant voluntarily and freely waives the right to a public hearing on the issues in this matter.
4. Applicant voluntarily and freely waives the right to judicial review of this matter.
5. Applicant's Indiana resident producer's license shall be granted and placed on probation for a period of two (2) years, during which time any violations of Title 27 of the Indiana Code will result in the Department seeking immediate revocation of Applicant's license.
6. The two (2) year probationary period shall begin upon the date of the issuance of the Final Order in this matter.
7. The Department agrees to accept Applicant's compliance with this agreement as full satisfaction of this matter.
8. Applicant has carefully read and examined this agreement and fully understands its terms.
9. Applicant has entered into this agreement freely, and has not been subject to duress, threat or undue influence.

10. Should this Agreed Entry not be accepted by the Commissioner, it is agreed that presentation to and consideration of this Agreed Entry by the Commissioner shall not unfairly or illegally prejudice the Commissioner from further participation in or resolution of these proceedings.
10. Applicant is aware that failure to comply with any of the terms of this agreement will result in the matter being set for a hearing.

12/4/18  
Date Signed

  
Erica J. Dobbs, Attorney #30588-49  
Indiana Department of Insurance

11-27-18  
Date Signed

  
Kara N. Otis, Applicant

STATE OF INDIANA )  
 ) SS:  
 COUNTY OF Clark )

Before me a Notary Public for Clark County, State of Indiana personally appeared Kara N. Otis, and being first duly sworn by me upon her oath, says that the facts alleged in the foregoing instrument are true.

Signed and sealed this 27<sup>th</sup> day of November, 2018.

*Cheryl Howell*  
 Signature

Cheryl Howell  
 Printed

My Commission expires: 10-21-2026

County of Residence: Clark

