

STATE OF INDIANA       )  
                                  ) SS:  
COUNTY OF MARION     )

BEFORE THE INDIANA  
COMMISSIONER OF INSURANCE

CAUSE NO.: 17338-AG18-0626-109

IN THE MATTER OF:       )  
                                  )  
James Allan Altman       )  
9640 Granite Ridge Dr    )  
San Diego, CA 92121      )  
                                  )  
Respondent.               )  
                                  )  
Type of Agency Action: Enforcement    )  
                                  )  
License Application: 654784            )

**FILED**

JUL 30 2018

STATE OF INDIANA  
DEPT. OF INSURANCE

**FINAL ORDER**


The Indiana Department of Insurance ("Department") by counsel, Erica J. Dobbs, and James Allan Altman ("Respondent"), signed an Agreed Entry which purports to resolve all issues involved in the above-captioned cause number, and which has been submitted to the Commissioner of the Indiana Department of Insurance ("Commissioner") for approval.

The Commissioner, after reviewing the Agreed Entry, which grants Applicant's license and places Applicant on probation for one (1) year for failing to disclose an administrative action from the state of California, finds it has been entered into fairly and without fraud, duress or undue influence, and is fair and equitable between the parties. The Commissioner hereby incorporates the Agreed Entry, as if fully set forth herein, and approves and adopts in full the Agreed Entry as a resolution of this matter.

IT IS THEREFORE ORDERED by the Commissioner:

1. Applicant shall be granted a nonresident producer license.
2. Applicant shall be placed on probation for one (1) year, during which time any violations of Title 27 of the Indiana Code will result in the Department seeking immediate revocation of Applicant's license.

ALL OF WHICH IS ORDERED this 30 day of July, 2018.

  
Stephen W. Robertson, Commissioner  
Indiana Department of Insurance

Distribution:

Erica J. Dobbs, Attorney  
ATTN: Phil Holleman, Investigator  
**INDIANA DEPARTMENT OF INSURANCE**  
311 West Washington Street, Suite 103  
Indianapolis, Indiana 46204-2787

James Allan Altman  
9640 Granite Ridge Dr  
San Diego, CA 92121

STATE OF INDIANA )  
 ) SS:  
COUNTY OF MARION )

**BEFORE THE INDIANA  
COMMISSIONER OF INSURANCE**

**CAUSE NO.: 17338-AG18-0626-109**

James Allan Altman )  
9640 Granite Ridge Dr. )  
San Diego, CA 92121 )

**Applicant.** )

**Type of Agency Action: Enforcement** )

**Application Number: 654784** )

**FILED**

**JUL 30 2018**

**STATE OF INDIANA  
DEPT. OF INSURANCE**

**AGREED ENTRY**

This Agreed Entry is executed by and between the Enforcement Division of the Indiana Department of Insurance ("Department"), by counsel, Erica J. Dobbs, and James Allan Altman ("Applicant"), to resolve all issues in the above-captioned cause number. This Agreed Entry is subject to the review and approval of Stephen W. Robertson, Commissioner of the Indiana Department of Insurance ("Commissioner").

WHEREAS, Applicant is a nonresident producer applicant;

WHEREAS, Applicant's home state is California, where he was granted a restricted resident producer license on May 24, 2018 due to criminal history;

WHEREAS, Applicant failed to disclose this administrative action from his home state on his June 1, 2018 application for Indiana nonresident producer licensure;

WHEREAS, Indiana Code § 27-1-15.6-12(b)(1) states, in part, that the Commissioner may place an insurance producer on probation for providing incorrect, misleading, incomplete, or materially untrue information in a license application;

WHEREAS, the Department and Applicant (collectively, the "Parties") desire to resolve this matter without the necessity of a hearing.


IT IS, THEREFORE, NOW AGREED by and between the Parties as follows:

1. The Commissioner has jurisdiction over the subject matter and the Parties to this Agreed Entry.
2. This Agreed Entry is executed voluntarily by the Parties.
3. Applicant voluntarily and freely waives the right to a public hearing on the issues in this matter.
4. Applicant voluntarily and freely waives the right to judicial review of this matter.
5. Applicant's license shall be granted, and Applicant placed on probation for one (1) year, during which time any violations of Title 27 of the Indiana Code will result in the Department seeking immediate revocation of Applicant's license.
6. Should additional violations manifest, further administrative actions will be taken for the new violation(s).
7. The Department agrees to accept Applicant's compliance with this agreement as full satisfaction of this matter.
8. Applicant has carefully read and examined this agreement and fully understands its terms.
9. Applicant has entered into this agreement freely, and has not been subject to duress, threat or undue influence.
10. Should this Agreed Entry not be accepted by the Commissioner, it is agreed that presentation to and consideration of this Agreed Entry by the Commissioner shall


not unfairly or illegally prejudice the Commissioner from further participation in or resolution of these proceedings.

11. Applicant is aware that failure to comply with any of the terms of this agreement will result in the matter being set for a hearing.

7/18/18  
Date Signed

  
Erica J. Dobbs, Attorney #30588-49  
Indiana Department of Insurance

7/17/2018  
Date Signed

  
James Allan Altman, Applicant

STATE OF CALIFORNIA            )  
                                                  ) SS:  
COUNTY OF SAN DIEGO        )

Before me a Notary Public for SAN DIEGO County, State of California  
personally appeared James Allan Altman, and being first duly sworn by me upon his oath, says  
that the facts alleged in the foregoing instrument are true.

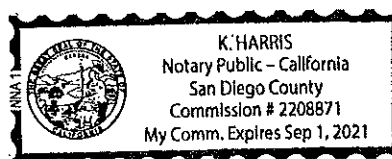
Signed and sealed this 1<sup>st</sup> day of JULY, 2018.

[Signature]  
Signature

K. Harris  
Printed

My Commission expires: SEPT 1/2021

County of Residence: SAN DIEGO



**Return executed originals to:**  
INDIANA DEPARTMENT OF INSURANCE  
Enforcement Division, Suite 103  
311 West Washington Street  
Indianapolis, IN 46204-2787  
317/234-5885 - telephone  
317/234-2103-- facsimile