

STATE OF INDIANA)
) SS:
COUNTY OF MARION)

BEFORE THE INDIANA
COMMISSIONER OF INSURANCE

CAUSE NO.: 17274-AG18-0531-094

IN THE MATTER OF:)
)
Amber N. Havens)
824 N. Main St)
Fairmount, IN 46928)
)
Respondent.)
)
Type of Agency Action: Enforcement)
)
License Number: 4549966)

FILED

JUL 06 2018

STATE OF INDIANA
DEPT. OF INSURANCE

FINAL ORDER

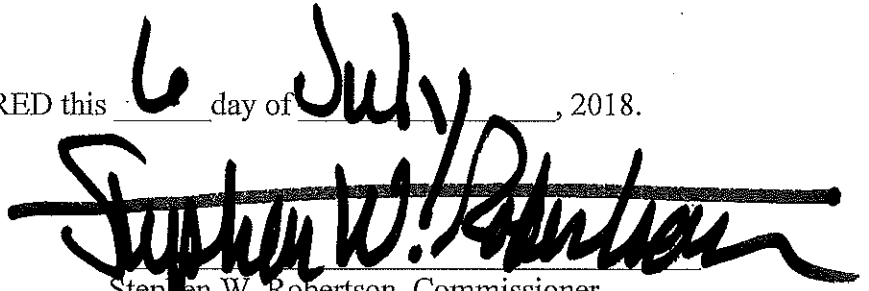
The Indiana Department of Insurance (“Department”), by counsel, Erica J. Dobbs, and Amber N. Havens (“Respondent”), a licensed resident producer, signed an Agreed Entry which purports to resolve all issues involved in the above-captioned cause number, and which has been submitted to the Commissioner of Indiana Department of Insurance (“Commissioner”) for approval.

The Commissioner, after reviewing the Agreed Entry, which levies a two hundred fifty dollar (\$250) civil penalty against Respondent for failing to timely report a criminal charge filed against her in 2016, finds it has been entered into fairly and without fraud, duress or undue influence, and is fair and equitable between the parties. The Commissioner hereby incorporates the Agreed Entry, attached, as if fully set forth herein, and approves and adopts in full the Agreed Entry as a resolution of this matter.

IT IS THEREFORE ORDERED by the Commissioner of Insurance:

1. Respondent shall pay a civil penalty in the amount of two hundred fifty dollars (\$250), payable to the Department within thirty (30) days from the date of this Final Order.

ALL OF WHICH IS ORDERED this 6 day of July, 2018.


Stephen W. Robertson, Commissioner
Indiana Department of Insurance

Distribution:

Erica J. Dobbs, Attorney
ATTN: Corey J. Johnson
INDIANA DEPARTMENT OF INSURANCE
311 West Washington Street, Suite 103
Indianapolis, Indiana 46204-2787

Amber N. Havens
824 N. Main St
Fairmount, IN 46928

WHEREAS, Indiana Code § 27-1-15.6-12(b)(2)(A), which provides, in part, that the Commissioner may levy a civil penalty against an insurance producer for violating and insurance law;

WHEREAS, Respondent's conduct is a violation of Indiana Code § 27-1-15.6-17(b), an insurance law, which states that not more than thirty (30) days after an initial pretrial hearing date, a producer shall report to the commissioner any criminal prosecution of the producer initiated in any jurisdiction;

WHEREAS, the Department and Respondent (collectively, the "Parties") desire to resolve this matter without the necessity of a hearing.

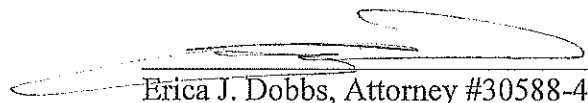
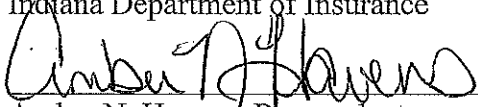
IT IS, THEREFORE, NOW AGREED by and between the Parties as follows:

1. The Commissioner has jurisdiction over the subject matter and the Parties to this Agreed Entry.
2. This Agreed Entry is executed voluntarily by the Parties.
3. Respondent voluntarily and freely waives the right to a public hearing on the issues in this matter.
4. Respondent voluntarily and freely waives the right to judicial review of this matter.
5. Respondent shall pay a civil penalty in the amount of two hundred fifty dollars (\$250) within thirty (30) days from the date the Commissioner executes the Final Order adopting this Agreed Entry.
6. Should additional violations manifest, this penalty shall be in addition to any administrative actions for the new violation(s).

7. The Department agrees to accept Respondent's compliance with this agreement as full satisfaction of this matter.
8. Respondent has carefully read and examined this agreement and fully understands its terms.
9. Respondent has entered into this agreement freely, and has not been subject to duress, threat or undue influence.
10. Should this Agreed Entry not be accepted by the Commissioner, it is agreed that presentation to and consideration of this Agreed Entry by the Commissioner shall not unfairly or illegally prejudice the Commissioner from further participation in or resolution of these proceedings.
11. Respondent is aware that failure to comply with any of the terms of this agreement will result in the matter being set for a hearing.

7/6/18
Date Signed

6/21/18
Date Signed


Erica J. Dobbs, Attorney #30588-49
Indiana Department of Insurance

Amber N. Havens, Respondent

STATE OF INDIANA)
) SS:
COUNTY OF Grant)

Before me a Notary Public for Grant County, State of Indiana,
personally appeared Amber N. Havens, and being first duly sworn by me upon her oath, says that
the facts alleged in the foregoing instrument are true.

Signed and sealed this 21 day of June, 2018.

Michael A. Greenwood
Signature

Michael A. Greenwood
Printed

My Commission expires: 10/21/2018

County of Residence: Grant

Return executed originals to:
INDIANA DEPARTMENT OF INSURANCE
Enforcement Division, Suite 103
311 West Washington Street
Indianapolis, IN 46204-2787
317/234-5883 - telephone
317/234-5882 - facsimile