

STATE OF INDIANA)
) SS:
COUNTY OF MARION)

BEFORE THE INDIANA
COMMISSIONER OF INSURANCE
CAUSE NO: 17113-AG18-0413-060

IN THE MATTER OF:)
)
Corina M. Rivera)
9017 W. Crown King RD)
Tolleson, AZ 85353)
)
Respondent.)
)
Type of Agency Action: Enforcement)
)
License Number: 3105820)

FILED

AUG 20 2018

**STATE OF INDIANA
DEPT. OF INSURANCE**

FINAL ORDER

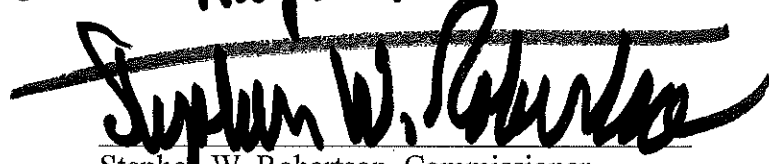
The Indiana Department of Insurance (“Department”), by counsel, Erica J. Dobbs, and Corina M. Rivera (“Respondent”), signed an Agreed Entry which purports to resolve all issues involved in the above-captioned matter, and which has been submitted to the Commissioner of Insurance (the “Commissioner”) for approval.

The Commissioner, after reviewing the Agreed Entry, wherein Respondent voluntarily surrenders her nonresident producer’s license due to her failure to pay the civil penalty previously levied against her by Final Order of the Commissioner, finds it has been entered into fairly and without fraud, duress or undue influence, and is fair and equitable between the parties. The Commissioner hereby incorporates the Agreed Entry, as if fully set forth herein, and approves and adopts in full the Agreed Entry as a resolution of this matter.

IT IS THEREFORE ORDERED by the Commissioner of Insurance:

1. Respondent's license number 3105820 shall be surrendered immediately upon execution of this Final Order.

ALL OF WHICH IS ORDERED this 20 day of August, 2018.


Stephen W. Robertson, Commissioner
Indiana Department of Insurance

Distribution:

Erica Dobbs, Attorney
ATTN: Taylor Peycha, Investigator
INDIANA DEPARTMENT OF INSURANCE
311 West Washington Street, Suite 103
Indianapolis, Indiana 46204-2787

Corina M. Rivera
9017 W. Crown King Rd
Tolleson, AZ 85353

STATE OF INDIANA)
) SS:
COUNTY OF MARION)

BEFORE THE INDIANA
COMMISSIONER OF INSURANCE

CAUSE NO: 17113-AG8-0413-060

IN THE MATTER OF:)
)
Corina M. Rivera)
9017 W. Crown King Rd)
Tolleson, AZ 85353)
)
Respondent.)
)
Type of Agency Action: Enforcement)
)
License Number: 3105820)

FILED

AUG 20 2018

STATE OF INDIANA
DEPT. OF INSURANCE

AGREED ENTRY

This Agreed Entry is executed by and between the Enforcement Division of the Indiana Department of Insurance (“Department”), by counsel, Erica J. Dobbs, and Corina M. Rivera (“Respondent”), to resolve all issues in the above-captioned cause number. This Agreed Entry is subject to the review and approval of Stephen W. Robertson, Commissioner of the Indiana Department of Insurance (“Commissioner”).

WHEREAS, Respondent is a licensed nonresident insurance producer holding license number 3105820 since September 18, 2015;

WHEREAS, on or about December 14, 2015, Respondent was denied a nonresident producer license in the State of Florida due to criminal history;

WHEREAS, on or about December 21, 2015, Respondent was denied a nonresident producer license in the State of Wisconsin due to criminal history;

WHEREAS, on or about May 23, 2016, Respondent was denied a nonresident producer license in the State of Oregon for failure to disclose criminal history;

WHEREAS, Respondent failed to timely disclose the Florida, Wisconsin, and Oregon license denials to the Department as required by Indiana Code § 27-1-15.6-17(a);

WHEREAS, on April 28, 2018, Respondent signed an Agreed Entry agreeing to pay a seven hundred fifty dollar (\$750) civil penalty to resolve these violations of Indiana Code;

WHEREAS, on May 18, 2018, the Commissioner entered a Final Order accepting the Agreed Entry and imposing a seven hundred fifty dollar (\$750) civil penalty against Respondent within thirty (30) days;

WHEREAS, more than thirty (30) days have elapsed and Respondent has failed to pay the civil penalty as required by the Commissioner's Final Order;

WHEREAS, Respondent's conduct is a violation of Indiana Code § 27-1-15.6-12(b)(2)(D), which provides, in part, that the Commissioner may suspend or revoke an insurance producer's license for violating an order of an insurance commissioner; and

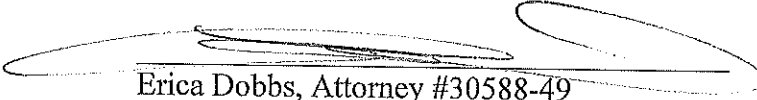
WHEREAS, the Department and Respondent (collectively, the "Parties") desire to resolve this matter without the necessity of a hearing.

IT IS, THEREFORE, NOW AGREED by and between the Parties as follows:


1. The Commissioner has jurisdiction over the subject matter and the Parties to this Agreed Entry.
2. This Agreed Entry is executed voluntarily by the Parties.
3. Respondent voluntarily and freely waives the right to a public hearing on the issues in this matter.
4. Respondent voluntarily and freely waives the right to judicial review of this matter.

5. Respondent agrees to surrender all authority Respondent has to conduct insurance business in the State of Indiana, and to surrender her producer license, immediately upon the Commissioner executing the Final Order adopting this Agreed Entry.
6. The Department agrees to accept Respondent's compliance with this agreement as full satisfaction of this matter.
7. Respondent has carefully read and examined this agreement and fully understands its terms.
8. Respondent has entered into this agreement freely, and has not been subject to duress, threat or undue influence.
9. Should this Agreed Entry not be accepted by the Commissioner, it is agreed that presentation to and consideration of this Agreed Entry by the Commissioner shall not unfairly or illegally prejudice the Commissioner from further participation in or resolution of these proceedings.
10. Respondent is aware that failure to comply with any of the terms of this agreement will result in the matter being set for a hearing.

8/13/18
Date Signed


Erica Dobbs, Attorney #30588-49
Indiana Department of Insurance

8.6.18
Date Signed


Corina M. Rivera, Respondent

STATE OF ARIZONA)
) SS:
COUNTY OF Maricopa)

Before me a Notary Public for Maricopa County, State of Arizona,
personally appeared Corina M. Rivera, and being first duly sworn by me upon his oath, says that
the facts alleged in the foregoing instrument are true.

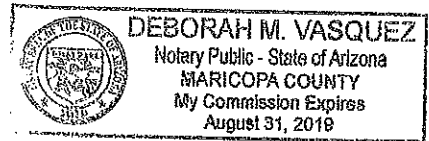
Signed and sealed this 7th day of August, 2018.

Deborah M. Vasquez
Signature

Deborah M. Vasquez
Printed

My Commission expires: August 31st, 2019

County of Residence: Maricopa



STATE OF INDIANA)
) SS:
COUNTY OF MARION)

BEFORE THE INDIANA
COMMISSIONER OF INSURANCE

CAUSE NO: 17113-AG18-0413-060

IN THE MATTER OF:)
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Tolleson, AZ 85353)
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License Number: 3105820)

FILED

MAY 18 2018

STATE OF INDIANA
DEPT. OF INSURANCE

FINAL ORDER

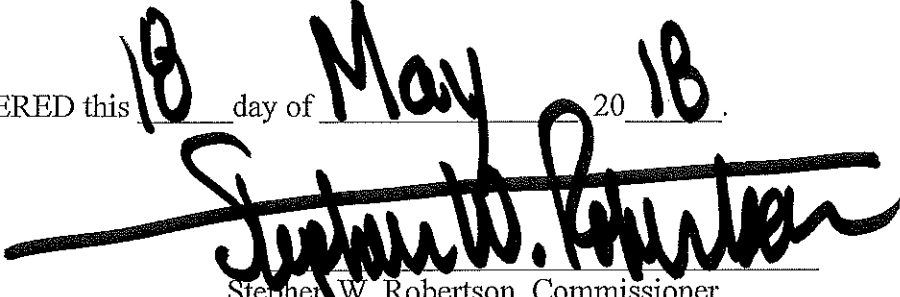
The Indiana Department of Insurance (“Department”), by counsel, Erica J. Dobbs, and Corina M. Rivera (“Respondent”), signed an Agreed Entry which purports to resolve all issues involved in the above-captioned matter, and which has been submitted to the Commissioner of Insurance (the “Commissioner”) for approval.

The Commissioner, after reviewing the Agreed Entry, which levies a seven hundred fifty dollar (\$750) civil penalty against Respondent for failing to timely report other states’ administrative actions to the Department, finds it has been entered into fairly and without fraud, duress or undue influence, and is fair and equitable between the parties. The Commissioner hereby incorporates the Agreed Entry, as if fully set forth herein, and approves and adopts in full the Agreed Entry as a resolution of this matter.

IT IS THEREFORE ORDERED by the Commissioner of Insurance:

1. Respondent shall pay a civil penalty in the amount of seven hundred fifty dollars (\$750), payable within thirty days (30) from the date of this Final Order.

ALL OF WHICH IS ORDERED this 18 day of May 2018.


Stephen W. Robertson, Commissioner
Indiana Department of Insurance

Distribution:

Erica Dobbs, Attorney
ATTN: Corey Johnson, Investigator
INDIANA DEPARTMENT OF INSURANCE
311 West Washington Street, Suite 103
Indianapolis, Indiana 46204-2787

Corina M. Rivera
9017 W. Crown King Rd
Tolleson, AZ 85353

STATE OF INDIANA)
) SS:
COUNTY OF MARION)

BEFORE THE INDIANA
COMMISSIONER OF INSURANCE

CAUSE NO: 17113-AG8-0413-060

IN THE MATTER OF:)
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Corina M. Rivera)
9017 W. Crown King Rd)
Tolleson, AZ 85353)
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Respondent.)
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Type of Agency Action: Enforcement)
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License Number: 3105820)

FILED

MAY 18 2018

**STATE OF INDIANA
DEPT. OF INSURANCE**

AGREED ENTRY

This Agreed Entry is executed by and between the Enforcement Division of the Indiana Department of Insurance (“Department”), by counsel, Erica J. Dobbs, and Corina M. Rivera (“Respondent”), to resolve all issues in the above-captioned cause number. This Agreed Entry is subject to the review and approval of Stephen W. Robertson, Commissioner of the Indiana Department of Insurance (“Commissioner”).

WHEREAS, on September 18, 2015, Respondent was granted Indiana nonresident producer license number 3105820;

WHEREAS, on or about December 14, 2015, Respondent was denied a nonresident producer license in the State of Florida due to criminal history;

WHEREAS, on or about December 21, 2015, Respondent was denied a nonresident producer license in the State of Wisconsin due to criminal history;

WHEREAS, on or about May 23, 2016, Respondent was denied a nonresident producer license in the State of Oregon for failure to disclose criminal history;

WHEREAS, Indiana Code § 27-1-15.6-12(b)(2)(A) provides, in part, that the Commissioner may levy a civil penalty against an insurance producer for violating an insurance law;

WHEREAS, Respondent's conduct is a violation of Indiana Code § 27-1-15.6-17(a), which is an insurance law that states, in part, that a producer shall report to the Commissioner any administrative action taken against the producer in another jurisdiction or by another governmental agency in Indiana not more than thirty (30) days after the final disposition of the matter; and

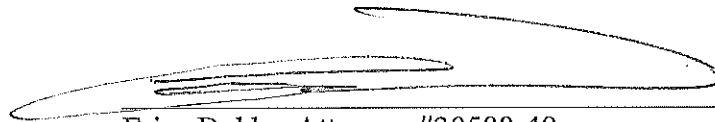
WHEREAS, the Department and Respondent (collectively, the "Parties") desire to resolve this matter without the necessity of a hearing.

IT IS, THEREFORE, NOW AGREED by and between the Parties as follows:

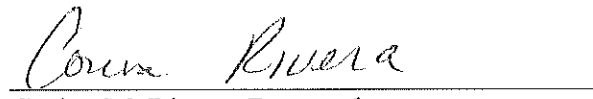
1. The Commissioner has jurisdiction over the subject matter and the Parties to this Agreed Entry.
2. This Agreed Entry is executed voluntarily by the Parties.
3. Respondent voluntarily and freely waives the right to a public hearing on the issues in this matter.
4. Respondent voluntarily and freely waives the right to judicial review of this matter.
5. Respondent shall pay a civil penalty in the amount of seven hundred and fifty dollars (\$750) within thirty (30) days after the Commissioner signs the Final order adopting this Agreed Entry.
6. The Department agrees to accept Respondent's compliance with this agreement as full satisfaction of this matter.

7. Respondent has carefully read and examined this agreement and fully understands its terms.
8. Respondent has entered into this agreement freely, and has not been subject to duress, threat or undue influence.
9. Should this Agreed Entry not be accepted by the Commissioner, it is agreed that presentation to and consideration of this Agreed Entry by the Commissioner shall not unfairly or illegally prejudice the Commissioner from further participation in or resolution of these proceedings.
10. Respondent is aware that failure to comply with any of the terms of this agreement will result in the matter being set for a hearing.

5/4/18
Date Signed


Erica Dobbs, Attorney #30588-49
Indiana Department of Insurance.

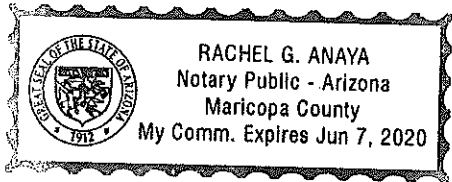
4-28-18
Date Signed


Corina M. Rivera, Respondent

STATE OF ARIZON)
COUNTY OF Maricopa) SS:

Before me a Notary Public for Maricopa County, State of Arizona, personally appeared Corina M. Rivera, and being first duly sworn by me upon his oath, says that the facts alleged in the foregoing instrument are true.

Signed and sealed this 27th day of April 2018.



[Handwritten Signature]
Signature
Rachel G Anaya
Printed

My Commission expires: 6/7/20

County of Residence: Maricopa