

STATE OF INDIANA)
) SS:
COUNTY OF MARION)

BEFORE THE INDIANA
COMMISSIONER OF INSURANCE

CAUSE NO: 17025-AG18-0412-059

IN THE MATTER OF)
)
Ascension Dental Partners LLC)
 dba Honest Health Plans, LLC)
106 E. 6th Street, Ste. 810)
Austin, TX 78701)
)
Respondent.)
)
Type of Agency Action: Enforcement)
)
License Number: 3170725)

FILED

APR 27 2018

STATE OF INDIANA
DEPT. OF INSURANCE

FINAL ORDER

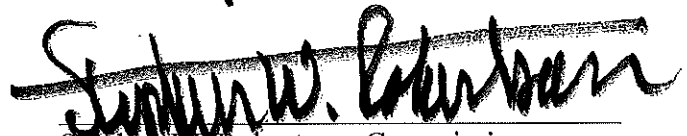
The Enforcement Division of the Indiana Department of Insurance (“Department”), by counsel, Erica J. Dobbs, and Ascension Dental Partners LLC (“Respondent”), an unlicensed nonresident discount medical program organization, signed an Agreed Entry which purports to resolve all issues involved in the above-captioned cause number, and which has been submitted to the Commissioner of the Indiana Department of Insurance (“Commissioner”) for approval.

The Commissioner, after reviewing the Agreed Entry, which assesses a civil penalty to Respondent in the amount of fifteen thousand, nine hundred and ninety six dollars (\$15,996) and requires a business action plan, finds it has been entered into fairly and without fraud, duress or undue influence, and is fair and equitable between the parties. The Commissioner hereby incorporates the Agreed Entry, attached, as if fully set forth herein, and approves and adopts in full the Agreed Entry as resolution of this matter.

IT IS THEREFORE ORDERED by the Commissioner as follows:

1. Respondent shall pay a civil penalty in the amount of fifteen thousand, nine hundred and ninety six dollar (\$15,996) within thirty (30) days after the Commissioner executes this Final Order.
2. Respondent shall prepare and submit to the Commissioner, within thirty (30) days after the Commissioner executes this Final Order, a corrective action plan detailing specific modifications to their business practices to ensure accurate and timely handling of licensing and regulatory matters.

ALL OF WHICH IS ORDERED this 22 day of April 2018.


Stephen W. Robertson, Commissioner
Indiana Department of Insurance

Distribution:

Erica J. Dobbs, Attorney
ATTN: Melissa Higgins, Investigator
INDIANA DEPARTMENT OF INSURANCE
311 West Washington Street, Suite 103
Indianapolis, Indiana 46204-2787

Ascension Dental Partners LLC
106 E. 6th Street, Ste. 810
Austin, TX 78701

STATE OF INDIANA)
) SS:
COUNTY OF MARION)

BEFORE THE INDIANA
COMMISSIONER OF INSURANCE

CAUSE NO: 17025-AG18-0412-059

IN THE MATTER OF:)
)
Ascension Dental Partners LLC)
 dba Honest Health Plans, LLC)
816 Congress Ave., Suite 1620)
Austin, TX 78701)
)
Respondent.)
)
Type of Agency Action: Enforcement)
)
License Number: 3170725)

FILED

APR 27 2018

STATE OF INDIANA
DEPT. OF INSURANCE

AGREED ENTRY

This Agreed Entry is executed by and between the Enforcement Division of the Indiana Department of Insurance (“Department”), by counsel, Erica J. Dobbs, and Ascension Dental Partners LLC (“Respondent”) to resolve all issues in the above-captioned cause number. This Agreed Entry is subject to the review and approval of Stephen W. Robertson, Commissioner of the Indiana Department of Insurance (“Commissioner”).

WHEREAS, Respondent is a nonresident producer holding discount medical program organization license number 3170725 since July 5, 2016;

WHEREAS, Respondent’s nonresident discount medical program organization license expired on July 31, 2017;

WHEREAS, Respondent contacted the Department on or around February 27, 2018 requesting to renew the nonresident license, however, they were informed since their original license had expired they would have to re-apply for a new license;

WHEREAS, on or around March 12, 2018 Respondent provided an application and supporting documentation to the Department's requesting a new license, which stated Respondent had been unlicensed while selling dental discount plans;

WHEREAS, Respondent sold two hundred and forty-one (241) dental discount plans from August 1, 2017 to April 16, 2018 while being unlicensed;

WHEREAS, Indiana Code § 27-17-2-1 states a discount medical card program organization may not transact business in Indiana unless the discount medical card program organization is: (1) authorized to transact business in Indiana, and (2) registered under this chapter;

WHEREAS, Robert Trampe, Chief Financial Officer of Ascension Dental Partners LLC, is authorized to act on behalf of Respondent and obligate it to perform in accordance with this agreement; and


WHEREAS, the Department and Respondent (collectively, the "Parties") desire to resolve this matter without the necessity of a hearing.

IT IS, THEREFORE, NOW AGREED by and between the Parties as follows:

1. The Commissioner has jurisdiction over the subject matter and the Parties to this Agreed Entry.
2. This Agreed Entry is executed voluntarily by the Parties.
3. Respondent voluntarily and freely waives the right to a public hearing on the issues in this matter.
4. Respondent voluntarily and freely waives the right to judicial review of this matter.
5. Respondent shall pay a civil penalty in the amount of fifteen thousand, nine hundred and ninety-six dollars (\$15,996) to the Department within thirty (30) days after the Commissioner executes the Final Order adopting this Agreed Entry.

6. As a term of probation, Respondent shall submit to the Commissioner, within thirty (30) days after the Commissioner executes the Final Order adopting this agreed entry, a corrective action plan describing specific modifications to their business practices to ensure accurate and timely handling of licensing and regulatory matters.
7. Should additional violations manifest, this penalty shall be in addition to any administrative actions for the new violation(s).
8. The Department agrees to accept Respondent's compliance with this agreement as full satisfaction of this matter.
9. Respondent has carefully read and examined this agreement and fully understands its terms.
10. Respondent has entered into this agreement freely, and has not been subject to duress, threat, or undue influence.
11. Should this Agreed Entry not be accepted by the Commissioner, it is agreed that presentation to, and consideration of this Agreed Entry by the Commissioner, shall not unfairly or illegally prejudice the Commissioner from further participation in or resolution of these proceedings.
12. Respondent is aware that failure to comply with any of the terms of this agreement will result in the matter being set for a hearing.

4/20/18
Date Signed


Erica J. Dobbs, Attorney # 30588-49
Indiana Department of Insurance

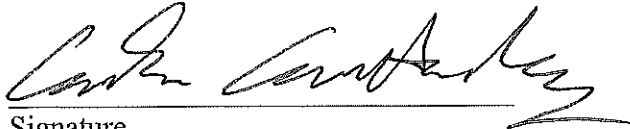
4/19/18
Date Signed


Robert Trampe, CFO
Ascension Dental Partners LLC, Respondent

STATE OF TEXAS)
) SS:
COUNTY OF Travis)

Before me a Notary Public for Travis County, State of Texas,
personally appeared Robbie Trampe and being first duly sworn by me upon his oath, says that the
facts alleged in the foregoing instrument are true.

Signed and sealed this 19 day of April, 2018.



Signature

Antonio Castro Hernandez

Printed

My Commission expires: 06/22/2020

County of Residence: Travis

