

STATE OF INDIANA )  
 ) SS:  
COUNTY OF MARION )

BEFORE THE INDIANA  
COMMISSIONER OF INSURANCE

CAUSE NO.: 16949-CO18-0503-002.

IN THE MATTER OF: )  
 )  
American Family Life Assurance Co. )  
of Columbus )  
1932 Wynnton Rd. )  
Columbus, GA 31999 )  
 )  
Respondent. )  
 )  
Type of Agency Action: Enforcement )  
 )  
NAIC ID: 60380 )

**FILED**

JUN 15 2018

STATE OF INDIANA  
DEPT. OF INSURANCE

**FINAL ORDER**

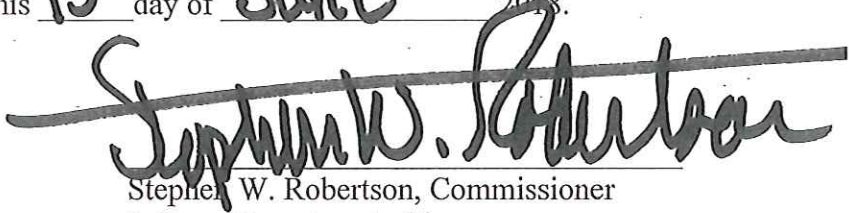
The Enforcement Division of the Indiana Department of Insurance (“Department”), by counsel, Erica J. Dobbs, and American Family Life Assurance Co. of Columbus (“Respondent”), a multiple line insurer holding a valid Indiana certificate of authority, signed an Agreed Entry which purports to resolve all issues involved in the above-captioned cause number, and which has been submitted to the Commissioner of the Indiana Department of Insurance (“Commissioner”) for approval.

The Commissioner, after reviewing the Agreed Entry, which imposes a civil penalty of two hundred fifty dollars (\$250) for failing to timely notify the Commissioner of the 2010 for-cause termination of an Indiana resident insurance producer, finds it has been entered into fairly and without fraud, duress or undue influence, and is fair and equitable between the parties. The Commissioner hereby incorporates the Agreed Entry, attached, as if fully set forth herein, and approves and adopts in full the Agreed Entry as a resolution of this matter.

IT IS THEREFORE ORDERED by the Commissioner as follows:

1. Respondent shall pay an administrative fine of Two Hundred Fifty Dollars (\$250) within thirty (30) days after the date of this Final Order.
2. The Department agrees to accept this agreement as full resolution of this matter.

ALL OF WHICH IS ORDERED this 15 day of June 2018.

  
Stephen W. Robertson, Commissioner  
Indiana Department of Insurance

Distribution:

Erica J. Dobbs, Attorney  
ATTN: Calla Dain, Insurance Investigator  
Indiana Department of Insurance  
311 West Washington St, Suite 103  
Indianapolis, Indiana 46204-2787

Jennifer Scott, Supervisor,  
Special Investigations Unit  
AFLAC  
PO Box 5288  
Columbus, GA 31906

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STATE OF INDIANA  
DEPT. OF INSURANCE

**AGREED ENTRY**

This Agreed Entry is executed by and between the Enforcement Division of the Indiana Department of Insurance (“Department”), by counsel, Erica J. Dobbs, and American Family Life Assurance Co. of Columbus, (“Respondent”), to resolve all issues in the above-captioned cause number. This Agreed Entry is subject to the review and approval of the Department’s Commissioner, Stephen W. Robertson (“Commissioner”);

WHEREAS, Respondent is a multiple line insurer, NAIC ID 60380, holding a valid Indiana certificate of authority;

WHEREAS, Respondent failed to timely notify the Commissioner of the 2010 forcause termination of an Indiana resident insurance producer;

WHEREAS, Indiana Code § 27-1-15.6-15(a) states, in part, that an insurer or authorized representative of an insurer that terminates the appointment, employment, contract, or other insurance business relationship with a producer shall notify the Commissioner not more than thirty (30) days after the effective date of the termination;

WHEREAS, Thomas L. McDaniel, Jr. Senior Vice President and Chief Compliance Officer of American Family Life Assurance Co. of Columbus, is authorized to act on behalf of Respondent and obligate it to perform in accordance with this agreement;

WHEREAS, the Department and Respondent (collectively, the "Parties") desire to resolve this matter without the necessity of a hearing.


IT IS, THEREFORE, NOW AGREED by and between the Parties as follows:

1. The Commissioner has jurisdiction over the subject matter and the Parties to this Agreed Entry.
2. This Agreed Entry is executed voluntarily by the Parties.
3. Respondent voluntarily and freely waives the right to a public hearing on the issues in this matter.
4. Respondent voluntarily and freely waives the right to judicial review of this matter.
5. Respondent shall pay a civil penalty of Two Hundred Fifty Dollars (\$250.00) within thirty (30) days after the Commissioner signs the Final Order approving this Agreed Entry.
6. Should additional violations manifest, further administrative action will be taken for the new violation(s).
7. The Department agrees to accept Respondent's compliance with this agreement as full satisfaction of this matter.
8. Respondent has carefully read and examined this agreement and fully understands and accepts its terms.
9. Respondent has entered into this agreement freely, and has not been subject to duress, threat or undue influence.

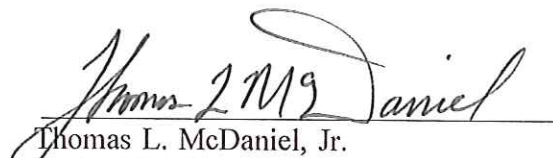


10. Should this Agreed Entry not be accepted by the Commissioner, it is agreed that presentation to and consideration of this Agreed Entry by the Commissioner shall not unfairly or illegally prejudice the Commissioner from further participation in or resolution of these proceedings.
11. Respondent is aware that failure to comply with any terms of this agreement will result in the matter being set for a hearing.

6/8/18  
Date Signed

  
Erica J. Dobbs, Attorney #30588-49  
Indiana Department of Insurance

5/18/2018  
Date Signed

  
Thomas L. McDaniel, Jr.  
Senior Vice President & Chief Compliance  
Officer of American Family Life Assurance  
Co. of Columbus, Respondent

STATE OF GEORGIA )  
 ) SS:  
COUNTY OF Muscogee )

Before me a Notary Public for Muscogee County, State of Georgia, personally appeared Thomas L. McDaniel, Jr. in his capacity as Senior Vice President and Chief Compliance Officer of American Family Life Assurance Co. of Columbus and being first duly sworn by me upon their oath, says that the facts alleged in the foregoing instrument are true.

Signed and sealed this 18<sup>th</sup> day of May, 2018.

Debbie P. Beckon  
Signature

Debbie P. Beckon  
Printed

My Commission expires: 9/26/21

County of Residence: Harris

