

STATE OF INDIANA)
) SS:
COUNTY OF MARION)

BEFORE THE INDIANA
COMMISSIONER OF INSURANCE
CAUSE NO.: 16868-AG18-0424-070

IN THE MATTER OF:)
)
Tracy J. Spangler)
53.Salzburg Blvd Apt D)
Columbus, IN 47201)
)
Respondent.)
)
Type of Agency Action: Enforcement)
)
License Number: 3169481)

FILED

APR 27 2018

STATE OF INDIANA
DEPT. OF INSURANCE

FINDINGS OF FACT AND SUSPENSION ORDER

WHEREAS, Tracy J. Spangler (“Respondent”) is a resident insurance producer holding license number 3169481;

WHEREAS, Indiana Code § 27-1-15.6-12(g) states, in part, that a licensed producer shall furnish the Commissioner with a full and complete report listing each insurer with which the licensee has held an appointment during the year preceding the request, within ten (10) days of receiving a request;

WHEREAS, on February 26, 2018, the Commissioner of the Indiana Department of Insurance (“Commissioner”) mailed Respondent a certified letter requesting a list of appointments to Respondent’s address of record;

WHEREAS, on April 10, 2018, certified mail article # 7016 2140 0000 9009 3679 was returned to the Commissioner by the United States Postal Service marked “unclaimed;”

WHEREAS, on April 11, 2018, the Commissioner resent the February 26, 2018 request for a list of appointments to Respondent via email to an email address on record for Respondent;

WHEREAS, the April 11, 2018 email included a request for a "delivery receipt," and a delivery receipt was received by the Department that same day, indicating that the email to Respondent was successfully delivered;

WHEREAS, Respondent has failed to provide a list of appointments within ten (10) days of receiving the Commissioner's request;

WHEREAS, Respondent's failure to provide the list of appointments within ten (10) days constitutes a violation of Indiana Code § 27-1-15.6-12(g); and

WHEREAS, Indiana Code § 27-1-15.6-12(h) provides that the Commissioner may, without a hearing and in his sole discretion, suspend any insurance license held by the licensee in the event that the licensee fails to remit the list of appointments within ten (10) days of receiving the request.

IT IS THEREFORE ORDERED BY THE COMMISSIONER that Respondent's license number 3169481 is hereby suspended, effective immediately, and will remain suspended until Respondent submits the list of appointments requested by the Commissioner.

ALL OF WHICH IS ORDERED the 27 day of April, 2018.


Stephen W. Robertson, Commissioner
Indiana Department of Insurance

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