

STATE OF INDIANA)
) SS:
COUNTY OF MARION)

BEFORE THE INDIANA
COMMISSIONER OF INSURANCE

Cause Number: 16903-AG18-0205-016

IN THE MATTER OF:)
)
Lance Austin)
308 Wild Oak Drive)
O'Fallon, MO 63368)
)
Applicant.)
)
Type of Agency Action: Enforcement)
)
Application ID: 628374)

FILED

FEB 16 2018

STATE OF INDIANA
DEPT. OF INSURANCE

FINAL ORDER

The Enforcement Division of the Indiana Department of Insurance (“Department”), by counsel, Erica J. Dobbs, and Lance Austin (“Applicant”), an applicant for an Indiana nonresident producer license, signed an Agreed Entry which purports to resolve all issues involved in the above-captioned cause number, and which has been submitted to the Commissioner of the Indiana Department of Insurance (“Commissioner”) for approval.

The Commissioner, after reviewing the Agreed Entry, which grants Applicant a two (2) year probationary nonresident producer license for having a 2004 felony conviction out of Illinois, finds it has been entered into fairly and without fraud, duress or undue influence, and is fair and equitable between the parties. The Commissioner hereby incorporates the Agreed Entry, attached, as if fully set forth herein, and approves and adopts in full the Agreed Entry as a resolution of this matter.

IT IS THEREFORE ORDERED by the Commissioner as follows:

1. Applicant's Indiana nonresident producer license shall be granted and placed on probation for a period of two (2) years beginning upon the issuance of this final order; during the probationary period, any violations of Title 27 of the Indiana Code will result in the Department seeking immediate revocation of the Applicant's license.
2. The Department agrees to accept this agreement as full resolution of this matter.

ALL OF WHICH IS ORDERED this 16th day of February, 2018.


Stephen W. Robertson, Commissioner
Indiana Department of Insurance

Distribution:

Calla Dain, Insurance Investigator
Indiana Department of Insurance
311 West Washington St, Suite 103
Indianapolis, Indiana 46204-2787

Lance Austin
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O'Fallon, MO 63368

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STATE OF INDIANA
DEPT. OF INSURANCE

AGREED ENTRY

This Agreed Entry is executed by and between the Enforcement Division of the Indiana Department of Insurance ("Department"), by counsel, Erica J. Dobbs, and Lance Austin ("Applicant"), to resolve all issues in the above-captioned cause number. This Agreed Entry is subject to the review and approval of Stephen W. Robertson, Commissioner of the Indiana Department of Insurance ("Commissioner").

WHEREAS, on January 11, 2018, Applicant submitted an application for a nonresident producer's license;

WHEREAS, on said license application, Applicant disclosed a 2004 felony conviction for Unlawful Possession of Methamphetamine Manufacturing Chemical With Intent to Manufacture Methamphetamine, in the state of Illinois;

WHEREAS, Indiana Code § 27-1-15.6-12(b)(6) states, in part, that the Commissioner may place an insurance producer on probation for having been convicted of a felony;

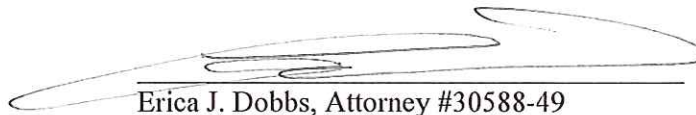
WHEREAS, the Department and Applicant (collectively, the "Parties") desire to resolve this matter without the necessity of a hearing.

IT IS, THEREFORE, NOW AGREED by and between the Parties as follows:

1. The Commissioner has jurisdiction over the subject matter and the Parties to this Agreed Entry.
2. This Agreed Entry is executed voluntarily by the Parties.
3. Applicant voluntarily and freely waives the right to a public hearing on the issues in this matter.
4. Applicant voluntarily and freely waives the right to judicial review of this matter.
5. Applicant's Indiana nonresident license shall be granted and placed on probation for a period of two (2) years, during which time any violations of Title 27 of the Indiana Code will result in the Department seeking immediate revocation of Applicant's license.
6. The two (2) year probationary period shall begin upon the date of the issuance of the Final Order in this matter.
7. The Department agrees to accept Applicant's compliance with this agreement as full satisfaction of this matter.
8. Applicant has carefully read and examined this agreement and fully understands its terms.
9. Applicant has entered into this agreement freely, and has not been subject to duress, threat or undue influence.

10. Should this Agreed Entry not be accepted by the Commissioner, it is agreed that presentation to and consideration of this Agreed Entry by the Commissioner shall not unfairly or illegally prejudice the Commissioner from further participation in or resolution of these proceedings.
10. Applicant is aware that failure to comply with any of the terms of this agreement will result in the matter being set for a hearing.

2/16/18
Date Signed


Erica J. Dobbs, Attorney #30588-49
Indiana Department of Insurance

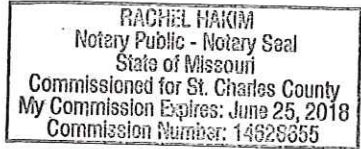
2/12/2018
Date Signed


Lance Austin, Applicant

STATE OF MISSOURI)
COUNTY OF St. Charles) ss: Wentzville

Before me a Notary Public for St. Charles County, State of Missouri personally appeared Lance Austin, and being first duly sworn by me upon his oath, says that the facts alleged in the foregoing instrument are true.

Signed and sealed this 12th day of February, 2018.



[Handwritten Signature]
Signature
Rachel Hakim
Printed

My Commission expires: June 25th 2018
County of Residence: St. Charles