

STATE OF INDIANA)
) SS:
COUNTY OF MARION)

BEFORE THE INDIANA
COMMISSIONER OF INSURANCE
Cause Number: 16761-AG17-1219-233

IN THE MATTER OF:)
)
Stephen Graham)
8971 Edgewater Place)
Parkland, FL 33076)
)
Applicant)
)
Type of Agency Action: Enforcement)
)
)
Application Number: 620765)

FILED
JAN 22 2018
STATE OF INDIANA
DEPT. OF INSURANCE

FINAL ORDER

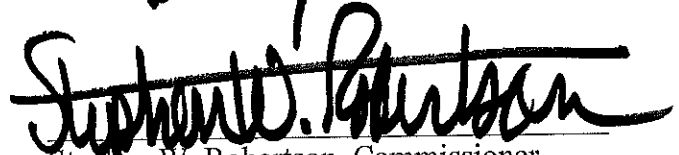
The Enforcement Division of the Indiana Department of Insurance (“Department”), by counsel, Claire Szpara, and Stephen Graham (“Applicant”), an applicant for a nonresident producer’s license, signed an Agreed Entry which purports to resolve all issues involved in the above-captioned cause number, and which has been submitted to the Commissioner of the Indiana Department of Insurance (“Commissioner”) for approval.

The Commissioner, after reviewing the Agreed Entry, which issues a nonresident license on a two (2) year probationary basis for having an administrative action, finds it has been entered into fairly and without fraud, duress or undue influence, and is fair and equitable between the parties. The Commissioner hereby incorporates the Agreed Entry, attached, as if fully set forth herein, and approves and adopts in full the Agreed Entry as a resolution of this matter.

IT IS THEREFORE ORDERED by the Commissioner as follows:

1. Applicant's nonresident producer's license will be issued on a probationary basis for a period of two (2) years, due to a 2009 administrative action taken against his license in Florida. During this time any additional violations of Title 27 of the Indiana Code will result in the Department seeking immediate revocation of Applicant's license.
2. The Department agrees to accept this agreement as full resolution of this matter.

ALL OF WHICH IS ORDERED this 22th day of January 2018.


Stephen W. Robertson, Commissioner
Indiana Department of Insurance

Distribution:

Dennis Wood, Investigator
INDIANA DEPARTMENT OF INSURANCE
311 West Washington Street, Suite 103
Indianapolis, Indiana 46204-2787

Stephen Graham
8971 Edgewater Place
Parkland, FL 33076

STATE OF INDIANA)
) SS:
COUNTY OF MARION)

BEFORE THE INDIANA
COMMISSIONER OF INSURANCE

Cause Number: 16761-AG17-1219-233

IN THE MATTER OF:

Stephen Graham
8971 Edgewater Place
Parkland, FL 33076

Applicant

Type of Agency Action: Enforcement

Application Number: 620765

FILED

JAN 22 2018

STATE OF INDIANA
DEPT. OF INSURANCE

AGREED ENTRY

This Agreed Entry is executed by and between the Enforcement Division of the Indiana Department of Insurance (“Department”), by counsel, Claire Szpara, and Stephen Graham (“Applicant”) to resolve all issues in the above-captioned cause number. This Agreed Entry is subject to the review and approval of Stephen W. Robertson, Commissioner of the Indiana Department of Insurance (“Commissioner”).

WHEREAS, on November 20, 2017, Applicant submitted an application for nonresident licensure;

WHEREAS, Applicant disclosed an administrative action taken by the state of Florida on July 30, 2009;

WHEREAS, Applicant’s license was suspended in Florida for nine (9) months for allegedly misrepresenting the terms and conditions of an annuity to a Florida consumer;

WHEREAS, Applicant's producer license is currently in good standing in his home state of Florida and sixteen (16) other states;

WHEREAS, Ind. Code § 27-1-15.6-12(b)(9), in part, gives the Commissioner authority to place an insurance producer on probation for having an insurance license denied, suspended, or revoked in any other state, province, district or territory;

WHEREAS, the Department and Applicant (collectively, the "Parties") desire to resolve this matter without the necessity of a hearing.

IT IS, THEREFORE, NOW AGREED by and between the Parties as follows:

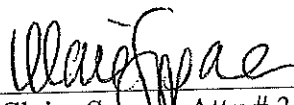
1. The Commissioner has jurisdiction over the subject matter and the Parties to this Agreed Entry.
2. This Agreed Entry is executed voluntarily by the Parties.
3. Applicant voluntarily and freely waives the right to a public hearing on the issues in this matter.
4. Applicant voluntarily and freely waives the right to judicial review of this matter.
5. Applicant will be issued a nonresident license on a probationary basis for a period of two (2) years.
6. Any additional violations of Title 27 of the Indiana Code will result in the Department seeking immediate revocation of Applicant's license.
7. Should additional violations manifest, this revocation shall be in addition to any administrative actions for the new violation.
8. Should this Agreed Entry not be accepted by the Commissioner, it is agreed that presentation to, and consideration of this Agreed Entry by the Commissioner, shall not

unfairly or illegally prejudice the Commissioner from further participation in or resolution of these proceedings.

8. Applicant is aware that failure to comply with any of the terms of this agreement will result in the matter being set for a hearing.

1.18.18

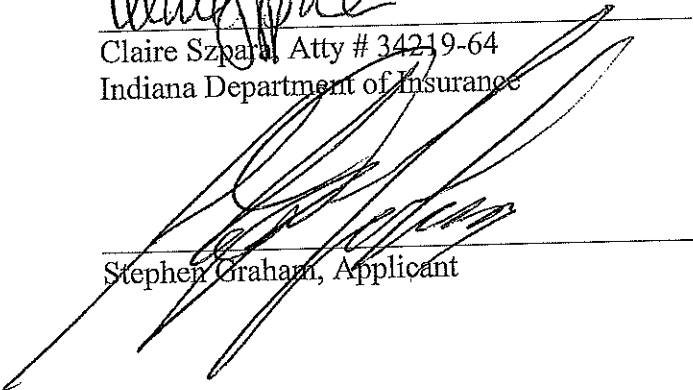
Date Signed



Claire Szpara, Atty # 34219-64
Indiana Department of Insurance

1-17-2018

Date Signed


Stephen Graham, Applicant

STATE OF FLORIDA)
COUNTY OF BROWARD) SS:

Before me a Notary Public for BROWARD County, State of Florida, personally appeared Stephen Graham and being first duly sworn by me upon his oath, says that the facts alleged in the foregoing instrument are true.

Signed and sealed this 17 day of January, 2018



Signature

Muhammad Aamir

Printed

My Commission expires: April 25 2020

County of Residence: USA Coral Springs Broward



MUHAMMAD AAMIR
MY COMMISSION # FF 985721
EXPIRES: April 25, 2020
Bonded Thru Budget Notary Services