

STATE OF INDIANA)
) SS:
COUNTY OF MARION)

BEFORE THE INDIANA
COMMISSIONER OF INSURANCE

Cause Number: 16571-AG17-1107-214

IN THE MATTER OF)
)
Sujalbhai Patel)
5340 Mandarin Circle)
Chattanooga, TN 37343)
)
Respondent)
)
Type of Agency Action: Enforcement)
)
License Number: 3213609)

FILED

JAN 05 2018

STATE OF INDIANA
DEPT. OF INSURANCE

FINAL ORDER

The Enforcement Division of the Indiana Department of Insurance (“Department”), by counsel, Claire Szpara, and Sujalbhai Patel (“Respondent”), a licensed nonresident insurance producer, signed an Agreed Entry which purports to resolve all issues involved in the above-captioned cause number, and which has been submitted to the Commissioner of the Indiana Department of Insurance (“Commissioner”) for approval.

The Commissioner, after reviewing the Agreed Entry, finds it has been entered into fairly and without fraud, duress or undue influence, and is fair and equitable between the parties. The Commissioner hereby incorporates the Agreed Entry, attached, as if fully set forth herein, and approves and adopts in full the Agreed Entry as a resolution of this matter being Respondent’s license surrender for his actions of using fraudulent or dishonest practices when he impersonated insurance applicants during policy application interviews conducted over the phone by the company.

IT IS THEREFORE ORDERED by the Commissioner as follows:

1. Respondent's license number 3213609 shall be surrendered for using fraudulent or dishonest practices when he impersonated insurance applicants during policy application interviews conducted over the phone by the company in violation of Indiana Code § 27-1-15.6-12(b)(8).
2. The Department agrees to accept this agreement as full resolution of this matter.

ALL OF WHICH IS ORDERED this 5th day of January 2018



Stephen W. Robertson,
Commissioner
Indiana Department of Insurance

Distribution:

Taylor Peycha, Investigator
INDIANA DEPARTMENT OF INSURANCE
311 West Washington Street, Suite 103
Indianapolis, Indiana 46204-2787

Sujalbhair Patel
5340 Mandarin Circle
Chattanooga, TN 37343

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Producer Number: 3213609)

FILED

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STATE OF INDIANA
DEPT. OF INSURANCE

AGREED ENTRY

This Agreed Entry is executed by and between the Enforcement Division of the Indiana Department of Insurance (“Department”), by counsel, Claire Szpara, and Sujalbhai Patel (“Respondent”), to resolve all issues in the above-captioned cause number. This Agreed Entry is subject to the review and approval of Stephen W. Robertson, Commissioner of the Indiana Department of Insurance (“Commissioner”).

WHEREAS, Respondent is a licensed nonresident insurance producer, holding license number 3213609;

WHEREAS, the Department received notice from New York Life Insurance Company (“NYL”) of Respondent’s termination for cause;

WHEREAS, NYL alleged Respondent used fraudulent or dishonest practices when he impersonated insurance applicants during policy application interviews conducted over the phone by the company;

WHEREAS, the Department alleges Respondent's conduct is in violation of Indiana Code § 27-1-15.6-12(b)(8), which allows the Commissioner to take administrative action for using fraudulent, coercive, or dishonest practices, or demonstrating incompetence, untrustworthiness, or financial irresponsibility in the conduct of business in Indiana or elsewhere;

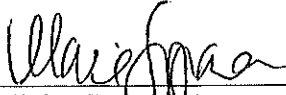
WHEREAS, the Department and Respondent (collectively, the "Parties") desire to resolve this matter without the necessity of a hearing.

IT IS, THEREFORE, NOW AGREED by and between the Parties as follows:

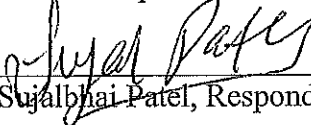
1. The Commissioner has jurisdiction over the subject matter and the Parties to this Agreed Entry.
2. This Agreed Entry is executed voluntarily by the Parties.
3. Respondent voluntarily and freely waives the right to a public hearing on the issues in this matter.
4. Respondent voluntarily and freely waives the right to judicial review of this matter.
5. Respondent shall surrender his license, effective the day the Commissioner adopts this Agreed Entry via Final Order.
6. Should additional violations manifest, this surrender shall be in addition to any administrative actions for the new violation.
7. Should this Agreed Entry not be accepted by the Commissioner, it is agreed that presentation to, and consideration of this Agreed Entry by the Commissioner, shall not unfairly or illegally prejudice the Commissioner from further participation in or resolution of these proceedings.

8. Respondent is aware that failure to comply with any of the terms of this agreement will result in the matter being set for a hearing.

12.27.17
Date Signed


Claire Szpara, Attorney # 34219-64
Indiana Department of Insurance

12/20/17
Date Signed


Sujal Patel, Respondent

STATE OF TENNESSEE)
) SS:
COUNTY OF Hamilton)

Before me a Notary Public for Hamilton County, State of Tennessee, personally appeared Sujalbhai Patel, and being first duly sworn by me upon his oath, says that the facts alleged in the foregoing instrument are true.

Signed and sealed this 20th day of December, 2017.

Melanie A. Carter
Notary Signature

Melanie A. Carter
Notary Printed

My Commission expires: March 21, 2020

County of Residence: Hamilton

