

STATE OF INDIANA)
) SS:
COUNTY OF MARION)

BEFORE THE INDIANA
COMMISSIONER OF INSURANCE

Cause Number: 16760-AG17-1211-227

IN THE MATTER OF)
)
Daniel E. Correal)
18212 Nickel Plate Dr)
Westfield, IN 46074)
)
Respondent)
)
Type of Agency Action: Enforcement)
)
Producer License #: 3096981)

FILED

DEC 14 2017

STATE OF INDIANA
DEPT. OF INSURANCE

FINAL ORDER


The Enforcement Division of the Indiana Department of Insurance (“Department”), by counsel, Claire Szpara, and Daniel E. Correal (“Respondent”), a licensed resident insurance producer, signed an Agreed Entry which purports to resolve all issues involved in the above-captioned cause number, and which has been submitted to the Commissioner of the Indiana Department of Insurance (“Commissioner”) for approval.

The Commissioner, after reviewing the Agreed Entry, which imposes a two hundred and fifty dollar (\$250) administrative penalty for failing to timely report a misdemeanor conviction, finds it has been entered into fairly and without fraud, duress or undue influence, and is fair and equitable between the parties. The Commissioner hereby incorporates the Agreed Entry, attached, as if fully set forth herein, and approves and adopts in full the Agreed Entry as a resolution of this matter.

IT IS THEREFORE ORDERED by the Commissioner as follows:

1. Respondent shall pay a civil penalty of two hundred and fifty dollars (\$250), for failing to report a misdemeanor conviction within thirty (30) days from the initial pretrial hearing date.
2. The Department agrees to accept this agreement as full resolution of this matter.

ALL OF WHICH IS ORDERED this 14th day of December 2017.



Stephen W. Robertson,
Commissioner
Indiana Department of Insurance

Distribution:

Melissa Higgins, Investigator
INDIANA DEPARTMENT OF INSURANCE
311 West Washington Street, Suite 103
Indianapolis, Indiana 46204-2787

Daniel E. Correal
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Westfield, IN 46074

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AGREED ENTRY

This Agreed Entry is executed by and between the Enforcement Division of the Indiana Department of Insurance ("Department"), by counsel, Claire Szpara, and Daniel E. Correal ("Respondent"), to resolve all issues in the above-captioned cause number. This Agreed Entry is subject to the review and approval of Stephen W. Robertson, Commissioner of the Indiana Department of Insurance ("Commissioner").

WHEREAS, on August 20, 2015, Respondent obtained an Indiana resident producer's license under number 3096981;

WHEREAS, Respondent applied for an Indiana resident producer renewal on or around November 30, 2017. On the application he disclosed a misdemeanor conviction of Battery Resulting in Injury from March 20, 2017 in the State of Indiana;

WHEREAS, Indiana Code § 27-1-15.6-17(b) is an insurance law that states the producer shall report to the commissioner any criminal prosecution of the producer initiated in any jurisdiction. The

report shall include a copy of the initial complaint filed, the order resulting from the hearing, or any other relevant legal documents not more than thirty (30) days after the initial pretrial hearing date;

WHEREAS, Indiana Code § 27-1-15.6-12(b)(2)(A) states the commissioner may levy a civil penalty against an insurance producer for violating an insurance law;

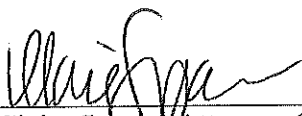
WHEREAS, the Department and Respondent (collectively, the "Parties") desire to resolve this matter without the necessity of a hearing.

IT IS, THEREFORE, NOW AGREED by and between the Parties as follows:

1. The Commissioner has jurisdiction over the subject matter and the Parties to this Agreed Entry.
2. This Agreed Entry is executed voluntarily by the Parties.
3. Respondent voluntarily and freely waives the right to a public hearing on the issues in this matter.
4. Respondent voluntarily and freely waives the right to judicial review of this matter.
5. Respondent shall pay an administrative fine in the amount of two hundred and fifty dollars (\$250) within thirty (30) days after the Commissioner signs the Final Order adopting this Agreed Entry.
6. Should this Agreed Entry not be accepted by the Commissioner, it is agreed that presentation to, and consideration of this Agreed Entry by the Commissioner, shall not unfairly or illegally prejudice the Commissioner from further participation in or resolution of these proceedings.


7. Respondent is aware that failure to comply with any of the terms of this agreement will result in the matter being set for a hearing.

12-12-17
Date Signed



Claire Szpata, Attorney (#34219-64)
Indiana Department of Insurance

12-12-17
Date Signed

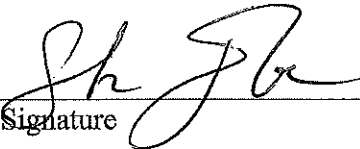


Daniel E. Correal, Respondent

STATE OF INDIANA)
) SS:
COUNTY OF _____)

Before me a Notary Public for Hamilton County, State of Indiana, personally appeared Daniel E. Correal, and being first duly sworn by me upon his oath, says that the facts alleged in the foregoing instrument are true.

Signed and sealed this 12th day of December, 2017.


Signature
Sabina Ikanovic
Printed

My Commission expires: 08-24-2024

County of Residence: Vigo / Hamilton

