

STATE OF INDIANA)
) SS:
COUNTY OF MARION)

BEFORE THE INDIANA
COMMISSIONER OF INSURANCE

Cause Number: 15015-AG17-0713-138

IN THE MATTER OF)

Lloyd A. Ross)
309 W Washington St Ste 100)
Indianapolis, IN 46204)

Respondent)

Type of Agency Action: Enforcement)

Producer's License: 1215060)

FILED

OCT 20 2017

STATE OF INDIANA
DEPT. OF INSURANCE

FINAL ORDER

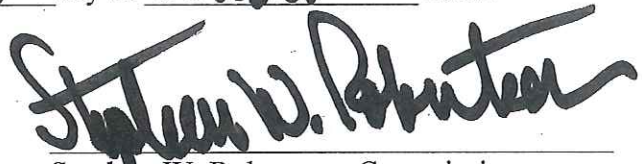
The Enforcement Division of the Indiana Department of Insurance ("Department"), by counsel, Claire Szpara, and Lloyd A. Ross ("Respondent"), a licensed resident insurance producer, signed an Agreed Entry which purports to resolve all issues involved in the above-captioned cause number, and which has been submitted to the Commissioner of the Indiana Department of Insurance ("Commissioner") for approval.

The Commissioner, after reviewing the Agreed Entry, finds it has been entered into fairly and without fraud, duress or undue influence, and is fair and equitable between the parties. The Commissioner hereby incorporates the Agreed Entry, attached, as if fully set forth herein, and approves and adopts in full the Agreed Entry as a resolution of this matter being Respondent's failure to timely report his January 09, 2015 felony charge of auto theft to the Department in violation of Indiana Code § 27-1-15.6-17(b).

IT IS THEREFORE ORDERED by the Commissioner as follows:

1. Respondent's license shall be placed on a probationary basis until such time as he notifies the Department of the dismissal of his felony charge.
2. Respondent shall immediately notify the Department should he commit any illegal acts or be arrested.
3. Respondent shall pay an administrative fine of Two Hundred Fifty Dollars (\$250) within thirty (30) days after the Commissioner signs the Final Order, for Respondent's failure to timely report his January 09, 2015 felony charge of auto theft to the Department.
4. The Department agrees to accept this agreement as full resolution of this matter.

ALL OF WHICH IS ORDERED this 20th day of October 2017.



Stephen W. Robertson, Commissioner
Indiana Department of Insurance

Distribution:

Calla Dain, Insurance Investigator
Indiana Department of Insurance
311 West Washington St, Suite 103
Indianapolis, Indiana 46204-2787

Lloyd A. Ross
309 W Washington St, Ste 100
Indianapolis, IN 46204

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Cause Number: 15015-AG17-0713-138

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STATE OF INDIANA
DEPT. OF INSURANCE

AGREED ENTRY

This Agreed Entry is executed by and between the Enforcement Division of the Indiana Department of Insurance ("Department"), by counsel, Claire Szpara, and Lloyd A. Ross ("Respondent"), to resolve all issues in the above-captioned cause number. This Agreed Entry is subject to the review and approval of Stephen W. Robertson, Commissioner of the Indiana Department of Insurance ("Commissioner").

WHEREAS, Respondent is a licensed resident insurance producer, holding license number 1215060;

WHEREAS, Respondent failed to timely report his January 09, 2015, felony charge of auto theft to the Department;

WHEREAS, on June 08, 2017, the judgment of Respondent's charges was withheld, with the charges to be dismissed upon the successful completion of a Pretrial Diversion Agreement;

WHEREAS, the Respondent's conduct is a violation of Indiana Code § 27-1-15.6-12(b)(2)(A), which states that an insurance producer shall not violate an insurance law;

WHEREAS, the Respondent's conduct is a violation of Indiana Code § 27-1-15.6-17(b), an insurance law which states not more than thirty (30) days after an initial pretrial hearing date, a producer shall report to the Commissioner any criminal prosecution of the producer initiated in any jurisdiction;

WHEREAS, the Department and Respondent (collectively, the "Parties") desire to resolve this matter without the necessity of a hearing.

IT IS, THEREFORE, NOW AGREED by and between the parties as follows:

1. The Commissioner has jurisdiction over the subject matter and the Parties to this Agreed Entry.
2. This Agreed Entry is executed voluntarily by the Parties.
3. Respondent voluntarily and freely waives the right to a public hearing on the issues in this matter.
4. Respondent voluntarily and freely waives the right to judicial review of this matter.
5. Respondent's license shall be placed on probation until such time as he notifies the Department of the dismissal of his felony charge.
6. Respondent shall immediately notify the Department should he commit any illegal acts or be arrested.
7. Respondent shall pay an administrative fine of Two Hundred Fifty Dollars (\$250) within thirty (30) days after the Commissioner signs the Final Order adopting this Agreed Entry.
8. Should Respondent be convicted of a felony, the Department will seek immediate revocation of his license.

9. Should additional violations manifest, further administrative actions will be taken for the new violation.
10. The Department agrees to accept Respondent's compliance with this agreement as full satisfaction of this matter.
11. Respondent has carefully read and examined this agreement and fully understands its terms.
12. Respondent has entered into this agreement freely, and has not been subject to duress, threat or undue influence.
13. Should this Agreed Entry not be accepted by the Commissioner, it is agreed that presentation to and consideration of this Agreed Entry by the Commissioner shall not unfairly or illegally prejudice the Commissioner from further participation in or resolution of these proceedings.
14. Respondent is aware that failure to comply with any of the terms of this agreement will result in the matter being set for a hearing.

9-29-17
Date Signed

Claire Szpara
Claire Szpara, Attorney #34219-64
Indiana Department of Insurance

9/28/17
Date Signed

Lloyd A. Ross
Lloyd A. Ross, Respondent



STATE OF INDIANA)
) SS:
COUNTY OF Marion)

Before me a Notary Public for Hendricks County, State of Indiana
personally appeared Lloyd A. Ross, and being first duly sworn by me upon his oath, says that the
facts alleged in the foregoing instrument are true.

Signed and sealed this 28th day of September, 2017.

Mandy L George
Signature

Mandy L George
Printed

My Commission expires: Sept. 4. 2023

County of Residence: Hendricks