

STATE OF INDIANA)
) SS:
COUNTY OF MARION)

BEFORE THE INDIANA
COMMISSIONER OF INSURANCE
Cause Number: 16401-AD17-0808-081

IN THE MATTER OF:)
)
James Ezekiel DuPont)
409 NE 136th Avenue)
Portland, OR 97230)
)
Applicant)
)
Type of Agency Action: Enforcement)
)
License Number: 600382)

FILED
SEP 12 2017
STATE OF INDIANA
DEPT. OF INSURANCE

FINAL ORDER

The Indiana Department of Insurance (“Department”), by counsel, Claire Szpara, and James Ezekiel DuPont (“Applicant”), signed an Agreed Entry which purports to resolve all issues involved in the above-captioned cause number, and which has been submitted to the Commissioner of Insurance (“Commissioner”) for approval.

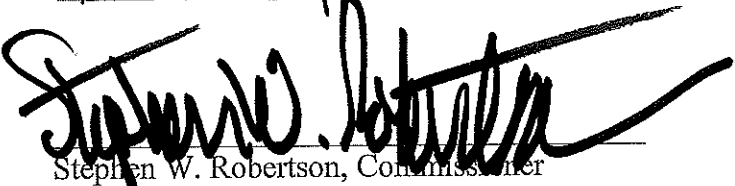
The Commissioner, after reviewing the Agreed Entry, finds it has been entered into fairly and without fraud, duress or undue influence, and is fair and equitable between the parties. The Commissioner hereby incorporates the Agreed Entry as if fully set forth herein, and approves and adopts in full the Agreed Entry as a resolution of this matter.

IT IS THEREFORE ORDERED by the Commissioner as follows:

1. Applicant shall be issued a nonresident license on a probationary period of two (2) year for having a felony OUIL Causing Death conviction, during which time, and at all time in the future, Applicant shall comply with Indiana Insurance Law.

2. The Department shall accept Applicant's compliance with the terms of this Final Order as full resolution of this matter.

ALL OF WHICH IS ORDERED this 12 day of September, 2017.


Stephen W. Robertson, Commissioner
Indiana Department of Insurance

Distribution:

Claire Szpara
INDIANA DEPARTMENT OF INSURANCE
311 West Washington Street, Suite 103
Indianapolis, Indiana 46204-2787

James Ezekiel DuPont
409 NE 136th Avenue
Portland, OR 97230

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BEFORE THE INDIANA
COMMISSIONER OF INSURANCE

Cause Number: 16401-AD17-0808-081

IN THE MATTER OF:)
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409 NE 136th Avenue)
Portland, OR 97230)
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Applicant)
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Type of Agency Action: Enforcement)
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Application Number: 600382)

FILED
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STATE OF INDIANA
DEPT. OF INSURANCE

AGREED ENTRY

This Agreed Entry is executed by and between the Enforcement Division of the Indiana Department of Insurance (“Department”), by counsel, Claire Szpara, and James Ezekiel DuPont (“Applicant”), to resolve all issues in the above-captioned cause number. This Agreed Entry is subject to the review and approval of Stephen W. Robertson, Commissioner of the Indiana Department of Insurance (“Commissioner”).

WHEREAS, on July 28, 2017, Applicant submitted an application for a nonresident producer’s license;

WHEREAS, on license application, Applicant disclosed a June 8, 1999 felony conviction of Operating Under the Influence of Liquor (“OUIL”) Causing Death;

WHEREAS, Applicant’s conduct is a violation of Indiana Code § 27-1-15.6-12(b)(6), which states that the commissioner may place an insurance producer on probation for having been convicted of a felony;

WHEREAS, the Department and Applicant (collectively, the “Parties”) desire to resolve this matter without the necessity of a hearing.

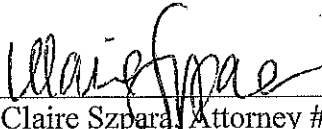
IT IS, THEREFORE, NOW AGREED by and between the Parties as follows:

1. The Commissioner has jurisdiction over the subject matter and the Parties to this Agreed Entry.
2. This Agreed Entry is executed voluntarily by the Parties.
3. Applicant voluntarily and freely waives the right to a public hearing on the issues in this matter.
4. Applicant voluntarily and freely waives the right to judicial review of this matter.
5. Applicant’s license shall be placed on a two (2) year probationary status, during which time any violations of Title 27 of the Indiana Code will result in the Department seeking immediate revocation of Applicant’s license.
6. The Department agrees to accept Applicant’s compliance with this agreement as full satisfaction of this matter.
7. Applicant has carefully read and examined this agreement and fully understands its terms.
8. Applicant has entered into this agreement freely, and has not been subject to duress, threat or undue influence.
9. Should this Agreed Entry not be accepted by the Commissioner, it is agreed that presentation to and consideration of this Agreed Entry by the Commissioner shall not unfairly or illegally prejudice the Commissioner from further participation in or resolution of these proceedings.


10. Applicant is aware that failure to comply with any of the terms of this agreement will result in the matter being set for a hearing.

9.5.17
Date Signed

08/28/2017
Date Signed



Claire Szpara, Attorney # 34219-64
Indiana Department of Insurance

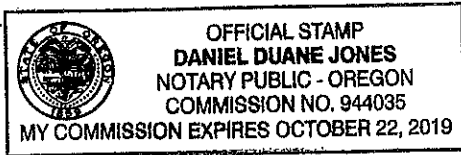


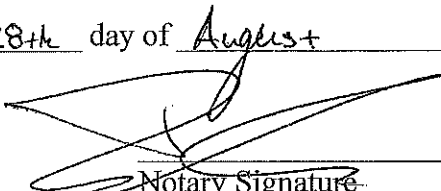
James Ezekiel DuPont, Applicant

STATE OF OREGON)
) SS:
COUNTY OF Multnomah)

Before me a Notary Public for Multnomah County, State of Oregon,
personally appeared James Ezekiel DuPont, and being first duly sworn by me upon his oath, says
that the facts alleged in the foregoing instrument are true.

Signed and sealed this 28th day of August, 2017.





Notary Signature
Daniel Jones

Notary Printed

My Commission expires: 10/22/19

County of Residence: Multnomah