

STATE OF INDIANA)
) SS:
COUNTY OF MARION)

BEFORE THE INDIANA
COMMISSIONER OF INSURANCE

CAUSE NUMBER: 16312-AG17-0629-136

IN THE MATTER OF:)
)
Gregory Van Winkle)
6306 Guilford Ave.)
Indianapolis, IN 46220)
)
License Number: 2932870)
)
Respondent)
)
Type of Agency Action: Enforcement)

FILED

JUL 21 2017

STATE OF INDIANA
DEPT. OF INSURANCE

FINAL ORDER

The Indiana Department of Insurance (“Department”), by its counsel, Claire Szpara, and Gregory Van Winkle (“Respondent”), a resident insurance producer licensed to do business in Indiana, signed an Agreed Entry which purports to resolve all issues involved in the action by the Department regarding Respondent’s license, and which has been submitted to the Commissioner of Insurance (the “Commissioner”) for approval.

The Commissioner, after reviewing the Agreed Entry, finds it has been entered into fairly and without fraud, duress or undue influence, and is fair and equitable between the parties. The Commissioner hereby incorporates the Agreed Entry as if fully set forth herein, and approves and adopts in full the Agreed Entry as a resolution of this matter.

IT IS THEREFORE ORDERED by the Commissioner of Insurance:

1. Respondent shall pay an administrative penalty in the amount five hundred dollars (\$500.00), due within thirty (30) after the signing of this Final Order.

ALL OF WHICH IS ORDERED this 21st day of July, 20 17.

A large, bold, handwritten signature in black ink that reads "Stephen W. Robertson". The signature is written over a horizontal line.

Stephen W. Robertson, Commissioner
Indiana Department of Insurance

Distribution:

Claire Szpara
INDIANA DEPARTMENT OF INSURANCE
311 West Washington Street, Suite 300
Indianapolis, Indiana 46204-2787

Gregory Van Winkle
6306 Guilford Ave.
Indianapolis, IN 46220

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AGREED ENTRY

This Agreed Entry is executed by and between the Enforcement Division of the Indiana Department of Insurance (“Department”), by counsel, Claire Szpara, and Gregory Van Winkle (“Respondent”), a resident insurance producer licensed to do business in Indiana, to resolve all issues in the above captioned matter. This Agreed Entry is subject to the review and approval of Stephen W. Robertson, Commissioner for the Indiana Department of Insurance (“Commissioner”).

WHEREAS, Respondent is a licensed Indiana resident insurance producer, holding license number 2932370;

WHEREAS, on June 20, 2017, the Respondent submitted to the Department an administrative decision that Respondent was subject to a FINRA fine and suspension on June 29, 2015 due to his signing insurance forms to cause disbursement of funds from an annuity, on behalf of and at the request of a client;

WHEREAS, Indiana Code § 27-1-15.6-12(b)(10) states the Commissioner may levy a civil penalty, place an insurance producer on probation or take any combination of these actions, against a producer for forging another's name to an application for insurance or to any document related to an insurance transaction;

WHEREAS, Indiana Code § 27-1-15.6-12(b)(2)(A) states the Commissioner may levy a civil penalty, place an insurance producer on probation or take any combination of these actions, against a producer for violating an insurance law;

WHEREAS, Indiana Code § 27-1-15.6-17(a) is an insurance law that requires a producer to report to the Commissioner any administrative action taken against the producer in another jurisdiction or by another governmental agency in Indiana not more than thirty (30) days after the final disposition of the matter;

WHEREAS, Respondent's actions in signing another's name to insurance forms is in violation of Indiana Code § 27-1-15.6-12(b)(10);

WHEREAS, Respondent's late report of the FINRA action is in violation of Indiana Code § 27-1-15.6-17(a) and Indiana Code § 27-1-15.6-12(b)(2)(A); and

WHEREAS, the Department and Respondent (collectively, the "Parties") desire to resolve their differences and settle the issues without the necessity of an administrative hearing.

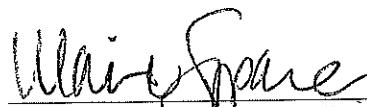
IT IS THEREFORE NOW AGREED by and between the Parties as follows:

1. The Commissioner has jurisdiction over the subject matter and the Parties in this Agreed Entry.
2. This Agreed Entry is executed voluntarily by the Parties.
3. Respondent voluntarily and freely waives his right to a public hearing on this matter.
4. Respondent voluntarily and freely waives his right to judicial review of this matter.

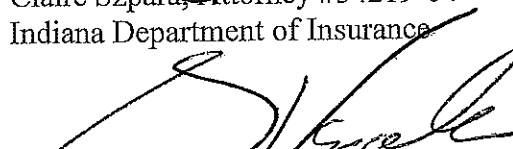
5. Respondent agrees to pay an administrative penalty in the amount of five hundred dollars (\$500) to the Department within thirty (30) days of the Commissioner's Final Order adopting this Agreed Entry.
6. The Department agrees to accept Respondent's compliance with the terms of this Agreed Entry herein as full satisfaction of this matter.
7. Respondent has carefully read and examined this Agreed Entry and fully understands its terms.
8. Respondent has entered into this Agreed Entry freely, and has not been subject to duress, threat or undue influence.
9. Should this Agreed Entry not be accepted by the Commissioner, it is agreed that presentation to and consideration of this Agreed Entry by the Commissioner shall not unfairly or illegally prejudice the Commissioner from further participation in or resolution of these proceedings.
10. Respondent is aware that failure to comply with any term of this agreement will result in the matter being set for hearing.

7.17.17
Date Signed

7/11/17
Date Signed



Claire Szpara, Attorney #34219-64
Indiana Department of Insurance

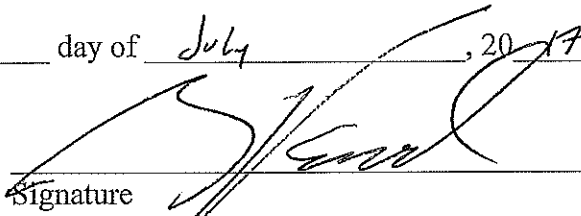


Gregory Van Winkle, Respondent

STATE OF INDIANA)
) SS:
COUNTY OF Marion)

Before me a Notary Public for Marion County, State of Indiana, personally appeared Gregory Van Winkle being first duly sworn by me upon his oath, says that the facts alleged in the foregoing instrument are true.

Signed and sealed this 11 day of July, 2017.


Signature

GREG VAN WINKLE
Printed

My Commission expires: May 3, 2025

County of Residence: Hamilton

Return executed originals to:
INDIANA DEPARTMENT OF INSURANCE
Enforcement Division, Suite 103
311 West Washington Street
Indianapolis, IN 46204-2787
317/233-4243 - telephone
317/232-5251 – facsimile

