

STATE OF INDIANA)
) SS:
COUNTY OF MARION)

BEFORE THE INDIANA
COMMISSIONER OF INSURANCE

Cause Number: 16256-AG17-0622-134

IN THE MATTER OF:)
)
Scott A. Scales)
4381 Regatta Drive)
Lafayette, IN 47909)
)
Respondent)
)
Type of Agency Action: Enforcement)
)
Producer's License: 1872250)

FILED

JUL 21 2017

STATE OF INDIANA
DEPT. OF INSURANCE

FINAL ORDER

The Indiana Department of Insurance (“Department”), by counsel, Claire Szpara, and Scott A. Scales (“Respondent”), signed an Agreed Entry which purports to resolve all issues involved in the above-captioned cause number, and which has been submitted to the Commissioner of Insurance (“Commissioner”) for approval.

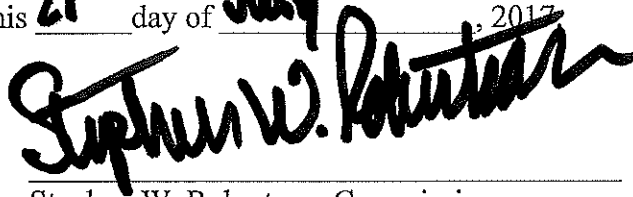
The Commissioner, after reviewing the Agreed Entry, finds it has been entered into fairly and without fraud, duress or undue influence, and is fair and equitable between the parties. The Commissioner hereby incorporates the Agreed Entry as if fully set forth herein, and approves and adopts in full the Agreed Entry as a resolution of this matter.

IT IS THEREFORE ORDERED by the Commissioner as follows:

1. Respondent shall pay an administrative penalty in the amount of One Thousand Dollars (\$1,000), payable within thirty (30) days from the date of this Final Order

for an employee selling, soliciting or negotiating any class or classes of insurance
in Indiana without licensure, in violation of Indiana Code § 27-1-15.6-3(a).

ALL OF WHICH IS ORDERED this 21st day of July, 2017.



Stephen W. Robertson, Commissioner
Indiana Department of Insurance

Distribution:

Claire Szpara
INDIANA DEPARTMENT OF INSURANCE
311 West Washington Street, Suite 103
Indianapolis, Indiana 46204-2787

Scott A Scales
4381 Regatta Drive
Lafayette, IN 47909

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STATE OF INDIANA
DEPT. OF INSURANCE

AGREED ENTRY

This Agreed Entry is executed by and between the Enforcement Division of the Indiana Department of Insurance (“Department”), by counsel Claire Szpara, and Scott A Scales (“Respondent”), to resolve all issues in the above-captioned cause number. This Agreed Entry is subject to the review and approval of Stephen W. Robertson, Commissioner of the Indiana Department of Insurance (“Commissioner”).

WHEREAS, Respondent is a licensed resident insurance producer, holding license number 1872250;

WHEREAS, the Department received an insurance quote and explanation of policy from an unlicensed agent in Respondent’s office;

WHEREAS, the Respondent’s conduct is a violation of Indiana Code § 27-1-15.6-3, which states a person shall not sell, solicit, or negotiate insurance in Indiana for any class or classes insurance unless the person is licensed for that line of authority;

WHEREAS, the Department and Respondent (collectively, the "Parties") desire to resolve this matter without the necessity of a hearing.

IT IS, THEREFORE, NOW AGREED by and between the parties as follows:

1. The Commissioner has jurisdiction over the subject matter and the Parties to this Agreed Entry.
2. This Agreed Entry is executed voluntarily by the Parties.
3. Respondent voluntarily and freely waives the right to a public hearing on the issues in this matter.
4. Respondent voluntarily and freely waives the right to judicial review of this matter.
5. Respondent shall pay an administrative fine of One Thousand Dollars (\$1,000.00) within thirty (30) days after the Commissioner signs the Final Order adopting this Agreed Entry.
6. The Department agrees to accept Respondent's compliance with this agreement as full satisfaction of this matter.
7. Respondent has carefully read and examined this agreement and fully understands its terms.
8. Respondent has entered into this agreement freely, and has not been subject to duress, threat or undue influence.
9. Should this Agreed Entry not be accepted by the Commissioner, it is agreed that presentation to and consideration of this Agreed Entry by the Commissioner shall not unfairly or illegally prejudice the Commissioner from further participation in or resolution of these proceedings.

10. Respondent is aware that failure to comply with any of the terms of this agreement will result in the matter being set for a hearing.

7.21.17

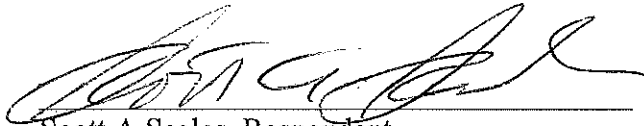
Date Signed



Claire Szpara, Attorney #34219-64
Indiana Department of Insurance

7.6.17

Date Signed

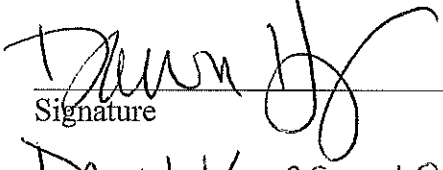


Scott A Scales, Respondent

STATE OF INDIANA)
) SS:
COUNTY OF TIPPECANOE)

Before me a Notary Public for PULASKI County, State of Indiana,
personally appeared Scott A. Scales, and being first duly sworn by me upon his oath, says that the
facts alleged in the foregoing instrument are true.

Signed and sealed this 6TH day of July, 2017.


Signature
DAWN KERSTING
Printed

My Commission expires: 3-31-22
County of Residence: PULASKI