

STATE OF INDIANA )  
 ) SS:  
COUNTY OF MARION )

BEFORE THE INDIANA  
COMMISSIONER OF INSURANCE

Cause Number: 16189-AG17-0511-113

IN THE MATTER OF )  
 )  
Sean Haroldson )  
8378 S Upham Way Apt B103 )  
Littleton, CO 80128 )  
 )  
Applicant )  
 )  
 )  
Type of Agency Action: Enforcement )  
 )  
 )  
Producer License #: 583406 )

**FILED**

JUN 09 2017

STATE OF INDIANA  
DEPT. OF INSURANCE

**FINAL ORDER**

The Enforcement Division of the Indiana Department of Insurance (“Department”), by counsel, Claire Szpara, and Sean Haroldson (“Applicant”), a licensed nonresident insurance producer, signed an Agreed Entry which purports to resolve all issues involved in the above-captioned cause number, and which has been submitted to the Commissioner of the Indiana Department of Insurance (“Commissioner”) for approval.

The Commissioner, after reviewing the Agreed Entry, finds it has been entered into fairly and without fraud, duress or undue influence, and is fair and equitable between the parties. The Commissioner hereby incorporates the Agreed Entry, attached, as if fully set forth herein, and approves and adopts in full the Agreed Entry as a resolution of this matter.

IT IS THEREFORE ORDERED by the Commissioner as follows:

1. Applicant shall be placed on probation for a period of two (2) years, during which time any violations of Title 27 of the Indiana Code will result in the Department seeking immediate revocation of Applicant's license.
2. The Department agrees to accept this agreement as full resolution of this matter.

ALL OF WHICH IS ORDERED this 9 day of June 2017.



Stephen W. Robertson,  
Commissioner  
Indiana Department of Insurance

Distribution:

Melissa Higgins, Investigator  
**INDIANA DEPARTMENT OF INSURANCE**  
311 West Washington Street, Suite 103  
Indianapolis, Indiana 46204-2787

Sean Haroldson  
8378 S Upham Way Apt B103  
Littleton, CO 80128

STATE OF INDIANA )  
 ) SS:  
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BEFORE THE INDIANA  
COMMISSIONER OF INSURANCE

Cause Number: 16189-AG17-0511-113

IN THE MATTER OF: )  
 )  
Sean Haroldson )  
8378 S Upham Way Apt B103 )  
Littleton, CO 80128 )  
 )  
Respondent )  
 )  
Type of Agency Action: Enforcement )  
 )  
License Application Number: 583406 )

**FILED**

JUN 09 2017

STATE OF INDIANA  
DEPT. OF INSURANCE

AGREED ENTRY

This Agreed Entry is executed by and between the Enforcement Division of the Indiana Department of Insurance ("Department"), by counsel, Claire Szpara, and Sean Haroldson ("Applicant") to resolve all issues in the above-captioned cause number. This Agreed Entry is subject to the review and approval of Stephen W. Robertson, Commissioner of the Indiana Department of Insurance ("Commissioner").

WHEREAS, on April 10, 2017 Applicant applied for an Indiana nonresident producer's license under application #583406;

WHEREAS, Applicant disclosed a misdemeanor theft under \$500 conviction on May 12, 2009 in the State of Colorado;

WHEREAS, Indiana Code §27-1-15.6-12(b)(8) states the Commissioner may place an insurance producer on probation for using fraudulent, coercive, or dishonest practices, or demonstrating

incompetence, untrustworthiness, or financial irresponsibility in the conduct of business in Indiana or elsewhere;

WHEREAS, the Department and Applicant (collectively, the "Parties") desire to resolve this matter without the necessity of a hearing.

IT IS, THEREFORE, NOW AGREED by and between the parties as follows:

1. The Commissioner has jurisdiction over the subject matter and the Parties to this Agreed Entry.
2. This Agreed Entry is executed voluntarily by the Parties.
3. Applicant voluntarily and freely waives the right to a public hearing on the issues in this matter.
4. Applicant voluntarily and freely waives the right to judicial review of this matter.
5. Applicant's license will be placed on probation for a period of two (2) years, during which time any violations of Title 27 of the Indiana Code will result in the Department seeking immediate revocation of Applicant's license,
6. Should additional violations manifest, this revocation shall be in addition to any administrative actions for the new violation.
7. Should this Agreed Entry not be accepted by the Commissioner, it is agreed that presentation to, and consideration of this Agreed Entry by the Commissioner, shall not unfairly or illegally prejudice the Commissioner from further participation in or resolution of these proceedings.
8. Applicant is aware that failure to comply with any of the terms of this agreement will result in the matter being set for a hearing.

5-25-17

Date Signed

Claire Szpara

Claire Szpara, Attorney #34219-64  
Indiana Department of Insurance

05-22-17

Date Signed

Sean Haroldson

Sean Haroldson, Applicant

STATE OF COLORADO )  
 ) SS:  
COUNTY OF Jefferson )

Before me a Notary Public for Jefferson County, State of Colorado, personally appeared Sean Haroldson and being first duly sworn by me upon his oath, says that the facts alleged in the foregoing instrument are true.

Signed and sealed this 22<sup>nd</sup> day of May, 2017.

Annette Stauffer  
Signature  
Annette Stauffer  
Printed

My Commission expires: 1/12/19

County of Residence: Douglas

