

STATE OF INDIANA )  
 ) SS:  
COUNTY OF MARION )

BEFORE THE INDIANA  
COMMISSIONER OF INSURANCE

Cause Number: 16135-AG17-0615-129

IN THE MATTER OF )  
 )  
Steven C. Waggoner )  
3921 Spurwood Cir. )  
Fort Wayne, IN 46804 )  
Respondent )  
 )  
Type of Agency Action: Enforcement )  
 )  
Producer's License: 2254060 )

**FILED**

JUN 30 2017

STATE OF INDIANA  
DEPT. OF INSURANCE

**FINAL ORDER**

The Enforcement Division of the Indiana Department of Insurance (“Department”), by counsel, Cathleen Nine-Altevogt, and Steven C. Waggoner (“Respondent”), a licensed resident insurance producer, signed an Agreed Entry which purports to resolve all issues involved in the above-captioned cause number, and which has been submitted to the Commissioner of the Indiana Department of Insurance (“Commissioner”) for approval.

The Commissioner, after reviewing the Agreed Entry, finds it has been entered into fairly and without fraud, duress or undue influence, and is fair and equitable between the parties. The Commissioner hereby incorporates the Agreed Entry, attached, as if fully set forth herein, and approves and adopts in full the Agreed Entry as a resolution of this matter.

IT IS THEREFORE ORDERED by the Commissioner as follows:

1. Respondent's license shall be placed on probation for a period of one (1) year, and Respondent shall pay an administrative fine of Two Hundred Fifty Dollars (\$250) within thirty (30) days after the Commissioner signs the Final Order, for Respondent's failure to timely report to the Department his February 05, 2016 conviction of Disorderly Conduct, a Class B Misdemeanor. The Department agrees to accept this agreement as full resolution of this matter.

ALL OF WHICH IS ORDERED this 30 day of June 2017.



Stephen W. Robertson, Commissioner  
Indiana Department of Insurance

Distribution:

Calla Dain, Insurance Investigator  
Indiana Department of Insurance  
311 West Washington St, Suite 103  
Indianapolis, Indiana 46204-2787

Steven C. Waggoner  
3921 Spurwood Cir.  
Fort Wayne, IN 46804

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**AGREED ENTRY**

This Agreed Entry is executed by and between the Enforcement Division of the Indiana Department of Insurance ("Department"), by counsel, Cathleen Nine-Altevogt, and Steven C. Waggoner ("Respondent"), to resolve all issues in the above-captioned cause number. This Agreed Entry is subject to the review and approval of Stephen W. Robertson, Commissioner of the Indiana Department of Insurance ("Commissioner").

WHEREAS, Respondent is a licensed resident insurance producer, holding license number 2254060;

WHEREAS, Respondent failed to timely report to the Department his February 05, 2016 conviction of Disorderly Conduct, a Class B Misdemeanor;

WHEREAS, Respondent's conduct is a violation of Indiana Code § 27-1-15.6-12(b)(2)(A), which states that an insurance producer shall not violate an insurance law;

WHEREAS, Respondent's conduct is a violation of Indiana Code § 27-1-15.6-17(b), an insurance law, which states not more than thirty (30) days after an initial pretrial hearing date, a

producer shall report to the Commissioner any criminal prosecution of the producer initiated in any jurisdiction; and

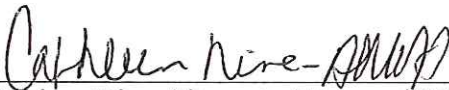
WHEREAS, the Department and Respondent (collectively, the "Parties") desire to resolve this matter without the necessity of a hearing.

IT IS, THEREFORE, NOW AGREED by and between the Parties as follows:

1. The Commissioner has jurisdiction over the subject matter and the Parties to this Agreed Entry.
2. This Agreed Entry is executed voluntarily by the Parties.
3. Respondent voluntarily and freely waives the right to a public hearing on the issues in this matter.
4. Respondent voluntarily and freely waives the right to judicial review of this matter.
5. Respondent's license shall be placed on a probationary status for a period of one (1) year during which time any violations of Title 27 will result in the Department seeking immediate revocation of Respondent's license.
6. Respondent shall pay an administrative fine of Two Hundred Fifty Dollars (\$250) within thirty (30) days after the Commissioner signs the Final Order adopting this Agreed Entry.
7. Should additional violations manifest, further administrative actions will be taken for the new violation.
8. The Department agrees to accept Respondent's compliance with this agreement as full satisfaction of this matter.

9. Respondent has carefully read and examined this agreement and fully understands its terms.
10. Respondent has entered into this agreement freely, and has not been subject to duress, threat or undue influence.
11. Should this Agreed Entry not be accepted by the Commissioner, it is agreed that presentation to and consideration of this Agreed Entry by the Commissioner shall not unfairly or illegally prejudice the Commissioner from further participation in or resolution of these proceedings.
12. Respondent is aware that failure to comply with any of the terms of this agreement will result in the matter being set for a hearing.

6-29-17  
Date Signed

  
Cathleen Nine-Altevogt, Attorney #32706-49  
Indiana Department of Insurance

6/22/2017  
Date Signed

  
Steven C. Waggoner, Respondent

STATE OF INDIANA )  
 ) SS:  
COUNTY OF Allen )

Before me a Notary Public for Allen County, State of Indiana personally appeared Steven C. Waggoner, and being first duly sworn by me upon his oath, says that the facts alleged in the foregoing instrument are true.

Signed and sealed this 22<sup>nd</sup> day of June, 2017.

Shelly L. Rhoades  
Signature

Shelly L. Rhoades  
Printed

My Commission expires: 7-27-2024

County of Residence: Allen

