

STATE OF INDIANA )  
 ) SS:  
COUNTY OF MARION )

BEFORE THE INDIANA  
COMMISSIONER OF INSURANCE  
CAUSE NUMBER: 16098-AD17-0321-028

IN THE MATTER OF: )  
 )  
Andrea Gaines )  
743 S., 44<sup>th</sup> St. )  
Louisville, KY 40211 )  
 )  
Application Number 578522 )  
 )  
Applicant )  
 )  
Type of Agency Action: Enforcement )

**FILED**

APR 21 2017

STATE OF INDIANA  
DEPT. OF INSURANCE

**FINAL ORDER**

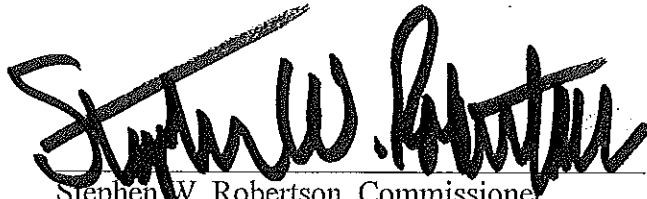
The Indiana Department of Insurance (“Department”), by its counsel, Claire Szpara, and Andrea Gaines (“Applicant”), a non-resident license applicant, signed an Agreed Entry which purports to resolve all issues involved in the action by the Department regarding Applicant’s license application, and which has been submitted to the Commissioner of Insurance (the “Commissioner”) for approval.

The Commissioner, after reviewing the Agreed Entry, finds it has been entered into fairly and without fraud, duress or undue influence, and is fair and equitable between the parties. The Commissioner hereby incorporates the Agreed Entry as if fully set forth herein, and approves and adopts in full the Agreed Entry as a resolution of this matter.

IT IS THEREFORE ORDERED by the Commissioner of Insurance:

1. Applicant's non-resident Indiana producer license shall be issued in probationary status for a period of two (2) years from the date of this Final Order.

ALL OF WHICH IS ORDERED this 21 day of April, 2017.

  
Stephen W. Robertson, Commissioner  
Indiana Department of Insurance

Distribution:

Claire Szpara  
INDIANA DEPARTMENT OF INSURANCE  
311 West Washington Street, Suite 300  
Indianapolis, Indiana 46204-2787

Andrea Gaines  
743 S. 44<sup>th</sup> St.  
Louisville, KY 40211

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**AGREED ENTRY**

This Agreed Entry is executed by and between the Enforcement Division of the Indiana Department of Insurance ("Department"), by counsel, Claire Szpara, and Andrea Gaines ("Applicant"), a non-resident insurance producer license applicant, to resolve all issues in the above captioned matter. This Agreed Entry is subject to the review and approval of Stephen W. Robertson, Commissioner for the Indiana Department of Insurance ("Commissioner").

WHEREAS, Applicant applied for an Indiana non-resident producer license under application number 578522;

WHEREAS, Applicant disclosed two (2) Class C Felony Trafficking in a Controlled Substance convictions on April 6, 1992;


WHEREAS, Indiana Code § 27-1-15.6-12(b)(6) states the Commissioner may place an insurance producer on probation for having been convicted of a felony; and

WHEREAS, the Department and Applicant (collectively, the "Parties") desire to resolve their differences and settle the issues without the necessity of an administrative hearing.

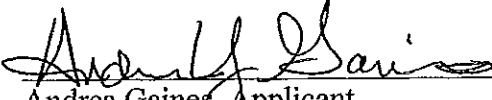
IT IS THEREFORE NOW AGREED by and between the Parties as follows:

1. The Commissioner has jurisdiction over the subject matter and the Parties in this Agreed Entry.
2. This Agreed Entry is executed voluntarily by the Parties.
3. Applicant voluntarily and freely waives her right to a public hearing on this matter.
4. Applicant voluntarily and freely waives her right to judicial review of this matter.
5. Applicant agrees to her license being issued on a probationary status for two (2) years.
6. The Department agrees to accept Applicant's compliance with the terms of this Agreed Entry herein as full satisfaction of this matter.
7. Applicant has carefully read and examined this Agreed Entry and fully understands its terms.
8. Applicant has entered into this Agreed Entry freely, and has not been subject to duress, threat or undue influence.
9. Should this Agreed Entry not be accepted by the Commissioner, it is agreed that presentation to and consideration of this Agreed Entry by the Commissioner shall not unfairly or illegally prejudice the Commissioner from further participation in or resolution of these proceedings.

4.6.17  
Date Signed

  
\_\_\_\_\_  
Claire Szpara, Attorney  
Indiana Department of Insurance

4-5-17  
Date Signed

  
\_\_\_\_\_  
Andrea Gaines, Applicant

STATE OF KENTUCKY            )  
  ) SS:  
COUNTY OF Jefferson        )

Before me a Notary Public for Ky. County, State of Kentucky, personally appeared Andrea Gaines being first duly sworn by me upon her oath, says that the facts alleged in the foregoing instrument are true.

Signed and sealed this 5 day of April, 20 17.

James A. Perryman Sr  
Signature

James A. Perryman Sr  
Printed

My Commission expires: 6 12 2019

County of Residence: Jefferson

**Return executed originals to:**  
INDIANA DEPARTMENT OF INSURANCE  
Enforcement Division, Suite 103  
311 West Washington Street  
Indianapolis, IN 46204-2787  
317/232-7138 - telephone  
317/232-5251 – facsimile