| STATE OF INDIANA) | BEFORE THE INDIANA |
|---|-----------------------------------|
| COUNTY OF MARION) SS: | COMMISSIONER OF INSURANCE |
| * | Cause Number: 14941-AG17-0512-114 |
| IN THE MATTER OF |) |
| Travis L. Wilson 6460 North Oxford St. Indianapolis, IN 46220 | FILED |
| Respondent | JUN 3 0 2017) STATE OF INDIANA |
| Type of Agency Action: Enforcement | DEPT. OF INSURANCE |
| Producer's License: 556799 | ·) |

FINAL ORDER

The Enforcement Division of the Indiana Department of Insurance ("Department"), by counsel, Cathleen Nine-Altevogt, and Travis L. Wilson ("Respondent"), a licensed resident insurance producer, signed an Agreed Entry which purports to resolve all issues involved in the above-captioned cause number, and which has been submitted to the Commissioner of the Indiana Department of Insurance ("Commissioner") for approval.

The Commissioner, after reviewing the Agreed Entry, finds it has been entered into fairly and without fraud, duress or undue influence, and is fair and equitable between the parties. The Commissioner hereby incorporates the Agreed Entry, attached, as if fully set forth herein, and approves and adopts in full the Agreed Entry as a resolution of this matter.

IT IS THEREFORE ORDERED by the Commissioner as follows:

- 1. Respondent's license shall be placed on a probationary status for a period of one (1) year, with the parameters as defined in the Agreed Entry, due to Respondent allegedly replacing existing insurance policies of Indiana consumers without their notification or authorization.
- 2. The Department agrees to accept this agreement as full resolution of this matter.

ALL OF WHICH IS ORDERED this 30 day of June

Indiana Department of Insurance

Distribution:

Calla Dain, Insurance Investigator Indiana Department of Insurance 311 West Washington St, Suite 103 Indianapolis, Indiana 46204-2787

Travis L. Wilson 6460 North Oxford St Indianapolis, IN 46220

Richard S. Pitts, Attorney 8900 Keystone Crossing, Suite 800 Indianapolis, Indiana 46240

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| Travis L. Wilson 6460 North Oxford St Indianapolis, IN 46220 | JUN 3 0 2017 |
| Respondent |) STATE OF INDIANA DEPT. OF INSURANCE |
| Type of Agency Action: Enforcement |) |
| Producer's License: 556799 |) |

AGREED ENTRY

This Agreed Entry is executed by and between the Enforcement Division of the Indiana Department of Insurance ("Department"), by counsel, Cathleen Nine-Altevogt, and Travis L. Wilson ("Respondent"), to resolve all issues in the above-captioned cause number. This Agreed Entry is subject to the review and approval of Stephen W. Robertson, Commissioner of the Indiana Department of Insurance ("Commissioner").

WHEREAS, the Respondent is a licensed resident insurance producer, holding license number 556799;

WHEREAS, on or about January 13, 2016 the Department received Indiana consumer complaints alleging Respondent replaced their existing insurance policies without notification or authorization;

WHEREAS, the Department asserts that Respondent's actions are in violation of Indiana Code § 27-1-15.6-12(b)(8), which states that a producer shall not use fraudulent, coercive, or

dishonest practices, or demonstrate incompetence, untrustworthiness, or financial irresponsibility in the conduct of business in Indiana or elsewhere;

WHEREAS, Respondent has contested the assertions of violation of Indiana Code § 27-1-15.6-12(b)(8) as a matter of fact and law, but accepts this Agreed Entry as resolution of such complaints;

WHEREAS, the Department and Respondent (collectively, the "Parties") desire to resolve this matter without the necessity of a hearing.

IT IS, THEREFORE, NOW AGREED by and between the Parties as follows:

- The Commissioner has jurisdiction over the subject matter and the Parties to this Agreed Entry.
- 2. This Agreed Entry is executed voluntarily by the Parties.
- 3. Respondent voluntarily and freely waives the right to a public hearing on the issues in this matter.
- 4. Respondent voluntarily and freely waives the right to judicial review of this matter.
- 5. Respondent shall submit a written corrective action plan to the Department within thirty (30) days after the Commissioner signs the Final Order adopting this Agreed Entry.
- Respondent's license shall be placed on a probationary status for a period of one
 (1) year.
- 7. Should additional violations manifest, further administrative actions will be taken for the new violation.

The Department agrees to accept Respondent's compliance with this agreement as 8. full satisfaction of this matter.

9. Respondent has carefully read and examined this agreement and fully understands and accepts its terms.

Respondent has entered into this agreement freely, and has not been subject to 10. duress, threat or undue influence.

Should this Agreed Entry not be accepted by the Commissioner, it is agreed that 10. presentation to and consideration of this Agreed Entry by the Commissioner shall not unfairly or illegally prejudice the Commissioner from further participation in or resolution of these proceedings.

Respondent is aware that failure to comply with any terms of this agreement will 11. result in the matter being set for a hearing.

Cathleen Nine-Altevogt, Attorney #32706-49

Indiana Department of Insurance

 $\frac{6 - 19 - 2017}{\text{Date Signed}}$

| STATE OF INDIANA) |
|--|
| COUNTY OF Marion) SS: |
| Before me a Notary Public for County, State of Indiana, personally |
| appeared Travis L. Wilson, and being first duly sworn by me upon his oath, says that the facts |
| alleged in the foregoing instrument are true. |
| Signed and sealed this 19th day of June, 2017. Signature, 2017. |
| LAURA L. Ogolini Printed |

My Commission expires: 4-18-2020